

AM139 a4c

Elasticity Notes of Eli Stenberg

# 1. Elements of Cartesian tensor analysis

References: Jeffreys & Jeffreys (Chapter 3), Temple, McConnell, Prager, Sokolnikoff, Chadwick, Spencer

Motivations: role in math. physics & continuum mech.

## Indicial notation

Recall expansion of scalar product of two vectors

$$\underline{u} \cdot \underline{v} = u_1 v_1 + u_2 v_2 + u_3 v_3 = \sum_{i=1}^3 u_i v_i = u_i v_i$$

Range convention. All lower-case Latin indicia (subscripts and superscripts) - unless otherwise specified - have the range (1, 2, 3).

$v_i \dots 3$  elements,  $v_{ij} \dots 3^2 = 9$  elements,  $v_{ijk} \dots 3^3 = 27$  elements

$$\underbrace{v_{i_1 \dots i_N}}_N \dots 3^N \text{ elements} \quad (N \dots \text{number of subs.})^*$$

Summation convention. If in a monomial the same index appears twice, summation over this repeated index is implied - unless suspended by "no sum".

\* The objects  $v_{i_1 \dots i_N}$  are understood to be sets of real numbers, unless otherwise qualified.

Examples and remarks:

$$u_i v_i = u_j u_j, \quad v_{ii} = v_{jj} = v_{11} + v_{22} + v_{33}$$

repeated index = "dummy index" (explain)

$$a_{ij} x_j = b_i \Leftrightarrow a_{i1} x_1 + a_{i2} x_2 + a_{i3} x_3 = b_i \quad (\text{explain})$$

$$a_{ij} x_j = c_{kki} \Leftrightarrow a_{ik} x_k = c_{kki} \Leftrightarrow a_{pk} x_k = c_{kpk}$$

NONSENSE:  $a_i c_{ji} = c_k, \quad a_{ikk} c_k$

(a) Same index cannot appear more than twice in same monomial

(b) Free (unrepeated) subs. on either side of an equation in indicial notation must agree

(c) Both free and dummy subs. may be altered subject to requirements (a), (b).

Def. Kronecker delta. The set of 9 numbers  $\delta_{ij}$  is defined by

$$\delta_{ij} = 0 \quad (i \neq j), \quad \delta_{ii} = 1 \quad (\text{no sum})$$

Consequences:

$$\left. \begin{aligned} \delta_{ij} &= \delta_{ji}, \quad \delta_{ii} = 3, \quad \delta_{ij} v_j = v_i, \quad \delta_{ij} v_{jk} = v_{ik}, \\ \delta_{ij} v_{ik} &= v_{jk}, \quad \delta_{ij} v_{ij} = v_{ii}, \quad \delta_{ij} \delta_{ij} = 3 \end{aligned} \right\} (1.1)$$

Def. Alternating symbols. The 27 numbers  $\epsilon_{ijk}$  are defined by

$\epsilon_{ijk} = 1$  if  $(i, j, k)$  are an even permutation of  $(1, 2, 3)$

$\epsilon_{ijk} = -1$  if  $(i, j, k)$  are an odd permutation of  $(1, 2, 3)$

$\epsilon_{ijk} = 0$  otherwise, i.e. if at least two subs. coincide

E.g.  $\epsilon_{123} = \epsilon_{231} = \epsilon_{312} = 1$ ,  $\epsilon_{213} = -1$ ,  $\epsilon_{121} = \epsilon_{233} = \epsilon_{222} = 0$

Clearly,

$$\epsilon_{ijk} = \epsilon_{kij} = \epsilon_{jki} = -\epsilon_{jik} = -\epsilon_{kji} = -\epsilon_{ikj}, \quad \epsilon_{ijk} \epsilon_{ijk} = 6 \quad (1.2)$$

representation of 3x3 determinants

Consider  $\epsilon_{ijk} u_i v_j w_k$ . By def. of  $\epsilon_{ijk}$  one has

$$\epsilon_{1jk} v_j w_k = v_2 w_3 - v_3 w_2 = \begin{vmatrix} v_2 & v_3 \\ w_2 & w_3 \end{vmatrix} \text{ and thus}$$

$$\epsilon_{ijk} u_i v_j w_k = \begin{vmatrix} u_1 & u_2 & u_3 \\ v_1 & v_2 & v_3 \\ w_1 & w_2 & w_3 \end{vmatrix} \quad (1.3)$$

Adopt the notation

$$[V] = [v_{ij}] = \begin{bmatrix} v_{11} & v_{12} & v_{13} \\ v_{21} & v_{22} & v_{23} \\ v_{31} & v_{32} & v_{33} \end{bmatrix}, \quad \det [V] = \begin{vmatrix} v_{11} & & \\ & \ddots & \\ & & \ddots \end{vmatrix} \quad (1.4)$$

Claim:

$$\epsilon_{ijk} \epsilon_{pqr} \det [V] = \begin{vmatrix} v_{ip} & v_{iq} & v_{ir} \\ v_{jp} & v_{jq} & v_{jr} \\ v_{kp} & v_{kq} & v_{kr} \end{vmatrix} \quad (1.5)$$

Proof. Clearly (1.5) holds for  $(i, j, k) = (p, q, r) = (1, 2, 3)$ ; also if two among indices  $(i, j, k)$  or  $(p, q, r)$  coincide; also if  $(i, j, k)$  or  $(p, q, r)$  are even or odd permutations of  $(1, 2, 3)$  (see properties of determinants). This exhausts all possibilities. *qed.*

From (1.3), (1.4) and properties of determinants,

$$\left. \begin{aligned} \det [V] &= \varepsilon_{ijk} V_{1i} V_{2j} V_{3k} = \varepsilon_{ijk} V_{i1} V_{j2} V_{k3} \\ \varepsilon_{pqr} \det [V] &= \varepsilon_{ijk} V_{pi} V_{qj} V_{rk} = \varepsilon_{ijk} V_{ip} V_{jq} V_{kr} \end{aligned} \right\} (1.6)$$

"Multiply" last of (1.6) by  $\varepsilon_{pqr}$ , use  $\varepsilon_{pqr} \varepsilon_{piqr} = 6$ .

Thus,

$$\det [V] = \frac{1}{6} \varepsilon_{ijk} \varepsilon_{pqr} V_{ip} V_{jq} V_{kr} \quad (1.7)$$

### Relations connecting $\delta_{ij}$ and $\varepsilon_{ijk}$

Specialize (1.5) by taking  $[V] = [V_{ij}] = [\delta_{ij}] = [1]$ .

Thus,

$$\varepsilon_{ijk} \varepsilon_{pqr} = \begin{vmatrix} \delta_{ip} & \delta_{iq} & \delta_{ir} \\ \delta_{jp} & \delta_{jq} & \delta_{jr} \\ \delta_{kp} & \delta_{kq} & \delta_{kr} \end{vmatrix} \quad (1.8)$$

Expand determinant, set  $i=p$  (summation!), and use (1.1) (properties of Kronecker delta) to arrive at

$$\varepsilon_{ijk} \varepsilon_{iqr} = \delta_{jq} \delta_{kr} - \delta_{jr} \delta_{kq} \quad (1.9)$$

Discuss structure of (1.9). Specialize (1.9) to see that

$$\varepsilon_{ijk} \varepsilon_{ijr} = 2 \delta_{kr}, \quad \varepsilon_{ijk} \varepsilon_{ijk} = 6 \quad (1.10)$$

### Exercise 1

(a) Deduce (1.9)

(b) Use results established in the lectures to show that

$$\left. \begin{aligned} v_{ij} x_j = w_i, \quad \det[V] \neq 0 \Rightarrow \\ x_i = \frac{1}{2 \det[V]} \varepsilon_{ijk} \varepsilon_{pqr} v_{qj} v_{rk} w_p \end{aligned} \right\} (1.11)$$

(c) Let  $[u]$ ,  $[v]$  be  $3 \times 3$  matrices and  $[w] = [u][v]$ ,

i.e.  $w_{ij} = u_{ik} v_{kj}$ . Use results established in the

lectures to show that  $\det[w] = \det[u] \det[v]$ .

### Connection with vector algebra

Let  $u, v, w$  be vectors in  $E_3 \cong E$ . Notation:

$u \cdot v \dots$  scalar product       $u \wedge v \dots$  vector product

$X = \{O; e_1, e_2, e_3\} \dots$  rectangular cartes. coord. frame  
with origin  $O$  and unit base  
vectors  $e_i$

$\mathcal{F}$  ... class of all rectangular frames

$$X \in \mathcal{F} \Rightarrow \underline{e}_i \cdot \underline{e}_j = \delta_{ij}$$

$\mathcal{F}_+$  ... class of all "right-handed" rectangular frames

$$X \in \mathcal{F}_+ \Rightarrow \underline{e}_i \cdot \underline{e}_j = \delta_{ij}, (\underline{e}_1 \wedge \underline{e}_2) \cdot \underline{e}_3 = +1$$

Let  $X \in \mathcal{F}_+$  and  $u_i = \underline{u} \cdot \underline{e}_i$  so that  $\underline{u} = \underline{e}_i u_i$  etc.

$u_i$  ... scalar comp'ts. of  $\underline{u}$  in  $X$ . Remark on extensions of summation conventions to products of vectors and real numbers.

By (1.3) and familiar results from vector algebra

$$\underline{u} \cdot \underline{v} = u_i v_i, \underline{u} \wedge \underline{v} = \epsilon_{ijk} \underline{e}_i u_j v_k, (\underline{u} \wedge \underline{v}) \cdot \underline{w} = \epsilon_{ijk} u_i v_j w_k \tag{1.11}$$

Exercise 2. Use indicial notation to prove:

(a)  $(\underline{u} \wedge \underline{v}) \cdot \underline{w} = \underline{u} \cdot (\underline{v} \wedge \underline{w})$

(b)  $(\underline{u} \wedge \underline{v}) \wedge \underline{w} = (\underline{u} \cdot \underline{w}) \underline{v} - (\underline{v} \cdot \underline{w}) \underline{u}$

(c)  $(\underline{u} \wedge \underline{v})^2 = \underline{u}^2 \underline{v}^2 - (\underline{u} \cdot \underline{v})^2$

Change of frame: transformation of vector comp'ts.

