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The indentation of pressurized elastic shells: from polymeric capsules to yeast cells

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Pressurized elastic capsules arise at scales ranging from the 10 m diameter pressure vessels used to store propane at oil refineries to the microscopic polymeric capsules that may be used in drug delivery. Nature also makes extensive use of pressurized elastic capsules: plant cells, bacteria and fungi have stiff walls, which are subject to an internal turgor pressure. Here, we present theoretical, numerical and experimental investigations of the indentation of a linearly elastic shell subject to a constant internal pressure. We show that, unlike unpresurized shells, the relationship between force and displacement demonstrates two linear regimes. We determine analytical expressions for the effective stiffness in each of these regimes in terms of the material properties of the shell and the pressure difference. As a consequence, a single indentation experiment over a range of displacements may be used as a simple assay to determine both the internal pressure and elastic properties of capsules. Our results are relevant for determining the internal pressure in bacterial, fungal or plant cells. As an illustration of this, we apply our results to recent measurements of the stiffness of baker’s yeast and infer from these experiments that the internal osmotic pressure of yeast cells may be regulated in response to changes in the osmotic pressure of the external medium.

Keywords: finite-element method; buckling; turgor regulation; cell wall

1. INTRODUCTION

Just as one might ‘poke’ an object to have a qualitative sense of its material properties, materials scientists often use an indentation test to make quantitative measurements of an object’s elasticity [1–3]. Indentation is a useful technique because it is repeatable and non-destructive. For small scale applications in biology, it is common to use an atomic force microscope (AFM) in an indentation test to obtain high levels of accuracy that would not otherwise be possible [4]. While several studies have focused on determining the mechanical properties of both animal and plant cells using variants of the indentation test [5,6], a question of particular interest for plant, fungal and bacterial cells is the turgor pressure within the cell. Indeed, differences in turgor pressure could be important for the regulation of growth [7]. It has been suggested previously [8–11] that indentation using an AFM would allow the turgor pressure of bacteria to be measured.

However, this previous work relied on an ad hoc approach to the equations of elasticity rather than using classical shell theory.

From a fundamental point of view, the indentation of unpresurized elastic shells has received a great deal of theoretical attention [12–15]. Much of the early work focused on axisymmetric geometries but more recently the simple problem of indentation has been used as a starting point in understanding some of the more complicated geometries that arise when an object with an intrinsic curvature is subject to different external loads [16,17]. By contrast, very little work has concerned the indentation of a pressurized elastic shell, despite its technological importance in applications such as pressure vessels [18] or capsules designed for drug delivery [19,20]. However, numerical simulations have been carried out for the case of a thick, fluid-filled shell with a constant volume [21] and for a thin shell (or membrane) subject to a constant internal pressure [20].

Here, we carry out a comprehensive investigation of the indentation of spherical pressurized shells, combining an analytical study of the equations of shells with...
finite-element simulations and macroscopic experiments. After the formulation of the problem of interest, we successively focus on the regimes of small and large indentations. We show that in each of these regimes the shell has a characteristic stiffness and determine analytical expressions for these stiffnesses in terms of the material properties of the system. Finally, we apply these results to previous microscopic experiments on the indentation of polymeric capsules [20] and of baker’s yeast [22]. In particular, our approach allows us to investigate the regulation of the osmotic pressure of yeast cells.

2. FIRST OBSERVATIONS AND FORMULATION

Our model system is shown schematically in figure 1a. We consider an elastic shell of natural radius \( R \), thickness \( h \), Young’s modulus \( E \), Poisson ratio \( \nu \) that is subject to an internal pressure (or pressure difference) \( p \). The shell is then deformed by the action of a point-like force, \( F \). Numerical simulations were performed using the commercial finite-element package ABAQUS (SIMULIA, Providence, RI, USA), a commercial finite-element package, with material properties \( R = 1 \text{ m}, E = 70 \text{ GPa} \) and \( \nu = 0.3 \). (Three-node thin quadratic axisymmetric shell elements were used in all calculations and a mesh sensitivity study was carried out to ensure that the results are minimally sensitive to the element size.) To simulate the response of a pressurized shell, a uniform internal pressure was first applied to the shell. A point load was then applied, while the internal pressure was kept constant, and the relationship between applied force \( F \) and maximum displacement \( w_0 \) was determined for a range of internal pressures and shell thicknesses. An image of the deformed shell from simulations is shown in figure 1b.