Consider

$$X = \{O; \underline{e}_1, \underline{e}_2, \underline{e}_3\} \in \mathcal{F}, X' = \{O; \underline{e}'_1, \underline{e}'_2, \underline{e}'_3\} \in \mathcal{F}$$

\* Restriction to  $\mathcal{F}_+$  not needed for first of (1.12)

$$\underline{e}_i \cdot \underline{e}_j = \delta_{ij}, \quad \underline{e}'_p \cdot \underline{e}'_q = \delta_{pq}, \quad \underline{e}'_i \cdot \underline{e}_j = A_{ij} = \cos(\underline{e}'_i, \underline{e}_j) \quad (1.1)$$

Expand scalar product in second of (1.13) in  $\underline{X}$   
 " " " " first " " "  $\underline{X}'$

$$\left. \begin{aligned} A_{pi} A_{qi} &= \delta_{pq}, \quad A_{pi} A_{pj} = \delta_{ij} \\ \det[A_{ij}] &= (\underline{e}'_1 \wedge \underline{e}'_2) \cdot \underline{e}'_3 = \pm 1 \end{aligned} \right\} (1.14)$$

Thus  $[A] \equiv [A_{ij}]$  is an orthogonal matrix obeying

$$[A][A]^T = [A]^T[A] = [1], \quad [A]^{-1} = [A]^T, \quad \det[A] = \pm 1 \quad (1.1)$$

Note:  $\underline{X} \in \mathcal{F}_+, \underline{X}' \in \mathcal{F}_+ \Rightarrow \det[A] = +1$ , in which case

$[A]$  is proper orthogonal

Notation:

$$[A]: \underline{X} \rightarrow \underline{X}'$$

Consider

$$\underline{v} = \underline{e}_p v_p^{\underline{X}}, \quad \underline{v}' = \underline{e}'_p v_p^{\underline{X}'}$$

Remark on notation for scalar components. Dot-multiply first eq. by  $\underline{e}'_i$  and use (1.13) to obtain

$$v_i^{\underline{X}'} = A_{ip} v_p^{\underline{X}} \quad (1.16)$$

"Multiply" (1.16) by  $A_{iq}$ , use (1.14), change indices:

$$v_i^{\underline{X}} = A_{pi} v_p^{\underline{X}'} \quad (1.17)$$

Conversely (1.17)  $\Rightarrow$  (1.16).



$$\text{Let } [A] = [A_{ij}], \quad [v] = \begin{bmatrix} v_1^x \\ v_2^x \\ v_3^x \end{bmatrix}, \quad [v'] = \begin{bmatrix} v_1^{x'} \\ v_2^{x'} \\ v_3^{x'} \end{bmatrix}.$$

Then (1.16), (1.17) in matrix forms become

$$[v'] = [A][v], \quad [v] = [A]^T[v']$$

Remark on "tensorial" definition of vector concepts and on impending generalizations.

Def. Let  $N \geq 0$  be an integer. A (cartesian) tensor

$\underline{v}$  of order  $N$  is a function defined ~~on~~ defined

on  $\mathcal{F}$  that assigns to each  $X \in \mathcal{F}$  an ordered set of  $3^N$  real numbers  $v_{ij, \dots, k}^x$  ( $N$  indices), called the components of  $\underline{v}$  in  $X$ ,  $\exists \forall [A]: X \rightarrow X'$ ,

$$v_{ij, \dots, k}^{x'} = A_{ip} A_{jq} \dots A_{kr} v_{pq, \dots, r}^x. \quad (1.18)$$

Further, if  $\underline{u}$  and  $\underline{v}$  are both tensors of order  $N$  and  $c$  is a real number, we adopt the definition

$$(i) \quad \underline{u} = \underline{v} \Leftrightarrow u_{ij, \dots, k}^x = v_{ij, \dots, k}^x \quad \forall X \in \mathcal{F}$$

$$(ii) \quad \underline{w} = \underline{u} + \underline{v} \Leftrightarrow w_{ij, \dots, k}^x = u_{ij, \dots, k}^x + v_{ij, \dots, k}^x \quad \forall X \in \mathcal{F}$$

$$(iii) \quad \underline{w} = c\underline{v} \Leftrightarrow w_{ij, \dots, k}^x = c v_{ij, \dots, k}^x \quad \forall X \in \mathcal{F}$$

Remarks. Clearly,  $\underline{w}$  in (ii), (iii) is a tensor of order  $N$ . Equality needs to be tested in single  $\Sigma$  only.  
 If  $N=0$ ,  $\underline{w}$  is a "scalar" & we write  $v$  instead.  
 If  $N=1$ ,  $\underline{w}$  is a "vector" & (1.18) reduces to (1.16)

From (1.14), (1.18) follows easily that

$$\underline{v}_{j, \dots, k}^{\Sigma} = A_{pi} A_{qj} \dots A_{rk} \underline{v}_{pq, \dots, r}^{\Sigma'} \quad (1.19)$$

Conversely, (1.19)  $\Rightarrow$  (1.18). Ask to confirm this.

Mention general tensor concept, the definition of which involves essentially arbitrary curvilinear coordinate transformations (rather than merely transformations from one system of orthogonal cartesian coords. to another). See McConnell.

We agree that "tensor" henceforth means "cartesian tensor."

~~intentional general tensors and agree that henceforth  
tensor means Cartesian tensor~~

Def. A tensor  $\underline{\alpha}$  of order  $N \geq 2$  is symmetric (resp. skew-symmetric) w.r. to a pair of indices of its components if the components of  $\underline{\alpha}$  remain unaltered (resp. change sign) under an interchange of these two indices.

Define fully symm. (fully skew symm) tensors.

Note that symm (skew-symm) needs to be tested only in one frame. (intrinsic properties)

Def. A tensor  $\underline{\alpha}$  of arbitrary order is isotropic if its comp<sup>s</sup>.  $v_{ij \dots k}^{\underline{\alpha}}$  are independent of  $\underline{X}$ .

Note that a scalar is an isotropic tensor of order zero

Thm. 1.1

(a) Let  $v_{ij}^{\underline{\alpha}} = \delta_{ij} \forall \underline{X} \in \mathcal{F}$ . Then  $v_{ij}^{\underline{\alpha}}$  are the comp<sup>s</sup>. of an isotropic second-order tensor  $\underline{\alpha}$  and we write  $\underline{\alpha} = \underline{1}$  (Kronecker tensor or idem tensor)

(b) Let  $v_{ijk}^{\mathbb{X}} = \varepsilon_{ijk} \forall \mathbb{X} \in \mathbb{F}$  and replace  $\mathbb{F}$  in def. of a tensor by  $\mathbb{F}_+$ . Then  $v_{ijk}^{\mathbb{X}}$  are the components of an isotropic tensor  $\mathbb{V}$  of order three (alternating tensor)

Proof. Clearly suffices to show that  $v_{ij}^{\mathbb{X}}$  and  $v_{ijk}^{\mathbb{X}}$  are the compo. in  $\mathbb{X}$  of a tensor (explain)

Re (a). By hyp. and (1.14) one has

$$A_{ip} A_{jq} v_{pq}^{\mathbb{X}} = A_{ip} A_{jq} \delta_{pq} = A_{ip} A_{jp} = \delta_{ij} = v_{ij}^{\mathbb{X}'} \quad \text{qed.}$$

Re (b). By hyp. and (1.14), (1.6) one has

$$\begin{aligned} A_{ip} A_{jq} A_{kr} v_{pqr}^{\mathbb{X}} &= A_{ip} A_{jq} A_{kr} \varepsilon_{pqr} \\ &= \varepsilon_{ijk} \det[A] = \varepsilon_{ijk} = v_{ijk}^{\mathbb{X}'} \quad \text{qed.} \end{aligned}$$

$\underbrace{\det[A]}_{\substack{1 \text{ if } \mathbb{X} \in \mathbb{F}_+, \\ -1 \text{ if } \mathbb{X} \in \mathbb{F}_-}}$

Remarks:  $v_{ijk}^{\mathbb{X}}$  would not be tensor components

if  $\det[A] = -1$  (pseudo-tensor).  $\mathbb{V}$  is symm. in (a) fully skew-symm. in (b).

Restrictions of tensor concept. Motivated by (b) in

Thm. 1.1 we henceforth replace  $\mathbb{F}$  by  $\mathbb{F}_+$  in def. of

Cartesian tensors and let  $\mathcal{F}$  from here on have the meaning of  $\mathcal{F}_+$  (change of notations).

~~Equality of addition of tensors, multiplication by scalar~~

~~Let  $\underline{u}, \underline{v}$  be tensors of same order  $N$ , let  $c$  be a~~

~~scalar. Adopt the definitions:~~

~~$$(a) \underline{u} = \underline{v} \iff u_{y \dots k} = v_{y \dots k} \quad \forall \underline{x} \in \mathcal{F}$$~~

~~$$(b) \underline{w} = \underline{u} + \underline{v} \iff w_{y \dots k} = u_{y \dots k} + v_{y \dots k} \quad \forall \underline{x} \in \mathcal{F}$$~~

~~$$(c) \underline{w} = c \underline{u} \iff w_{y \dots k} = c u_{y \dots k} \quad \forall \underline{x} \in \mathcal{F}$$~~

~~Remarks. Clearly,  $\underline{w}$  is a tensor of order  $N$ .~~

~~Note that equality needs to be tested in one  $\underline{x}$  only~~

Th. 1.2 (Outer multiplication of tensors). Let  $\underline{u}$  and  $\underline{v}$  be tensors of order  $M$  and  $N$ , respectively. Define

$$\underbrace{w_{ij \dots k \ m r_2 \dots r}}_{M+N \text{ subs.}} = u_{ij \dots k} \underbrace{v_{m r_2 \dots r}}_{M \text{ subs. } N \text{ subs.}} \quad \forall \underline{x} \in \mathcal{F}$$

Then  $w_{ij \dots k \ m r_2 \dots r}$  are the compnts. in  $\underline{x}$  of a tensor  $\underline{w}$  of order  $M+N$ , which is called the outer product of  $\underline{u}$  and  $\underline{v}$ .

Proof. By hyp. and def. of a tensor, if  $[A]: \mathbb{X} \rightarrow \mathbb{X}'$ ,

$$\begin{aligned} W_{j \dots k m n \dots r}^{\mathbb{X}'} &= U_{j \dots k}^{\mathbb{X}'} V_{m n \dots r}^{\mathbb{X}'} \\ &= A_{ip} \dots A_{kq} U_{p \dots q}^{\mathbb{X}} A_{ms} \dots A_{rt} V_{s \dots t}^{\mathbb{X}} \\ &= A_{ip} \dots A_{kq} A_{ms} \dots A_{rt} W_{p \dots q s \dots t}^{\mathbb{X}}, \end{aligned}$$

so that conclusion follows from def. of a tensor.

Thm. 1.3 (Contractions of tensors). Let  $\underline{u}$  be a tensor of order  $N \geq 2$ . Define

$$\underbrace{V_{j \dots k}^{\mathbb{X}}}_{N-2} = \underbrace{u_{j \dots m \dots n \dots k}^{\mathbb{X}}}_{N} \quad \forall \mathbb{X} \in \mathcal{F}.$$

Then  $V_{j \dots k}^{\mathbb{X}}$  are the components in  $\mathbb{X}$  of a tensor  $\underline{v}$  of order  $N-2$ , said to be formed by contraction of the tensor  $\underline{u}$ .

The proof of this theorem will be left as an exercise.

Examples. In what follows  $c$  is a scalar and  $\underline{u}, \underline{v}$  tensors of appropriate positive orders. We now illustrate the formation of a new tensor  $\underline{w}$  by means of outer multiplication and contraction.

Def. of $\underline{w}$	Order of $\underline{u}$	Order of $\underline{x}$	Order of $\underline{w}$	Absolute notations
$w = u_i v_i$	1	1	0	$w = \underline{u} \cdot \underline{v}$
$w_i = \epsilon_{ijk} u_j v_k$	1	1	1	$\underline{w} = \underline{u} \wedge \underline{v}$
$w_i = u_{ij} v_j$	2	1	1	$\underline{w} = \underline{u} \underline{v}$
$w_{ij} = u_{ik} v_{kj}$	2	2	2	$\underline{w} = \underline{u} \underline{v}$
$w_{ij} = u_i v_j$	1	1	2	$\underline{w} = \underline{u} \otimes \underline{v}$
$w_{ijk} = u_{ij} v_k$	2	1	3	

Remark on suppression of frame label (explain,

If  $\underline{u}$  and  $\underline{v}$  are tensors of the same positive order, we define

$$\left. \begin{aligned} \underline{u} \cdot \underline{v} &= u_{i_1 \dots i_n} v_{i_1 \dots i_n} \quad (\text{inner product}) \\ |\underline{u}| &= \sqrt{\underline{u} \cdot \underline{u}} \quad (\text{norm}) \end{aligned} \right\} (1.20)$$

Note generalizations of correspondg. vector notation

Raise issue of tensor division.

Thm. 1.4 (Quotient rule). Let  $q$  real numbers  $w_{ij}^{\mathbb{X}}$  be defined  $\forall \mathbb{X} \in \mathcal{F}$  and suppose for every unit vector  $\underline{u}$  the numbers  $v_i^{\mathbb{X}}$  defined by

$$v_i^{\mathbb{X}} = w_{ij}^{\mathbb{X}} u_j \quad \forall \mathbb{X} \in \mathcal{F}$$

are the components in  $\mathbb{X}$  of a vector  $\underline{v}$ . Then  $w_{ij}^{\mathbb{X}}$  are the components of a second-order tensor  $\underline{w}$ .

Proof. By hyp. and (1.19), if  $[\underline{A}]: \mathbb{X} \rightarrow \mathbb{X}'$ , one has

$$v_i^{\mathbb{X}} = A_{qi} v_q^{\mathbb{X}'} = w_{ij}^{\mathbb{X}} A_{qj} u_q^{\mathbb{X}'}$$

"Multiply" by  $A_{pi}$  to obtain

$$A_{pi} A_{qi} v_q^{\mathbb{X}'} = w_{ij}^{\mathbb{X}} A_{pi} A_{qj} u_q^{\mathbb{X}'} \quad \text{or, since } A_{pi} A_{qi} = \delta_{pq},$$

$$v_p^{\mathbb{X}'} = A_{pi} A_{qj} w_{ij}^{\mathbb{X}} u_q^{\mathbb{X}'} \quad (1)$$

By hyp.,

$$v_p^{\mathbb{X}'} = w_{pq} u_q^{\mathbb{X}'} \quad (2)$$

$$(1), (2) \Rightarrow (w_{pq}^{\mathbb{X}'} - A_{pi} A_{qj} w_{ij}^{\mathbb{X}}) u_q^{\mathbb{X}'} = 0 \quad \text{for every } \underline{u} \ni |\underline{u}| = 1$$

Choose  $\underline{u} \ni u_q^{\mathbb{X}'} = \delta_{qs}$  with  $s$  fixed. Thus,

$$w_{ps}^{\mathbb{X}'} = A_{pi} A_{sj} w_{ij}^{\mathbb{X}}$$

q.e.d.



Remark on generalizations of above quotient rule and other versions (see following exercise).

### Exercise 3

(a) Prove Thm 1.3 on contraction of tensors:

(b) Prove the subsequent theorem. Let  $3^N$  real numbers

$t_{ij \dots k}^X$  ( $N$  subs.,  $N \geq 1$ ) be defined  $\forall X \in \mathcal{F}$  and suppose

for every choice of  $N$  unit vectors  $u, v, \dots, w$ ,

$$t_{ij \dots k}^X u_i v_j \dots w_k = c \quad \forall X \in \mathcal{F},$$

where  $c$  is a real number (indep. of  $X$ ). Then

$t_{ij \dots k}^X$  are the components in  $X$  of a tensor of order  $N$ .

### Second-order tensors ("two-tensors")

Remark on important role of two-tensors in mechanics (e.g. inertia tensor, deformation and strain tensors, stress tensors, etc.)

Notation: Throughout what follows  $U, V$ , etc. two-tensors  $u, v$ , etc. are vectors, unless otherwise specified.

Recall from (1.18), (1.19) that if  $[A]: X \rightarrow X'$

$$W_{ij}^{X'} = A_{ip} A_{jq} W_{pq}^X, \quad W_{ij}^X = A_{pi} A_{qj} W_{pq}^{X'} \quad (*)$$

Let  $[W] = [W_{ij}^X]$ ,  $[W'] = [W_{ij}^{X'}]$ . Then (\*) is equivalent

to the matrix equations

$$[W'] = [A][W][A]^T, \quad [W] = [A]^T[W'][A] \quad (**)$$

Remark on obvious equivalence of first & second in (\*\*)

### Product of a two-tensor and a vector

If  $\underline{W}$  is a two-tensor and  $\underline{u}$  a vector, define

$$\underline{v} = \underline{W} \underline{u} \iff v_i = W_{ij} u_j \quad (1.21)$$

Mention distributivity of this multiplication

Refer to Thms. 1.2, 1.3 and remark on omission of frame labels. Set

$$[\underline{u}] = \begin{bmatrix} u_1 \\ u_2 \\ u_3 \end{bmatrix}, \quad [\underline{v}] = \begin{bmatrix} v_1 \\ v_2 \\ v_3 \end{bmatrix}, \quad [W] = [W_{ij}]$$

Then (1.21)  $\iff$

$$[\underline{v}] = [W][\underline{u}]$$

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### Connections between two-tensors & linear vector functions

Recall definitions of a linear vector function:

A linear vector function  $\underline{L}$  is a mapping that associates with each vector  $\underline{u} \in E_3$  a vector  $\underline{v} = \underline{L}(\underline{u}) \in E_3$  such that

$$(i) \underline{L}(\underline{u}_1 + \underline{u}_2) = \underline{L}(\underline{u}_1) + \underline{L}(\underline{u}_2), \quad (ii) \underline{L}(c\underline{u}) = c\underline{L}(\underline{u})$$

$\forall$  real number  $c$ .

Thm. 1.5. Let  $\underline{W}$  be a two-tensor and define a vector-valued function  $\underline{L}$  through

$$\underline{v} = \underline{L}(\underline{u}) = \underline{W}\underline{u} \quad \forall \underline{u} \in E_3. (*)$$

Then  $\underline{L}$  is a linear vector function.

Conversely, given a linear vector function  $\underline{L}$ ,  $\exists$  a unique two-tensor  $\underline{W} \ni (*)$  holds true.

Proof. First claim is trivial (explain).

Re converse. Let  $\underline{L}$  be given. Choose  $\underline{X} = \{0, \underline{e}_1, \underline{e}_2, \underline{e}_3\} \in \mathcal{F}$

Let  $W_{ji}^{\underline{X}}$  be the  $j$ -th scalar comp. of  $\underline{L}(\underline{e}_i)$  in  $\underline{X}$ , where

$$\underline{L}(\underline{e}_i) = W_{ji}^{\underline{X}} \underline{e}_j \quad (**)$$

Then from the linearity of  $\underline{L}$  one has  $\forall \underline{u} \in E_3$ ,

$$\underline{v} = \underline{L}(\underline{u}) = \underline{L}(u_i \underline{e}_i) = u_i \underline{L}(\underline{e}_i) = u_i W_{ji}^{\underline{X}} \underline{e}_j = v_j \underline{e}_j$$

Hence

$$v_j^{\underline{X}} = W_{ji}^{\underline{X}} u_i^{\underline{X}} \quad \text{or} \quad v_i^{\underline{X}} = W_{ij}^{\underline{X}} u_j^{\underline{X}} \quad \forall \underline{X} \in \mathcal{F}, \forall \underline{u} \in E_3$$

Now Thm. 1.4 (quotient rule) assures that  $W_{ij}^{\underline{X}}$  are the compts. in  $\underline{X}$  of a two-tensor  $\underline{W}$ . Thus, by (1.21),

$$\underline{v} = \underline{W} \underline{u} \quad \forall \underline{u} \in E_3$$

and (\*) holds. Uniqueness of  $\underline{W}$  is trivial. q.e.d.

Remark on coord.-free def. of two-tensors as l.v.f. Components then defined by (1.21'). (eg. see Gurtin)

### Transpose of a two-tensor

Trivially, if  $U_{ij}^{\underline{X}}$  are the compts. in  $\underline{X}$  of a two-tensor  $\underline{U}$ ,  $\forall \underline{X} \in \mathcal{F}$ , so are  $V_{ij}^{\underline{X}} = U_{ji}^{\underline{X}}$ . (explain). Define,

$$\underline{V} = \underline{U}^T \iff V_{ij} = U_{ji} \quad (1.22)$$

Thus  $[\underline{V}] = [\underline{U}]^T$ . Clearly,  $\underline{U}$  is symm. iff  $\underline{U}^T = \underline{U}$  and skew-symm. iff  $\underline{U}^T = -\underline{U}$ .

If  $\underline{U}$  is a two-tensor and  $\underline{m}, \underline{n}$  are vectors, one has the "reciprocal relations":

$$\underline{m} \cdot (\underline{U} \underline{n}) = (\underline{U}^T \underline{m}) \cdot \underline{n} \quad (1.23)$$

since

$$m_i U_{ij} n_j = m_j U_{ji} n_i = U_{ji} m_j n_i$$

### Product of two second-order tensors

If  $\underline{U}, \underline{V}$  are two-tensors, define

$$\underline{W} = \underline{U}\underline{V} \iff W_{ij} = U_{ik} V_{kj} \quad (1.24)$$

Clearly,  $\underline{W}$  is a two-tensor. Product non-commutative.

$$[\underline{W}] = [\underline{U}][\underline{V}] \quad (\text{elaborate})$$

$$\underline{W} = \underline{U}\underline{V} \implies \underline{W}^T = \underline{V}^T \underline{U}^T, \text{ as follows from (1.24), (1.22)}$$

If  $\underline{x}, \underline{y}, \underline{z}$  are vectors and  $\underline{U}, \underline{V}$  two-tensors,

$$\underline{y} = \underline{V}\underline{x}, \underline{z} = \underline{U}\underline{y} \implies \underline{z} = \underline{W}\underline{x}, \underline{W} = \underline{U}\underline{V}.$$

### Tensor (dyadic) product of two vectors

If  $\underline{u}, \underline{v}$  are vectors, define

$$\underline{W} = \underline{u} \otimes \underline{v} \iff W_{ij} = u_i v_j \quad (1.25)$$

Clearly,  $\underline{W}$  is a two-tensor and this product too is non-commutative; it is distributive.

← INSERT

### Trace of a two-tensor

$$c = \text{tr} \underline{V} \iff c = V_{ii} \quad (1.25')$$

## Fundamental scalar invariants of a 2-tensor

$$\mathbb{I}_1(\underline{V}) = V_{ii} = \text{tr } \underline{V} = V_{11} + V_{22} + V_{33}$$

$$\mathbb{I}_2(\underline{V}) = \frac{1}{2} (V_{ii} V_{jj} - V_{ij} V_{ji}) = \frac{1}{2} [(\text{tr } \underline{V})^2 - \text{tr}(\underline{V}^2)]$$

$$= \begin{vmatrix} V_{11} & V_{12} \\ V_{21} & V_{22} \end{vmatrix} + \begin{vmatrix} V_{22} & V_{23} \\ V_{32} & V_{33} \end{vmatrix} + \begin{vmatrix} V_{33} & V_{31} \\ V_{13} & V_{11} \end{vmatrix}$$

(1.20)

$$\mathbb{I}_3(\underline{V}) = \frac{1}{6} \varepsilon_{ijk} \varepsilon_{pqr} V_{ip} V_{jq} V_{kr} = \det [V_{ij}] = \det \underline{V}$$

The scalar (invariant) character of  $\mathbb{I}_i(\underline{V})$  is immediate from Thms. 1.2, 1.3. Describe invariants in terms of  $[V_{ij}^{\underline{X}}]$ .