Two typical force–displacement curves are shown in figure 2a. The first curve shows the force–displacement curve in the absence of an internal pressure. In this case, we recover the two classical results for an unpressurized shell: for \( w_0 \ll h \), \( F \sim w_0 \) as shown by Reissner [13], while for \( w_0 \gg h \), \( F \sim w_0^{1/2} \) as shown by Pogorelov [14]. However, with an internal pressure the results in figure 2a show that there are two separate linear regimes. Further analysis reveals that the prefactor of this linear relationship in the first regime, \( k_1 \), differs from that in the unpressurized case. In this article, we focus on understanding the presence of these linear regimes and determining the two linear stiffnesses, \( k_1 \) and \( k_2 \), in terms of the material properties of the system.

In order to test the experimental applicability of our approach, we also performed a series of indentation tests using an inflated rubber ball (Pezzi ball, Ledragomma) of radius \( R = 18.5 \text{ cm} \), shell thickness \( h = 1 \text{ mm} \). Young’s modulus was measured to be \( E = 2.3 \text{ MPa} \) (by determining the linear relationship between internal pressure and shell circumference) and we assume that the Poisson ratio \( \nu = 0.5 \), as is typical of rubbers. The ball was inflated to a known pressure and then loaded using a hemispherical cap indenter (figure 1c) at a constant speed. (The radius of curvature of the indenter \( \approx 3 \text{ mm} \), which is significantly smaller than the horizontal length scale for the deformation of the shell \( \gtrsim 3 \text{ cm} \), making this a satisfactory approximation to the point force assumed theoretically. Experiments were conducted at room temperature and the ball was supported by a wooden shelf with a cut-out hole to ensure alignment of the pole with the indenter.) The force required to impose this displacement was measured continuously using a force gauge (Andilog centor). Force–displacement curves for a range of internal pressures demonstrate that for small displacements the measured force is approximately linear in displacement with a prefactor that depends on the internal pressure (figure 2b).

For the theoretical formulation of the problem, we start from the equations of axisymmetric plate theory modified to incorporate the finite radius of curvature of the shell. These equations are well known [23] and, in the polar geometry of interest here, take the form

\[
B\nabla^4 w + \frac{1}{R} \frac{1}{r} \frac{d}{dr} (r \phi) - \frac{1}{r} \frac{d}{dr} \left( \frac{dw}{dr} \right) = p - \frac{F}{2\pi} \delta(r),
\]

or

\[
bv^4 w + \frac{1}{R} \frac{1}{r} \frac{d}{dr} (r \phi) - \frac{1}{r} \frac{d}{dr} \left( \frac{dw}{dr} \right) = p - \frac{F}{2\pi} \delta(r),
\]

(2.1)
Indenting pressurized elastic shells  D. Vella et al.

For the case of no applied point force, $F = 0$, we anticipate that $w = w_{\text{sec}}$, a constant. Substituting this ansatz into equation (2.1), we find that

$$\psi = \frac{p R r}{2}. \quad (3.1)$$

The shell is therefore in a uniform state of stress in which $\sigma_{uu} = \sigma_{rr} = \sigma_{\infty} = pR/2$. To consider small deformations from this state, it is natural to perturb the base state given by equation (3.1), letting $w \to w + w_{\text{sec}}$ and $\psi \to \psi + \sigma_{\infty} r$. At leading order, we eliminate $\psi$ from equation (2.1) by using equation (2.2) to find that the displacement of the shell is governed by

$$B \nabla^4 w - \sigma_{\infty} \nabla^2 w + \frac{Eh}{R^2} w = -\frac{F}{2\pi} \delta(r). \quad (3.2)$$

We note that a balance between the term representing bending and the linear restoring force gives rise to a natural bending length scale,

$$\ell_b = \left(\frac{BR^2}{Eh}\right)^{1/4} \sim (hR)^{1/2}. \quad (3.3)$$

The appropriate solution of equation (3.2) subject to $w(0) = -w_0$ is

$$w(r) = -\frac{2w_0}{\log(\lambda_-/\lambda_+)} \left[ K_0 \left(\frac{\lambda_-}{\ell_b}\right) - K_0 \left(\frac{\lambda_+}{\ell_b}\right) \right]$$

where

$$\lambda_{\pm} = \tau \pm (\tau^2 - 1)^{1/2}$$

and $\tau = \frac{1}{2} \sigma_{\infty} \left(\frac{R^2}{EhB}\right)^{1/2} = \frac{1}{4} pR^2(EhB)^{-1/2}$.