A two-tensor  $\underline{V}$  is nonsingular if  $\det \underline{V} \neq 0$ .

If  $\underline{U}, \underline{V}$  are two-tensors, (1.20), (1.24) & Exercise 1 =

$$\left. \begin{aligned} \underline{U} \cdot \underline{V} &= U_{ij} V_{ij} = \text{tr}(\underline{U} \underline{V}^T), \quad |\underline{U}| = \sqrt{\text{tr}(\underline{U} \underline{U}^T)}, \\ \det(\underline{U} \underline{V}) &= \det \underline{U} \det \underline{V} \end{aligned} \right\} (1.27)$$

Exercise 4 (Inverse of a two-tensor).

(a) With the aid of (1.11)\* and without recourse to matrix algebra, show that if  $\underline{U}$  is a nonsingular two-tensor,  $\exists$  a unique two-tensor  $\underline{V} = \underline{U}^{-1} \exists$

\* See (b) in Exercise 1.

$\underline{U}\underline{U} = \underline{U}\underline{U} = \underline{1}$ . Show further that

$$V_{ij} = (\underline{U}^{-1})_{ij} = \frac{1}{2 \det \underline{U}} \epsilon_{ipq} \epsilon_{jrs} U_{rp} U_{sq} \quad (1.28)$$

(b) Assuming  $\underline{U}, \underline{V}$  to be nonsingular two-tensors and  $\underline{W} = \underline{U}\underline{V}$ , show by means of (1.28) that

$$(\underline{U}^{-1})^T = (\underline{U}^T)^{-1} \equiv \underline{U}^{-T}, \quad \underline{W}^{-1} = \underline{V}^{-1} \underline{U}^{-1} \quad (1.29)$$

Note that  $[\underline{V}] = [\underline{U}]^{-1}$  in (a), so that (1.28) supplies a formula in index notation for the inverse of a nonsingular  $3 \times 3$  matrix.

Def. A two-tensor  $\underline{Q}$  is orthogonal if  $\underline{Q}\underline{Q}^T = \underline{1}$ .

True,  $\underline{Q}$  orthog.  $\Rightarrow \underline{Q}^T \underline{Q} = \underline{1}, \underline{Q}^{-1} = \underline{Q}^T, \det \underline{Q} = \pm 1$

$\underline{Q}$  is proper orthogonal if it is orthogonal and  $\det \underline{Q} = +1$

Def. Let  $\underline{V}$  be a two-tensor. A real number  $\lambda = \lambda(\underline{V})$  is a principal value of  $\underline{V}$  if  $\exists$  a vector  $\underline{n} \in \dots$

$$\underline{V}\underline{n} = \lambda \underline{n}, \quad \underline{n}^2 = 1 \quad \text{or} \quad V_{ij} n_j = \lambda n_i, \quad n_i n_i = 1 \quad (1.30)$$

If so,  $\underline{n}$  is a princ. direction vector of  $\underline{V}$  belonging

to the p. value  $\lambda$  and  $(n_1, -n_2)$  determine a principal axis of  $\mathcal{V}$  belonging to  $\lambda$ .

Remarks. Interpret (1.30) geometrically in terms of l.v.f. generated by  $\mathcal{V}$ . Raise existence and uniqueness issue.

Thm. 1.6'. Let  $\mathcal{V}$  be a two-knorr. Then  $\lambda$  is a p. value of  $\mathcal{V}$  iff it is a real root of the cubic equation

$$F(\lambda) \equiv \det(\mathcal{V} - \lambda \mathbb{1}) = -\lambda^3 + I_1 \lambda^2 - I_2 \lambda + I_3 = 0, \quad (1.31)^\S$$

where  $I_i = I_i(\mathcal{V})$  are the scalar invariants of  $\mathcal{V}$ . If  $\lambda$  is a p. value of  $\mathcal{V}$ ; the p. direction vectors belonging to  $\lambda$  are the solutions for  $n_i$  of the equations

$$(V_{ij} - \lambda \delta_{ij}) n_j = 0, \quad n_i n_i = 1 \quad (1.32)$$

Proof. By def.,  $\lambda$  is p. value of  $\mathcal{V}$  iff  $\exists$  real  $n_i \ni$  (1.32) hold. For this it is nec. & suff. that

$$\det [V_{ij} - \lambda \delta_{ij}] \equiv \det [\mathcal{V} - \lambda \mathbb{1}] = 0 \quad (*)^{\S\S}$$

and (\*) is easily confirmed to be equivalent to (1.31) with the aid of (1.7), (1.9), (1.10), (1.26).

§ Characteristic equations of  $\mathcal{V}$

$$\S\S (*) \Leftrightarrow \frac{1}{6} \epsilon_{ijk} \epsilon_{pqr} (V_{ip} - \lambda \delta_{ip})(V_{jq} - \lambda \delta_{jq})(V_{kr} - \lambda \delta_{kr}) = 0$$



Remark. Clearly, (1.31) has at least one real root and at most three distinct real roots (explain and interpret).

Thm. 1.7. Let  $\mathcal{V}$  be a symmetric two-tensor. Then all three roots  $(\lambda_1, \lambda_2, \lambda_3)$  of its characteristic equation (1.31) are real.  $\exists$  at least three mutually  $\perp$  p. axes of  $\mathcal{V}$  and at least one p. frame  $\mathcal{X}' = \{O; \mathbf{e}'_1, \mathbf{e}'_2, \mathbf{e}'_3\} \in \mathcal{F}$ . Also,

$$[V_{ij}^{\mathcal{X}'}] = \begin{bmatrix} \lambda_1 & 0 & 0 \\ 0 & \lambda_2 & 0 \\ 0 & 0 & \lambda_3 \end{bmatrix} \quad \text{if } \mathcal{V} \mathbf{e}'_i = \lambda_i \mathbf{e}'_i \text{ (no sum)} \quad (1.33)$$

Moreover, the following classification is exhaustive:

Case I. If  $(\lambda_1, \lambda_2, \lambda_3)$  are distinct (i.e. all roots of (1.31) are simple), then  $\exists$  a unique p. axis belonging to each  $\lambda$  the three p. axes being mutually  $\perp$ .

Case II. If, say,  $\lambda_1 \neq \lambda_2 = \lambda_3 = \lambda_*$  (i.e. (1.31) has a double and a simple root), then  $\exists$  a unique p. axis belonging to  $\lambda_1$  and every  $\perp$  axis is a p. axis belonging to  $\lambda_*$ .

Case III. If  $\lambda_1 = \lambda_2 = \lambda_3 = \lambda_*$  (i.e. (1.31) has a triple root), then every axis is a p. axis belonging to  $\lambda_*$  and  $\mathcal{V} = \lambda_* \mathbf{1}$  so that  $\mathcal{V}$  is isotropic.

Lemma 1. If  $\underline{r}^{(1)}, \underline{r}^{(2)}$  are p. direction vectors belonging to two distinct p. values of a symm. two-tensor, then  $\underline{r}^{(1)} \perp \underline{r}^{(2)}$ .

Proof. Let  $\underline{r}^{(1)}, \underline{r}^{(2)}$  belong to p. values  $\lambda_1, \lambda_2$  ( $\lambda_1 \neq \lambda_2$ ).

Then

$$\underline{V}\underline{r}^{(1)} = \lambda_1 \underline{r}^{(1)}, \quad \underline{V}\underline{r}^{(2)} = \lambda_2 \underline{r}^{(2)} \quad (*)$$

By (\*), (1.23) and since  $\underline{V} = \underline{V}^T$ ,

$$\underline{V}\underline{r}^{(1)} \cdot \underline{r}^{(2)} = \underline{V}\underline{r}^{(2)} \cdot \underline{r}^{(1)}, \quad \lambda_1 \underline{r}^{(1)} \cdot \underline{r}^{(2)} = \lambda_2 \underline{r}^{(2)} \cdot \underline{r}^{(1)}$$

$$\Rightarrow (\lambda_1 - \lambda_2) \underline{r}^{(1)} \cdot \underline{r}^{(2)} = 0 \Rightarrow \underline{r}^{(1)} \cdot \underline{r}^{(2)} = 0 \quad \text{qed.}$$

Lemma 2. Let  $\lambda$  be a p. value of a symmetric two-tensor  $\underline{V}$  and  $\underline{r}$  a p. direction vector belonging to  $\lambda$ . For fixed

$k$  ( $k=1,2,3$ ) choose  $\underline{X} = \{0, \underline{e}_1, \underline{e}_2, \underline{e}_3\} \in \mathcal{F} \ni \underline{e}_k = \underline{r}$ . Then

$$V_{ki}^{\underline{X}} = V_{ik}^{\underline{X}} = \lambda \delta_{ik}.$$

Proof. Choose and fix  $k$ . By hyp.,

$$\underline{V}\underline{e}_k = \lambda \underline{e}_k, \quad V_{ij}^{\underline{X}} \delta_{jk} = \lambda \delta_{ik}, \quad V_{ik}^{\underline{X}} = V_{ki}^{\underline{X}} = \lambda \delta_{ik} \quad \text{qed.}$$

Proof of Thm. 1.7.

By Thm. 1.6, the p. values of  $\underline{V}$  are the real roots of

(1.31) and if  $\lambda_j$  is such a root, the associated p. direct

vectors  $\underline{r}^{(j)}$  are obtained by solving (1.32) for  $\underline{r}$  after replacing  $\lambda$  by  $\lambda_j$ .

We show first that all three roots of (1.31) are real if  $\mathbb{V}$  is symm. Since  $\mathbb{I}_i$  is real, one real root, say  $\lambda_1$ , is assured. Let  $\underline{r}^{(1)}$  be a p. dir. vector belonging to  $\lambda_1$ . Choose  $\mathbb{X}' = \{0; \underline{e}'_1, \underline{e}'_2, \underline{e}'_3\} \in \mathbb{F} \ni \underline{e}'_1 = \underline{r}^{(1)}$ . Write  $V'_{ij} \equiv V_{ij}^{\mathbb{X}'}$ . By Lemma 2,

$$V'_{11} = \lambda_1, \quad V'_{12} = V'_{21} = V'_{13} = V'_{31} = 0 \quad (\alpha)$$

Hence (1.31) becomes

$$\det [V'_{ij} - \lambda \delta_{ij}] = \begin{vmatrix} \lambda_1 - \lambda & 0 & 0 \\ 0 & V'_{22} - \lambda & V'_{23} \\ 0 & V'_{23} & V'_{33} - \lambda \end{vmatrix} = 0 \quad \text{or}$$

$$(\lambda_1 - \lambda) [\lambda^2 - (V'_{22} + V'_{33})\lambda + V'_{22}V'_{33} - (V'_{23})^2] = 0 \quad (\beta)$$

The solutions of (b) are:

$$\lambda = \lambda_1, \quad \lambda = \lambda_{2,3} = \frac{V'_{22} + V'_{33}}{2} \pm \sqrt{\frac{(V'_{22} - V'_{33})^2}{4} + (V'_{23})^2} \quad (\gamma)$$

Thus all three  $\lambda_i$  are real.

Lemma 2  $\Rightarrow$  if  $\exists$  a p. frame  $\Sigma'$  for  $\mathcal{V} \ni \underline{e}'_i$  is a p. direction vector for  $\lambda_i$ , then (1.33) holds. The existence of at least one such frame is assured by what follows.

Re Case I:  $\lambda_1, \lambda_2, \lambda_3$  distinct

Here, by Lemma 1, the p. axes are unique and mutually  $\perp$  (unique since  $\nexists$  more than one axis through  $\odot$  that is  $\perp$  to a given plane in  $E_3$ ).

Re Case II: Say  $\lambda_1 \neq \lambda_2 = \lambda_3 = \lambda_*$

Choose  $\Sigma' \ni \underline{e}'_1 = \underline{x}^{(1)}$ . Note there are infinitely many such choices. For each such choice, from (c),

$$V'_{22} = V'_{33} = \lambda_*, \quad V'_{23} = V'_{32} = 0 \quad (d)$$

Now (a), (d)  $\Rightarrow$

$$[V'_{ij}] = \begin{bmatrix} \lambda_1 & 0 & 0 \\ 0 & \lambda_* & 0 \\ 0 & 0 & \lambda_* \end{bmatrix} \Rightarrow \mathcal{V} \underline{e}'_2 = \lambda_* \underline{e}'_2, \quad \mathcal{V} \underline{e}'_3 = \lambda_* \underline{e}'_3$$

Thus  $\underline{e}'_2$  and  $\underline{e}'_3$  are both p. direction vectors belonging to the double root  $\lambda_*$  and hence every axis  $\perp \underline{e}'_1 = \underline{x}^{(1)}$  is a p. axis belonging to  $\lambda_*$ . Uniqueness of p. axis belonging to  $\lambda_1$  is clear from Lemma 1.

The Case III:  $\lambda_1 = \lambda_2 = \lambda_3 = \lambda_*$

Hence, from (a), (d),  $\exists \mathbf{X}' \in \mathcal{F} \ni V'_{ij} = \lambda_* \delta_{ij}$ . Hence by

Thm. 1.1,

$$\mathcal{V} = \lambda_* \mathbf{1} \quad (\mathcal{V} \text{ is isotropic two-tensor})$$

and thus

$$\mathcal{V} \underline{r} = \lambda_* \mathbf{1} \underline{r} = \lambda_* \underline{r} \quad \forall \text{ unit vector } \underline{r}$$

Every unit vector is a p. direction vector belonging to  $\lambda_*$ , every  $\mathbf{X} \in \mathcal{F}$  is a p. frame for  $\mathcal{V}$ . /

If  $\mathcal{V}$  is a symm. two-tensor,  $\mathbf{X} = \{0; \underline{e}_1, \underline{e}_2, \underline{e}_3\} \in \mathcal{F}$  is a p. frame for  $\mathcal{V}$ , and  $(\lambda_1, \lambda_2, \lambda_3)$  are the corresponding p. values, one has from (1.25'), (1.26), Thm. 1.1,

$$\mathcal{V} = \sum_{i=1}^3 \lambda_i \underline{e}_i \otimes \underline{e}_i, \quad \left. \right\} (3.)$$

$$I_1(\mathcal{V}) = \lambda_1 + \lambda_2 + \lambda_3, \quad I_2(\mathcal{V}) = \lambda_1 \lambda_2 + \lambda_2 \lambda_3 + \lambda_3 \lambda_1, \quad I_3(\mathcal{V}) = \lambda_1 \lambda_2 \lambda_3$$

Exercise 5.

(a) Let  $\mathbf{X} \in \mathcal{F}$  and let  $\mathcal{V}$  be a two-tensor such that

$$(i) \quad [V'_{ij}] = \begin{bmatrix} 7 & 2 & 0 \\ 2 & 6 & -2 \\ 0 & -2 & 5 \end{bmatrix}$$

$$(ii) \quad [V'_{ij}] = \begin{bmatrix} 0 & 1 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \end{bmatrix}$$

In each case find the p. values and all associated p. direction vectors. Use (1.34) to check on p. values and confirm the p. values by means of a suitable rotation of  $\mathbf{X}$ .

(b) If  $\underline{V}$  is a symm. two-tensor and  $I_i$  are its scalar invariants, show that  $\underline{V}$  satisfies the tensor equation

$$\underline{W} = \underline{E}(\underline{V}) = -\underline{V}^3 + I_1 \underline{V}^2 - I_2 \underline{V} + I_3 \underline{1} = \underline{0} \quad (*) \quad (\text{Caley-Hamilton})$$

Compare (\*) with the characteristic equations of  $\underline{V}$ .

HINT: Use p. axes of  $\underline{V}$ .

Thm. 1.8 (Extremum properties of p. values). Let  $\underline{V}$  be a symm two-tensor with p. values  $\lambda_i$  and assume  $\lambda_1 \geq \lambda_2 \geq \lambda_3$ . Then

$$\lambda_1 = \max_{\underline{X} \in \mathcal{F}} \underline{V}_{ii}^{\underline{X}}, \quad \lambda_3 = \min_{\underline{X} \in \mathcal{F}} \underline{V}_{ii}^{\underline{X}} \quad (\text{no sums})$$

Omit proof. See discussion of stress tensor.

Def. A symm. two-tensor  $\underline{W}$  is positive definite if

$$\underline{v} \cdot (\underline{W} \underline{v}) \equiv W_{ij} v_i v_j > 0 \quad \forall \text{ vector } \underline{v} \neq \underline{0},$$

i.e. if  $[W_{ij}^{\underline{X}}]$  is pos. def.  $\forall \underline{X} \in \mathcal{F}$ .

Thm. 1.9 A nec. and suff. condition that a symm. two-tensor be pos. def. is that all of its p. values be positive

Proof. Let  $\underline{W}$  be a symm. two-tensor with p. values  $\lambda_i$ .

By Thm. 1.7  $\exists$  p. frame  $\underline{X}' \in \mathcal{F}$  for  $\underline{W}$  and

$$W_{ij}^X \frac{X}{V_i} \frac{X}{V_j} = \lambda_1 (V_1^X)^2 + \lambda_2 (V_2^X)^2 + \lambda_3 (V_3^X)^2 \quad \forall X \in \mathcal{F}$$

The desired conclusion is now immediate. Explain.

### Skew-symmetric two-tensors

Let  $\underline{V}$  be a skew-symm. two-tensor. Recall that then

$$\underline{V}^T = -\underline{V} \iff V_{ji} = -V_{ij}$$

Hence

$$[V_{ij}] = \begin{bmatrix} 0 & V_{12} & V_{13} \\ -V_{12} & 0 & V_{23} \\ -V_{13} & -V_{23} & 0 \end{bmatrix}$$

Thus  $\det \underline{V} = 0$ , so that every skew two-tensor is singular.

This suggests representability of  $\underline{V}$  in terms of a vector.

Define

$$\underline{w} = \text{dual } \underline{V} \iff w_i = \frac{1}{2} \varepsilon_{ijk} V_{kj} \quad (1.35)$$

One calls  $\underline{w}$  the "vector dual" of  $\underline{V}$ . "Multiply" the second of (1.35) by  $-\varepsilon_{ipq}$ , use (1.9), and  $V_{ji} = -V_{ij}$  to obtain

$$V_{ij} = \varepsilon_{ikj} w_k \quad \text{or} \quad [V_{ij}] = \begin{bmatrix} 0 & -w_3 & w_2 \\ w_3 & 0 & -w_1 \\ -w_2 & w_1 & 0 \end{bmatrix} \quad (1.36)$$

If  $\underline{V}$  is a skew two-tensor,  $\underline{w} = \text{dual } \underline{V}$  and  $\underline{u}$  a vector, then

$$\underline{q} = \underline{V} \underline{u} \iff \underline{q} = \underline{w} \wedge \underline{u} \quad (1.37)$$

since by (1.36), (1.12),

$$\underline{q} = \underline{V} \underline{u} \iff q_i = V_{ij} u_j \iff q_i = \varepsilon_{ikj} w_k u_j \iff \underline{q} = \underline{w} \wedge \underline{u}$$

Remark on def. of  $\underline{w}$  through  $\underline{V} \underline{u} = \underline{w} \wedge \underline{u} \quad \forall \underline{u} \in E_3$

## Decomposition theorems for second-order tensors

Thm. 1.10. Every two-tensor  $\underline{W}$  admits a unique decomposition  $\exists$

$$\underline{W} = \underline{U} + \underline{V}, \quad \underline{U} = \underline{U}^T, \quad \underline{V} = -\underline{V}^T \quad (*)$$

and this resolution is given by

$$\left. \begin{aligned} \underline{U} &\equiv \text{sym } \underline{W} = \frac{1}{2}(\underline{W} + \underline{W}^T), \quad \underline{V} \equiv \text{skiv } \underline{W} = \frac{1}{2}(\underline{W} - \underline{W}^T) \\ \text{or } W_{ij} &= \frac{1}{2}(W_{ij} + W_{ji}), \quad V_{ij} = \frac{1}{2}(W_{ij} - W_{ji}) \end{aligned} \right\} (1.38)$$

Proof. Assume first the desired decomposition (\*) exists. (\*)  $\Rightarrow$

$$\underline{W} = \underline{U} + \underline{V} \quad (a) \quad \underline{W}^T = \underline{U} - \underline{V} \quad (b)$$

Add & subtract (a), (b) to arrive at (1.38). Hence if  $\exists \underline{U}, \underline{V}$  satisfying (\*), they are unique and given by (1.38). Conversely,

$\underline{U}, \underline{V}$  defined by (1.38) clearly satisfy (\*). qed.

### Notation

$$U_{ij} = \frac{1}{2}(W_{ij} + W_{ji}) \equiv W_{(ij)}, \quad V_{ij} = \frac{1}{2}(W_{ij} - W_{ji}) \equiv W_{[ij]} \quad (1.39)$$

As a prerequisite for another kind of decomposition of two-tensor we require the following result, which was mentioned earlier.



Thm. 1.11 (isotropic tensors of first and second order).

- (a) If  $\underline{v}$  is an isotropic vector, then  $\underline{v} = \underline{0}$  (null-vector)
- (b) If  $\underline{V}$  is an isotropic skew two-tensor, then  $\underline{V} = \underline{0}$
- (c) If  $\underline{V}$  is an isotropic two-tensor, then  $\underline{V} = \alpha \underline{1}$ , where  $\alpha$  is a real number.

Proof. Recall definition of an isotropic tensor  $\underline{W}$ , i.e.  $W_{ij\dots k}$  independent of  $\underline{X} \neq \underline{X} \in \mathbb{F}$ .