And $K_0(x)$ is the modified Bessel function of zeroth order [24]. We note that the coefficients of the $K_0$ terms in equation (3.4) are chosen such that there is no logarithmic singularity close to the point of indentation. The parameter $\tau$ represents a dimensionless pressure. It is a simple matter to calculate the force by integrating equation (3.2) once to give $F = k_1 w_0$ where

$$k_1 = \frac{4\pi B}{\ell_b^2} \frac{(\tau^2 - 1)^{1/2}}{\arctanh(1 - \tau^{-2})^{1/2}}. \quad (3.6)$$

In figure 3, we show the value of $k_1$ determined from ABAQUS simulations for a range of values of the dimensionless pressure $\tau$ and compare it to the prediction in equation (3.6). In addition, the experimental effective stiffness, $k_1$, was determined by a linear fit on all data.
with \( u_0 < h/2 \). (We shall see that equation (3.6) is only valid in the limit \( u_0 \ll h \)). The dependence of the measured values of \( k_3 \) on \( \tau \) is plotted with the theoretical curve and numerical points in figure 3 and show that the measured stiffness is in good agreement with that expected from the theoretical analysis. The range of pressures used in our experiments are as in figure 2a. Solid points (green triangles) show experimental results obtained using a Pozi ball with error bars given by repeated trials at different loading speeds.

![Figure 3. Small indentation. The dependence of the dimensionless shell stiffness \( k_1 \ell_0^2/B \) on the dimensionless pressure \( \tau \), which is defined in equation (3.5). The solid curve shows the theoretical prediction (3.6), which is approximated by the result of Reissner [13], \( k_1 \approx 8B/\ell_0^2 \), for \( \tau \ll 1 \) (dashed line) and by equation (3.7) for \( \tau \gg 1 \) (dotted curve). Open points show the results from simulations with shell thicknesses \( h = 2 \text{ mm} \) (blue squares) and \( h = 5 \text{ mm} \) (red circles). All other material properties are as in figure 2a. Solid points (green triangles) show experimental results obtained using a Pozi ball with error bars given by repeated trials at different loading speeds.](image)

4. LARGE INDENTATION

We now consider an indentation \( u_0 \gg h \). In this regime, we must carefully account for geometrical nonlinearities. Numerical simulations suggest that the force–displacement curve temporarily loses its linearity but ultimately regains it, albeit with a different stiffness, i.e. \( F \sim k_3 u_0 \). To understand this behaviour, it is important to consider the fully nonlinear problem described by equations (2.1) and (2.2). However, to simplify the analysis we neglect the effect of bending stiffness, the biharmonic term in equation (2.1). The coefficient of the bending term in equation (2.1) is a fraction \( \tau^{-2} \) of the other terms and hence this approximation is valid provided that \( \tau \gg 1 \). With this simplification, we find that the shell equations may be integrated once and simplified to give

\[
\frac{F}{2\pi} = \frac{pR^2}{2} + \psi \left( \frac{d}{dr} - \frac{r}{R} \right),
\]

and

\[
r \frac{d}{dr} \left[ \frac{1}{r} \frac{d}{dr} (r \psi) \right] = E h \left[ \frac{r}{R} \frac{d^2}{dr^2} - \frac{1}{2} \left( \frac{d}{dr} \right)^2 \right],
\]

where we have used the behaviour \( \psi \sim pRr/2 \) as \( r \to \infty \) to eliminate the constant that arises upon integrating equation (2.2). Having neglected the influence of the bending stiffness on this problem, a new length scale

\[
\ell_p = \left( \frac{pR}{Eh} \right)^{1/2} R
\]

emerges from a balance between in-plane stretching and the geometric stretching caused by the internal pressure.