Re (a). Let  $\underline{v}$  be an isotropic vector and suppose  $\underline{X} = \{0; \underline{e}_1, \underline{e}_2, \underline{e}_3\} \in \mathbb{F}$ . Write  $v_i = v_i^{\underline{X}} = \underline{v} \cdot \underline{e}_i$ . Consider:

$\underline{X}' = \{0; \underline{e}_1, \underline{e}_3, -\underline{e}_2\}$  ... rot. through  $\pi/2$  about  $\underline{e}_1$  axis

$\underline{X}'' = \{0; -\underline{e}_3, \underline{e}_2, \underline{e}_1\}$  ... rot. through  $\pi/2$  about  $\underline{e}_2$  axis

By hyp. one has

$$\begin{bmatrix} v_1 \\ v_2 \\ v_3 \end{bmatrix} = \begin{bmatrix} v_1 \\ v_3 \\ -v_2 \end{bmatrix} = \begin{bmatrix} -v_3 \\ v_2 \\ v_1 \end{bmatrix} \Rightarrow v_2 = v_3 = -v_3 = 0, v_1 = -v_3 = 0$$

Hence  $\underline{v} = \underline{0}$ .

Re (b). Let  $\underline{V}$  be an isotropic skew two-tensor.

Let  $\underline{w} = \text{dual } \underline{V}$ . Thus  $\underline{w}$  is isotropic by (1.35). Hence  $\underline{w}$  by (a). Therefore  $\underline{V} = \underline{0}$  by (1.36).

Pr. (c). Let  $\underline{V}$  be an isotropic two-tensor. Then  $\text{sym } \underline{V}$  and  $\text{skw } \underline{V}$  must each be isotropic (explain). Hence  $\text{skw } \underline{V} = \underline{0}$  by (b). So  $\underline{V} = \underline{V}^T$ , i.e.  $\underline{V}$  is symmetric. By Thm. 1.7  $\exists$  p. frame  $\underline{X} = \{0; \underline{e}_1, \underline{e}_2, \underline{e}_3\} \in \mathcal{F}$  for  $\underline{V} \in$

$$[V_{ij}^{\underline{X}}] = \begin{bmatrix} \lambda_1 & 0 & 0 \\ 0 & \lambda_2 & 0 \\ 0 & 0 & \lambda_3 \end{bmatrix}, \quad \underline{V} \underline{e}_i = \lambda_i \underline{e}_i \quad (\text{no sum})$$

Let  $\underline{X}' = \{0; \underline{e}_2, \underline{e}_3, \underline{e}_1\}$ . Clearly  $\underline{X}' \in \mathcal{F}$  and by (1.33),

$$[V_{ij}^{\underline{X}'}] = \begin{bmatrix} \lambda_2 & 0 & 0 \\ 0 & \lambda_3 & 0 \\ 0 & 0 & \lambda_1 \end{bmatrix}. \quad \text{Thus } \underline{X}' \text{ is also p. for.}$$

Since  $\underline{V}$  is isotropic,  $\lambda_1 = \lambda_2 = \lambda_3 = \alpha$  and hence  $\underline{V} = \alpha \underline{1}$  according to Case III in Theorem 1.7. qed.

Thm. 1.12. Every two-tensor  $\underline{W}$  admits a unique decomposition  $\exists$

$$\underline{W} = \underline{U} + \underline{V}, \quad \text{tr } \underline{U} = 0, \quad \underline{V} \text{ is isotropic } (*)$$

and this resolution is given by

$$\left. \begin{aligned} \underline{U} &= \underline{W} - \underline{V}, \quad \underline{V} = \frac{1}{3} (\text{tr } \underline{W}) \underline{1} \\ \text{or } U_{ij} &= W_{ij} - V_{ij}, \quad V_{ij} = \frac{1}{3} W_{kk} \delta_{ij} \end{aligned} \right\} (1.40)$$

Proof. Assume first the desired decomposition (\*) exists.

(\*) and Thm. 1.11  $\Rightarrow$

$$\underline{U} = \underline{W} - \underline{V}, \quad \underline{V} = \alpha \underline{1}, \quad \text{tr } \underline{W} = \text{tr } \underline{V} = 3\alpha, \quad \underline{V} = \frac{1}{3} (\text{tr } \underline{W}) \underline{1}$$

Hence if  $\exists \underline{U}, \underline{V}$  satisfying (\*), they are unique and given by (1.40). Conversely,  $\underline{U}, \underline{V}$  defined by (1.40) clearly satisfy (\*). *qed.*

Remark. One calls  $\underline{U}$  and  $\underline{V}$  above the deviatoric and the isotropic parts of the tensor  $\underline{W}$ , respectively. Mention importance of Thm. 1.12 in plasticity and viscoelasticity theory.

In preparation for yet another decomposition of two-tensors we require the following auxiliary result

Lemma. Let  $\underline{S}$  be a pos. definite symm. two-tensor

Then  $\exists$  a unique pos. definite symm. two-tensor  $\underline{U}$

$\exists \underline{U}^2 = \underline{S}$ . One calls  $\underline{U}$  the "square root of  $\underline{S}$ " and writes  $\underline{U} = \sqrt{\underline{S}}$ .

Proof. By hyp. and Thms. 1.7, 1.9  $\exists$  a p. frame  $\underline{X} \in$

for  $\underline{S}$  and

$$[S_{ij}^{\underline{X}}] = \begin{bmatrix} \lambda_1 & 0 & 0 \\ 0 & \lambda_2 & 0 \\ 0 & 0 & \lambda_3 \end{bmatrix}, \lambda_i > 0,$$

where  $\lambda_i$  are the p. values of  $\underline{S}$ . Now define a two-tensor  $\underline{U}$  such that

$$[U_{ij}^{\underline{X}}] = \begin{bmatrix} \sqrt{\lambda_1} & 0 & 0 \\ 0 & \sqrt{\lambda_2} & 0 \\ 0 & 0 & \sqrt{\lambda_3} \end{bmatrix}, \sqrt{\lambda_i} > 0.$$

Clearly,  $\underline{U}^2 = \underline{S}$  and  $\underline{U}$  is symm., pos. def. (explain).

Re uniqueness of  $\underline{U} = \sqrt{\underline{S}}$  (Stephenson): To see

that  $\underline{U}$  is unique it suffices to show that  $\underline{U}$  symm. pos. def.  $\Rightarrow$  every p. frame of  $\underline{S} = \underline{U}^2$  is also a p. frame of  $\underline{U}$ . Thus sufficient to show that

$$\underline{S} \underline{n} = \underline{U}^2 \underline{n} = \mu \underline{n}, \quad \underline{n}^2 = 1, \quad \mu > 0 \quad (i)$$

implies

$$\underline{U} \underline{n} = \sqrt{\mu} \underline{n}. \quad (ii)$$

To see this note that (i)  $\Rightarrow$

$$(\underline{U}^2 - \mu \underline{1}) \underline{z} = (\underline{U} + \sqrt{\mu} \underline{1})(\underline{U} - \sqrt{\mu} \underline{1}) \underline{z} = \underline{0} \quad (1)$$

Set

$$\underline{y} = (\underline{U} - \sqrt{\mu} \underline{1}) \underline{z}. \quad (2)$$

(1), (2)  $\Rightarrow$

$$(\underline{U} + \sqrt{\mu} \underline{1}) \underline{y} = \underline{0}, \quad \underline{U} \underline{y} = -\sqrt{\mu} \underline{y} \quad (3)$$

Suppose  $\underline{y} \neq \underline{0}$ . Then (3)  $\Rightarrow$

$$\underline{y} \cdot \underline{U} \underline{y} = -\sqrt{\mu} \underline{y} \cdot \underline{y} = -\sqrt{\mu} \underline{y}^2 < 0,$$

which contradicts the pos. definiteness of  $\underline{U}$ .

Hence  $\underline{y} = \underline{0}$  and thus (ii) follows from (2). /

Thm. 1.13 (Polar decomposition). Let  $\underline{W}$  be a nonsingular two-tensor. Then  $\exists$  unique two-tensors  $\underline{U}, \underline{V}, \underline{Q}, \underline{Q}' \exists$

$$\underline{W} = \underline{Q} \underline{U} = \underline{V} \underline{Q}'; \quad \underline{Q}, \underline{Q}' \text{ orthog.}, \quad \underline{U}, \underline{V} \text{ symm. pos. def.}$$

and these two resolutions are given by

$$\underline{U} = \sqrt{\underline{W}^T \underline{W}}, \quad \underline{Q} = \underline{W} \underline{U}^{-1}, \quad \underline{V} = \sqrt{\underline{W} \underline{W}^T}, \quad \underline{Q}' = \underline{V}^{-1} \underline{W}. \quad (1.4)$$

Further,

$$\underline{Q} = \underline{Q}' , \underline{V} = \underline{Q} \underline{U} \underline{Q}' , \underline{U} = \underline{Q}' \underline{V} \underline{Q} , \quad (1.42)$$

and  $\underline{Q}$  is proper orthogonal if  $\det \underline{W} > 0$ .

Proof. Clearly, (\*) and  $\underline{Q} = \underline{Q}'$  imply the last two of (1.42). We prove only existence & uniqueness of "right decomp."  $\underline{W} = \underline{Q} \underline{U}$ . See Ex. 6 for completion of proof. Thus assume first  $\exists$  two-tensors  $\underline{Q}, \underline{U} \ni$

$$\underline{W} = \underline{Q} \underline{U} , \underline{Q} \underline{Q}' = 1 , \underline{U} = \underline{U}' , \underline{U} \text{ pos. def.} \quad (1)$$

Let

$$\underline{S} = \underline{W}' \underline{W} \text{ or } S_{ij} = W_{ki} W_{kj} \quad (2)$$

(1), (2)  $\Rightarrow$

$$\underline{S} = \underline{U}' \underline{Q}' \underline{Q} \underline{U} = \underline{U}' \underline{U} = \underline{U}^2 , \underline{S} = \underline{S}' , \underline{S} \text{ pos. def.} \quad (3)$$

Hence from Lemma and (2),

$$\underline{U} = \sqrt{\underline{S}} = \sqrt{\underline{W}' \underline{W}} \quad (4)$$

and from first of (1),

$$\underline{Q} = \underline{W} \underline{U}^{-1} \quad (5)$$

Consequently, if the first decomp. exists, it is unique with  $\underline{Q}$  and  $\underline{U}$  given by (1.41).

1) The pos. definiteness of  $\underline{S}$  follows from that of  $\underline{U}$  and the fact that  $\underline{S} = \underline{U}^2$  (explain)

2) Note that  $\underline{U}$  is nonsingular since pos. def. (explain)

We show next that  $\underline{Q}, \underline{U}$  defined by (4), (5) actually supply the desired decomposition (1).