We note that it is possible to transform equations (4.1) and (4.2) into a single equation for the stress function \( \psi \) by eliminating \( dw/dr \) from equation (4.2) using equation (4.1), as has been done previously for problems in planar membrane theory [25,26]. However, here we leave the equations in the above form and solve them numerically, using the MATLAB routine bvp4c, subject to the boundary conditions

\[
\begin{align*}
& w(0) = -u_0, \quad \lim_{r \to 0} (r \psi - \nu \psi) = 0 \\
& w(\infty) = 0, \quad \psi(\infty) = \frac{pR}{2}
\end{align*}
\]

The second boundary condition corresponds to the condition of zero horizontal displacement at the origin [27]. The force \( F \) is determined as part of the solution to this problem. Its dependence on the imposed displacement \( u_0 \) is shown as the solid black curve in figure 4a and is compared with the simulation results obtained from ABAQUS, shown by the coloured curves, as well as experimental results, shown by points. This comparison shows good agreement between the theoretical result, simulations and experiment with the discrepancies accounted for by our neglect of the bending stiffness, \( B \), in this membrane model.

Figure 4a suggests that in the limit of very large displacements we find \( F \propto pR u_0 \). To understand this behaviour for large forces, we introduce the dimensionless force \( \{ F \} = F/2\pi p \ell_0^2 \). We also rewrite equation (4.1) by introducing dimensionless variables \( \Phi = \frac{r v}{\{ F \}} \frac{d}{d\ell_p} \),...
which is simply the inverted spherical cap found by Pogorelov [14] for the case of unpressurized shells—also commonly known as ‘mirror buckling’. This shape is shown in rescaled form in figure 4b as the solid black curve demonstrating that the numerical solution of the membrane models (4.1) and (4.2) are well approximated by this result for $w_{0}R/L_{0}^{2} \gg 1$. We note that in equation (4.7) the shell is only deformed for $r < (w_{0}R)^{1/2}$—the flat regions for $r > (w_{0}R)^{1/2}$ in figure 4b indicate that the shell is not deformed in this region.

The above analysis also demonstrates that $F \sim \pi pw_{0}$. Alternatively, we may understand the linear force law that is observed in this regime by noting that the decrease in volume of the shell caused by this deformation is $\Delta V \approx \pi Rw_{0}^{2}/2$ and hence that the work done by the loading force in compressing the gas within the shell, $p\Delta V \approx \pi pw_{0}^{2}/2$. Differentiating this expression with respect to $w_{0}$, we find that the applied force

$$F \sim \pi pw_{0}.$$  (4.8)

The asymptotic result (4.8) is confirmed by the numerical solution of the membrane models (4.1) and (4.2), as shown by the dotted black line in figure 4a.

5. DISCUSSION AND APPLICATIONS

We have studied the indentation of a pressurized elastic shell and shown that the force—displacement curve exhibits two linear regimes (at small and large deflections compared with the thickness $h$). For strongly pressurized shells, we found that $F \sim k_{1}w_{0}$ for $w_{0} \ll h$ and $F \sim k_{2}w_{0}$ for $w_{0} \gg h$ where

$$k_{1} \sim \frac{\pi pR}{\log 2\tau}, \quad k_{2} \sim \pi pR$$

and

$$\tau = \frac{1}{2} \sqrt{3(1-w^{2})} \frac{pR^{2}}{Eh^{2}} \gg 1.$$  (5.1)

We validated these analytical results using finite-element simulations and macroscopic experiments. The analytical understanding of these two regimes gained here may be used to determine both $p$ and $Eh^{2}$ using data from a single indentation experiment in which both $k_{1}$ and $k_{2}$ are measured. This is in contrast to previous techniques [20], which required a single stiffness to be measured in two different experimental
geometries in combination with numerical simulation. Our technique is particularly useful when it is the internal swelling pressure (or osmotic pressure) that is to be measured, since the result in equation (5.1) shows that the stiffness \(k_1\) depends only on this pressure and the radius of the capsule. We note that the experiments of Gordon et al. [20] appear to be precisely in this regime since, using their estimates, the parameter \(v_0R/\ell_c \approx 100 \gg 1\). Using the asymptotic result (5.1), we find that their experimental data suggest internal pressures ranging from 15 to 120 Pa (assuming a capsule radius of 100 \(\mu\)m). This is in reasonable agreement with the values given by them (100–500 Pa) but is less sensitive to errors in fitting since it is not necessary to estimate the elastic properties of the shell as well.