To see that (4) is meaningful (see Lemma) we must show  $\underline{S}$  defined by (2) is symm., pos. def. Now (2)  $\Rightarrow \underline{S} = \underline{S}^T$ . Also, by (2) and hyp.,

$$\det \underline{S} = (\det \underline{W})^2 > 0, \underline{S} \text{ is nonsingular.}$$

Show further  $\underline{S}$  is pos. def.: choose  $\underline{x} \neq \underline{0}$  & let

$$\underline{y} = \underline{W}\underline{x} \text{ or } y_i = W_{ij}x_j$$

Since  $\underline{x} \neq \underline{0}$  &  $\det \underline{W} \neq 0$ , one has  $\underline{y} \neq \underline{0}$ . Also, (2), (1.23)<sup>1</sup> =

$$\underline{x} \cdot \underline{S}\underline{x} = S_{ij}x_i x_j = \underline{x} \cdot (\underline{W}^T \underline{W})\underline{x} = \underbrace{\underline{x} \cdot \underline{W}^T}_{\underline{m}} (\underbrace{\underline{W}\underline{x}}_{\underline{m}}) = \underline{W}\underline{x} \cdot \underline{W}\underline{x} = \underline{y} \cdot \underline{y} > 0$$

So  $\underline{S}$  is symm., pos. def. and thus  $\underline{U}$  symm., pos. def.

To see that  $\underline{Q}$  is orthog., note from (5), (4) & (1.29)<sup>2</sup> that

$$\begin{aligned} \underline{Q}\underline{Q}^T &= \underline{W}\underline{U}^{-1}\underline{U}^{-T}\underline{W}^T = \underline{W}(\underline{U}^T \underline{U})^{-1}\underline{W}^T = \underline{W}(\underline{U}^2)^{-1}\underline{W}^T \\ &= \underline{W}(\underline{W}^T \underline{W})^{-1}\underline{W}^T = \underline{W}\underline{W}^{-1}\underline{W}^{-T}\underline{W}^T = \underline{I} \end{aligned}$$

Hence  $\underline{Q}$  orthog. Also, (5)  $\Rightarrow \underline{W} = \underline{Q}\underline{U}$ . Hence right de-  
composition (exists, is unique, and  $\underline{U}, \underline{Q}$  are given  
by first two of (1.41)). Also,

$$\det \underline{W} = \det \underline{Q} \det \underline{U}, \det \underline{U} > 0 \text{ (explain)}$$

Therefore  $\det \underline{Q} = +1$  ( $\underline{Q}$  proper orthog.) if  $\det \underline{W} > 0$

For remainder of proof see Exercise 6.

## Exercise 6.

(a) Complete the proof of the polar decomp. thm. by first proving the existence and uniqueness of the left polar decompositions  $\underline{W} = \underline{V} \underline{Q}$ , thus arriving at  $\underline{V} = \sqrt{\underline{W} \underline{W}^T}$ ,  $\underline{Q} = \underline{V}^{-1} \underline{W}$ . Show next that  $\underline{U}$  and  $\underline{Q} \underline{U} \underline{Q}^T$  have same p. values. Use this fact and the uniqueness of the left decomposition to infer that  $\underline{V} = \underline{Q} \underline{U} \underline{Q}^T$ ,  $\underline{Q}' = \underline{Q}$ .

(b) Note from (a) that  $\underline{U}, \underline{V}$  have the same p. values. Assume  $\det \underline{W} > 0$  and let  $\underline{X} = \{0; \underline{e}_1, \underline{e}_2\} \in \mathcal{F}$  be p. for  $\underline{U}$ . Let  $\underline{e}'_i = \underline{Q} \underline{e}_i$ . Show that  $\underline{X}' = \{0; \underline{e}'_1, \underline{e}'_2, \underline{e}'_3\} \in \mathcal{F}$  and is a p. frame for  $\underline{V}$ .

## Tensor fields, differentiations of tensor fields

Let  $S \subseteq E_3$ . A tensor field of order N on S is an N-th order tensor-valued function of position defined on S (e.g. scalar, vector, two-tensor fields)

## Smoothness of tensor fields. Notation.

$R \dots$  region in  $E_3$  ( $R$  open, closed, or neither)

Let  $\underline{v}$  be an N-th order tensor field on  $R$ .



(i) We say  $\underline{v}$  is continuous on  $R$  & write  $\underline{v} \in C(R)$  if the functions  $v_{ij, \dots, k}$  ( $N$  subs) are cont. on  $R \forall \underline{x} \in F$ .

(ii) We say  $\underline{v}$  is  $M$  times continuously differentiable on  $R$  ( $M \dots$  pos. integer) and write  $\underline{v} \in C^M(R)$  if  $\underline{v} \in C(R)$ , the partial derivatives

$$\frac{\partial^m \underline{v}_{ij, \dots, k}}{\partial x_p \partial x_q \dots \partial x_r} \equiv \underbrace{v_{ij, \dots, k, pq, \dots, r}}_{\substack{N \\ m}} \quad (m=1, 2, \dots, M),$$

(where  $x_p$  are the cartesian coordinates in  $\underline{X}$ ) exist on  $\overset{\circ}{R} \forall \underline{x} \in F$  and these coincide with functions cont. on  $R$ .

Remarks. Recall that  $\overset{\circ}{R}$  is the interior of  $R$ ,  $\overset{\circ}{R} = R$  if  $R$  is a domain. Note that cont. and differentiability need to be verified only for single  $\underline{x}$ .

Thm 1.14. Let  $R$  be a domain and  $\underline{v} \in C^1(R)$  a tensor field of order  $N$ . Define

$$\underbrace{w_{ij, \dots, ks}}_{N+1 \text{ subs}}(\underline{x}) = \frac{\partial}{\partial x_s} \left\{ \underbrace{v_{ij, \dots, k}}_{N \text{ subs}}(\underline{x}) \right\} \quad \forall \underline{x} \in R, \quad \forall \underline{x} \in F.$$

where  $x_s$  are the cartesian coords. in  $\underline{X}$  of points in  $R$ . Then  $w_{ij, \dots, ks}$  are the components in  $\underline{X}$  of a tensor field  $\underline{w}$  of order  $N+1$  and  $\underline{w} \in C(R)$ .

Proof. Consider  $\Sigma = \{0, e_1, e_2, e_3\} \in \mathcal{F}$ .  $\Sigma' = \{0, e'_1, e'_2, e'_3\} \in \mathcal{E}$  with  $[A]: \Sigma \rightarrow \Sigma'$ . If  $\underline{x}$  is the position vector of points in  $\mathbb{R}$ , one has because of (1.17),

$$\underline{x} = e_i x_i = e'_i x'_i, \quad x_l = A_{nl} x'_n \quad (1)$$

By hyp. and (1.18),

$$w_{pq, \dots, rs}^{\Sigma'} = \frac{\partial}{\partial x'_s} v_{pq, \dots, r}^{\Sigma'} = \frac{\partial}{\partial x'_s} (A_{pi} A_{qj} \dots A_{rk} v_{ij, \dots, k}^{\Sigma}) \quad (2)$$

From (1), (2) and the chain rule follows

$$w_{pq, \dots, rs}^{\Sigma'} = A_{pi} A_{qj} \dots A_{rk} \underbrace{\frac{\partial}{\partial x'_l} v_{ij, \dots, k}^{\Sigma} \frac{\partial x_l}{\partial x'_s}}_{w_{ij, \dots, kl}^{\Sigma}} \text{ and } \frac{\partial x_l}{\partial x'_s} = A_{sl}$$

Therefore,

$$w_{pq, \dots, rs}^{\Sigma'} = A_{pi} A_{qj} \dots A_{rk} A_{sl} w_{ij, \dots, kl}^{\Sigma}$$

### Relation to vector calculus

Let  $D$  be a domain. Let  $\varphi$  and  $\underline{v}$  be, respectively, a suitably smooth scalar and vector field on  $D$ .

Define the following fields on  $D$ :

$$\begin{aligned}
 \underline{w} &= \text{grad } \varphi \equiv \nabla \varphi \iff w_i = \varphi_{,i} \\
 \psi &= \text{div } \underline{v} \equiv \nabla \cdot \underline{v} \iff \psi = v_{i,i} \\
 \underline{w} &= \text{curl } \underline{v} \equiv \nabla \wedge \underline{v} \iff w_i = \varepsilon_{ijk} v_{k,j} \\
 \psi &= \nabla^2 \varphi \iff \psi = \varphi_{,ii} \\
 \underline{w} &= \nabla^2 \underline{v} \iff w_i = v_{i,jj}
 \end{aligned}
 \quad \left. \vphantom{\begin{aligned} \underline{w} &= \text{grad } \varphi \\ \psi &= \text{div } \underline{v} \\ \underline{w} &= \text{curl } \underline{v} \\ \psi &= \nabla^2 \varphi \\ \underline{w} &= \nabla^2 \underline{v} \end{aligned}} \right\} (1.43)$$

Note on the basis of Thms. 1.14, 1.2, 1.3 that  $\psi$  is a scalar field and  $\underline{w}$  a vector field on  $D$ . Explain.

If  $\varphi \in \mathcal{C}^2(D)$ ,  $\psi \in \mathcal{C}^2(D)$  are scalar fields and  $\underline{v} \in \mathcal{C}^2(D)$  is a vector field, one has on  $D$ :

$$\begin{aligned}
 \nabla \wedge \nabla \varphi &= \underline{0}, \quad \nabla \cdot (\nabla \wedge \underline{v}) = 0, \quad \nabla \cdot \nabla \varphi = \nabla^2 \varphi \\
 \nabla \wedge (\nabla \wedge \underline{v}) &= \nabla (\nabla \cdot \underline{v}) - \nabla^2 \underline{v} \\
 \nabla (\varphi \psi) &= \varphi \nabla \psi + \psi \nabla \varphi \quad \checkmark \\
 \nabla \cdot (\varphi \underline{v}) &= \varphi (\nabla \cdot \underline{v}) + \underline{v} \cdot (\nabla \varphi) \quad \checkmark \\
 \nabla \wedge (\varphi \underline{v}) &= \varphi (\nabla \wedge \underline{v}) + (\nabla \varphi) \wedge \underline{v} \quad \checkmark \\
 \nabla^2 (\varphi \psi) &= \varphi \nabla^2 \psi + \psi \nabla^2 \varphi + 2 (\nabla \varphi) \cdot (\nabla \psi)
 \end{aligned}
 \quad \left. \vphantom{\begin{aligned} \nabla \wedge \nabla \varphi &= \underline{0} \\ \nabla \cdot (\nabla \wedge \underline{v}) &= 0 \\ \nabla \cdot \nabla \varphi &= \nabla^2 \varphi \\ \nabla \wedge (\nabla \wedge \underline{v}) &= \nabla (\nabla \cdot \underline{v}) - \nabla^2 \underline{v} \\ \nabla (\varphi \psi) &= \varphi \nabla \psi + \psi \nabla \varphi \\ \nabla \cdot (\varphi \underline{v}) &= \varphi (\nabla \cdot \underline{v}) + \underline{v} \cdot (\nabla \varphi) \\ \nabla \wedge (\varphi \underline{v}) &= \varphi (\nabla \wedge \underline{v}) + (\nabla \varphi) \wedge \underline{v} \\ \nabla^2 (\varphi \psi) &= \varphi \nabla^2 \psi + \psi \nabla^2 \varphi + 2 (\nabla \varphi) \cdot (\nabla \psi) \end{aligned}} \right\} (1.44)$$

See Exercise 7. Note: The three identities marked  $\checkmark$  are true if  $\psi, \varphi \in \mathcal{C}^1(D)$ ,  $\underline{v} \in \mathcal{C}^1(D)$ .