Our results may also be applied to understand recent experiments [22] on yeast cells, *Saccharomyces cerevisiae*, in which indentation with an AFM tip was used to determine changes in the cell’s stiffness as the osmotic pressure of the external medium was varied. These experiments were performed for indentations of the order of the wall thickness (maximum indentation \(\approx 50 \text{ nm}\) compared with a typical wall thickness [6] \(h \approx 70 \text{ nm}\)) and hence the measured stiffness corresponds to \(k_1\) in our notation. The cell wall of yeast is known to be permeable allowing material to flow out of the cell and equilibrate non-osmotic pressure differences [28]. Although this flow could in principle be modelled [28], the relatively small size of indentations, together with the experimental observation that results are unchanged upon varying the indentation speed, suggest that the assumption made in our analysis of constant pressure difference during indentation is satisfactory. Other complications include the layered structure of the yeast cell wall, with not all layers contributing equally to its mechanical strength [29], and the potentially complicated constitutive law relating stresses and strains. Nevertheless, the application of the theoretical understanding developed from the idealized model presented in this paper gives us a starting point for extracting characteristic moduli and values for the internal osmotic pressure.

The principle result of the indentation experiments of Arfsten et al. [22] is that the value of \(k_1\) depends on the osmotic pressure of the external medium (figure 5a). Furthermore, they found that above a critical external osmotic pressure, \(P_{\text{ext}} = 2.1 \text{ MPa}\), this stiffness becomes significantly smaller. From this observation, it was concluded that the cell is effectively deflated when \(P_{\text{ext}} = 2.1 \text{ MPa}\) and hence that the internal osmotic pressure of the cell is \(P_{\text{int}} = 2.1 \text{ MPa}\). Strictly speaking, this value is the maximal osmotic pressure that the cell can generate. Our analysis suggests that, as the pressure difference decreases, a residual stiffness should remain and be explained by the analysis of Reissner [13]. Using this result and typical estimates for the thickness of the cell wall \(h \approx 70 \text{ nm}\) and cell radius \(R \approx 2.75 \mu\text{m}\) from the literature [6], we take the measured upper and lower bounds for \(k_1\) (see dashed lines in figure 5a) and estimate that 12 MPa \(< E \leq 46 \text{ MPa}\). This value is reasonably consistent with values determined previously [5,30] and also gives \(\ell_\phi \approx 2 \mu\text{m}\) and \(\ell_1 \approx 200 \mu\text{m}\), which are both significantly larger than the AFM tip used (\(\lesssim 15 \text{ nm}\)) justifying our approximation of a point force. With this value of \(E\), the theory developed here, more specifically equation (3.6), can be used to estimate the internal pressure required to obtain the observed values of \(k_1\). The results of this calculation are shown in figure 5b and indicate that as the external pressure is increased so the internal pressure is actively increased to maintain a certain degree of turgor, i.e. an internal osmotic pressure that is higher than that of the external medium. Beyond \(P_{\text{int}} = 0.6 \text{ MPa}\) the cell becomes unable to maintain turgor.

![Figure 5](rsif.royalsocietypublishing.org)
We find a typical value of turgor of 0.1–0.2 MPa, which is consistent with experiments using different methodologies [30,31], which found turgor pressures in the range 0–1 MPa. Finally, we note that for these experiments 0 ≤ r ≤ 10 and so it is necessary to make use of the full analytical expression (3.6). This is particularly important for explaining the presence of a residual stiffness when the yeast cell is unable to maintain turgor (for external pressures Pext ≥ 0.6 MPa). As Arfsten et al. [22] surmised, the presence of this stiffness demonstrates that the role of bending effects cannot be neglected as turgor decreases—an assumption that is often made in simplified models of cell indentation [8,9].

We anticipate that our analytical results, and particularly the asymptotic results (5.1) for strongly pressurized shells, could provide a standard tool for the mechanical characterization of pressurized shells in a range of biological applications, such as the measurement of the properties of capsules and walled cells. Other features of the indentation of pressurized shells may also aid this aim. For example, under large deformations pressurized shells are subject to an azimuthal buckling instability that leads to the formation of a large number of wrinkles with a well-defined length. The number of wrinkles as well as their length could thus be used for the mechanical characterization of shells [32,33]. A full study of this wrinkling is currently underway.

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