## Gradient of a tensor field

Let  $\underline{T} \in \mathcal{C}^1(D)$  be a tensor field of order  $N \geq 1$ . Define

$$\underline{W} = \nabla \underline{T} \iff W_{ij \dots k \ell} = \underbrace{T_{j \dots k \ell}}_{N \text{ subs.}}, i \quad (1.45)$$

Thus  $\underline{W}$  is a tensor field of order  $N+1$ . In particular, if  $\underline{v} \in \mathcal{C}^1(D)$  is a vector field, then  $\nabla \underline{v}$  is a 2-tensor field.

## Divergence, curl, and Laplacian of a two-tensor field

If  $\underline{W}$  is a suitably smooth two-tensor field on  $D$ , define the following fields on  $D$ :

$$\left. \begin{aligned} \underline{v} = \operatorname{div} \underline{W} &\equiv \nabla \cdot \underline{W} \iff v_i = W_{ij, j} \\ \underline{U} = \operatorname{curl} \underline{W} &\equiv \nabla \wedge \underline{W} \iff U_{ij} = \varepsilon_{ipq} W_{jq, p} \\ \underline{U} = \nabla^2 \underline{W} &\iff U_{ij} = W_{ij, kk} \end{aligned} \right\} (1.46)$$

Note that  $\underline{v}$  is a vector field and  $\underline{U}$  a two-tensor field.

If  $\underline{v} \in \mathcal{C}^2(D)$  is a vector field and  $\underline{W} \in \mathcal{C}^2(D)$  a two-tensor field, one has on  $D$ :

$$\nabla \wedge \nabla \underline{v} = \underline{0}, \quad \nabla \cdot (\nabla \wedge \underline{W})^T = \underline{0}$$

$$\nabla \wedge (\nabla \underline{v})^T = \nabla (\nabla \wedge \underline{v}), \quad \nabla \cdot (\nabla \wedge \underline{W}) = \nabla \wedge (\nabla \cdot \underline{W}^T)$$

$$\text{to } \nabla \wedge \underline{W} = \underline{0} \text{ if } \underline{W} = \underline{W}^T, \quad \{\nabla \wedge (\nabla \wedge \underline{W})\}^T = \nabla \wedge (\nabla \wedge \underline{W}^T)$$

$$\nabla \cdot (\underline{W}^T \underline{v}) = \underline{v} \cdot (\nabla \cdot \underline{W}) + \underline{W} \cdot \nabla \underline{v}$$

$$\nabla \wedge (\underline{W}^T \underline{\alpha}) = (\nabla \wedge \underline{W}) \underline{\alpha} \text{ if } \underline{\alpha} \text{ is a const. vector}$$

(1.4)

Exercise 7: Use indicial notation to prove (1.44), (1.4)

Thm. 1.15 (Divergence theorem<sup>1</sup>). Let  $R$  be a bounded regular region. Let  $\underline{v} \in C(\bar{R}) \cap C^1(R)$  be a vector field and  $\nabla \cdot \underline{v} \in C(\bar{R})$ . Then,

$$\int_{\partial R} \underline{v} \cdot \underline{n} \, dA = \int_R \nabla \cdot \underline{v} \, dV \quad \text{or} \quad \int_{\partial R} v_i n_j \, dA = \int_R v_{i,j} \, dV, \quad (*)$$

where  $\underline{n}$  is the outer unit normal vector of  $\partial R$ . Further, the indicial version of (\*) is valid if  $v_i$  is merely a triplet of real-valued functions of the required smoothness.

### Remarks

Recall def. of "bounded regular region". Give examples.

Note that hyp.  $\nabla \cdot \underline{v} \in C(\bar{R})$  is superfluous if  $\underline{v} \in C^1(\bar{R})$ ;

<sup>1</sup> Green, Gauss, Ostrogradskii (?)

otherwise volume integrals may be improper and need not exist. Motivate need for weak smoothness assumptions (uniqueness thms.)

For proof of much less general versions of Thm. 1.11 (R convex) see calculus books (eg. Courant). The thm. as stated here follows from strongest form of divergence thm. proved by Kellogg\*.

Corollary (Tensorial analogue of divergence thm.) Let R be a bounded regular region. Let  $\underline{W} \in C(\bar{R}) \cap C^1(R)$  be a two-tensor field and  $\nabla \cdot \underline{W} \in C(\bar{R})$ . Then,

$$\int_{\partial R} \underline{W} \underline{n} \, dA = \int_R \nabla \cdot \underline{W} \, dV \quad \text{or} \quad \int_{\partial R} W_{ij} n_j \, dA = \int_R W_{ij,j} \, dV,$$

where  $\underline{n}$  is the outer unit normal vector of  $\partial R$ .

Proof. Apply indicial version of Thm. 1.15 to the triplet of real-valued functions  $W_{ij}$  (i fixed).

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\* Kellogg's def. restricts  $\partial R$  to a single "closed regular surface" (unnecessary restriction, readily removed)

Remark on extensions of Thm. 1.15 and its Corollary to unbounded regions

Thm. 1.16 (Stokes). Let  $D$  be a domain,  $\underline{v}$  a vector field on  $D$ , and  $\underline{x}$  a point in  $D$ .

(a) If  $\phi \in C^{N+1}(D)$  ( $N \geq 1$ ) is a scalar field  $\exists$

$$\underline{v} = \nabla \phi \text{ on } D, \text{ (i)}$$

then

$$\underline{v} \in C^N(D), \nabla \wedge \underline{v} = \underline{0} \text{ or } v_{i,j} = v_{j,i} = 0 \text{ on } D \text{ (ii)}$$

and the line integral

$$\int_{\underline{x}}^{\underline{y}} \underline{v}(\underline{\xi}) \cdot d\underline{\xi} \equiv \int_{\underline{x}}^{\underline{y}} v_i(\underline{\xi}) d\xi_i \text{ (iii)}$$

is path-independent  $\forall \underline{x} \in D$  and  $\forall$  regular path  $C \subset D$  joining  $\underline{x}$  to  $\underline{y}$ .

(b) Conversely, if  $D$  is simply connected and

$\underline{v}$  satisfies (ii), then the line integral (iii)

is path-independent and  $\exists$  a scalar field

$\phi \in C^{N+1}(D)$ ; unique up to an arbitrary additive

constant,  $\exists$  (i) holds; further such a  $\phi$  is

supplied by

$$\phi(\underline{x}) = \int_{\underline{x}_0}^{\underline{x}} \underline{v}(\underline{\xi}) \cdot d\underline{\xi} \quad \forall \underline{x} \in D.$$

### Remarks

Recall def. of simple connectivity. Note that

$$v_{i,j} = v_{j,i} \text{ on } D \iff \epsilon_{ijk} v_{k,j} = 0 \text{ on } D$$

For proofs of Stokes' theorem see calculus books (e.g. Courant II, Apostol). Here  $D$  need be neither bounded nor a regular region. Further, the conclusion in this theorem remains valid also if  $v_1, v_2, v_3$  are merely three scalar fields of the requisite smoothness.



Thm. 1.17 (A tensorial analogue of Stokes' theorem<sup>1</sup>).

Assume  $D$  is a simply connected domain.

(a) Let  $\mathcal{I} \in \mathcal{C}^N(D)$  ( $N \geq 1$ ) be a two-tensor field  $\exists$

$$\nabla \wedge \mathcal{I} = \underline{0} \text{ on } D. \text{ (i)}$$

Then  $\exists$  a vector field  $\underline{v} \in \mathcal{C}^{N+1}(D) \exists$

$$\mathcal{I} = \nabla \underline{v} \text{ on } D. \text{ (ii)}$$

(b) Let  $\mathcal{I}$  satisfy the hyp. in (a); in addition, let

$$\text{tr } \mathcal{I} = 0 \text{ on } D \text{ (iii)}$$

Then  $\exists$  a two-tensor field  $\underline{W} \in \mathcal{C}^{N+1}(D) \exists$

$$\underline{W}^T = -\underline{W}, \mathcal{I} = \nabla \wedge \underline{W} \text{ on } D. \text{ (iv)}$$

Proof.

Re (a). Recall from (1.47) (Exercise 7) that

$$\nabla \wedge (\mathcal{I}^T \underline{a}) = (\nabla \wedge \mathcal{I}) \underline{a} \text{ on } D \text{ if } \underline{a} = \text{const. vector. (1)}$$

Choose  $\mathcal{X} = \{0; \underline{e}_1, \underline{e}_2, \underline{e}_3\} \in \mathcal{F}$  and let

$$\underline{t}_k = \mathcal{I}^T \underline{e}_k \text{ on } D. \text{ (2)}$$

<sup>1</sup> Actually what follows is an analogue of part (b) in Thm. 1.16.

(1), (2), (i)  $\Rightarrow$

$$\nabla \wedge \underline{t}_k = (\nabla \wedge \underline{I}) \underline{e}_k = \underline{0} \text{ on } D$$

Hence by (b) in Thm 1.16 (Stokes),  $\exists \phi_k \in C^{N+1}(D) \ni$

$$\underline{t}_k = \underline{I}^T \underline{e}_k = \nabla \phi_k \text{ on } D$$

$$\text{or } T_{ji}^{\underline{X}} \delta_{kj} = \phi_{k,i} \text{ or } T_{ki}^{\underline{X}} = \phi_{k,i} \text{ on } D.$$

Define  $\underline{v} = \underline{e}_k \phi_k$ . Then  $\underline{v} \in C^{N+1}(D) \& T_{ki}^{\underline{X}} = v_{k,i}$ .

Thus  $\underline{I} = \nabla \underline{v}$  on  $D$ , so that (ii) holds.

Re (b). Let  $\underline{v}$  be the vector field established in (

Then (ii), (iii)  $\Rightarrow$

$$\text{tr } \underline{I} = v_{k,k} = \nabla \cdot \underline{v} = 0 \text{ on } D. \quad (3)$$

Define a two-tensor field  $\underline{W} \in C^{N+1}(D)$  through

$$W_{jq} = \varepsilon_{jqk} v_k \text{ on } D. \quad (4)$$

Then  $\underline{W}^T = -\underline{W}$  and (1.46)<sup>1</sup>, (4), (3)  $\Rightarrow$

<sup>1</sup> Def. of curl of a two-tensor field.

$$\begin{aligned}
 (\nabla \wedge \underline{W})_{ij} &= \varepsilon_{ipq} W_{jq,p} = \varepsilon_{ipq} \varepsilon_{jqk} v_{k,p} \\
 &= -\varepsilon_{qip} \varepsilon_{qjk} v_{k,p} = -(\delta_{ij} \delta_{pk} - \delta_{ik} \delta_{pj}) v_{k,p} \\
 &\quad \uparrow \quad \uparrow \\
 &= -\underbrace{\delta_{ij} v_{k,k}}_{\text{zero by (3)}} + v_{i,j} = v_{i,j}
 \end{aligned}$$

whence  $\nabla \wedge \underline{W} = \nabla \underline{v}$ , so that

$$\nabla \wedge \underline{W} = \nabla \underline{v} = \underline{I} \text{ on } D. /$$

## 2. Kinematics of motions and deformations of a continuous medium

Objective: Study of purely geom. aspects of motions of an arb. continuous medium.

Introduction. A motion of a "body" (continuous medium) relative to a given  $E_3 = E$  is specified if we know the positions in the reference space of all material points (particles) of body at every instant during the motion.