

# Ratcheting deformation in thin film structures

**Z. SUO**

**Princeton University**

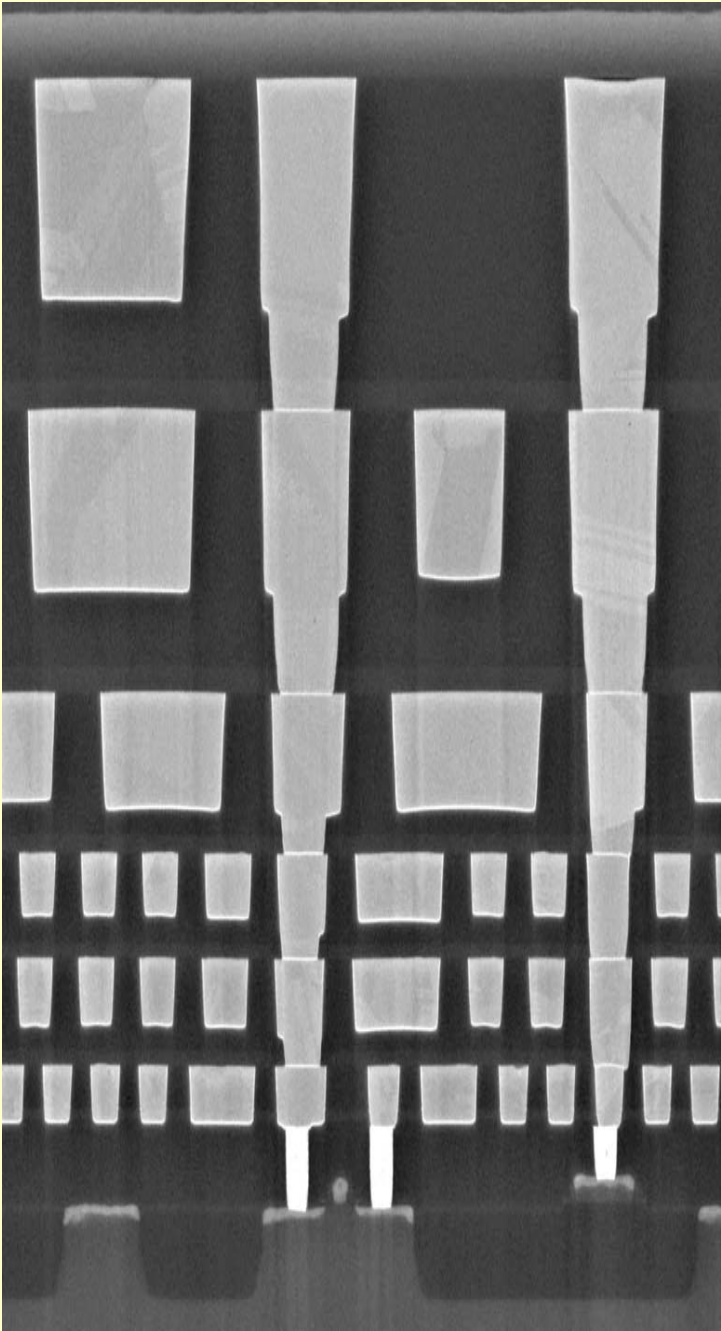
Work with

**MIN HUANG**, Rui Huang, Jim Liang, Jean Prevost  
**Princeton University**

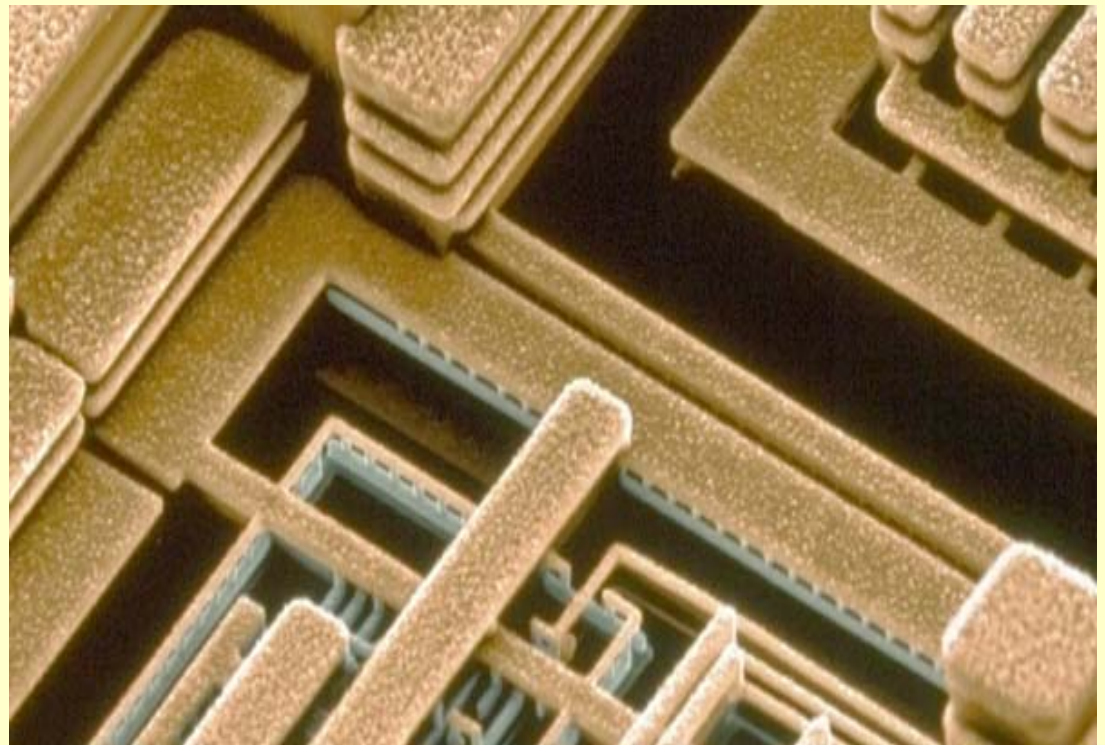
**Q. MA**, H. Fujimoto, J. He  
**Intel Corporation**

# Interconnect Structures

- Complex architecture
- Small feature sizes
- Diverse materials



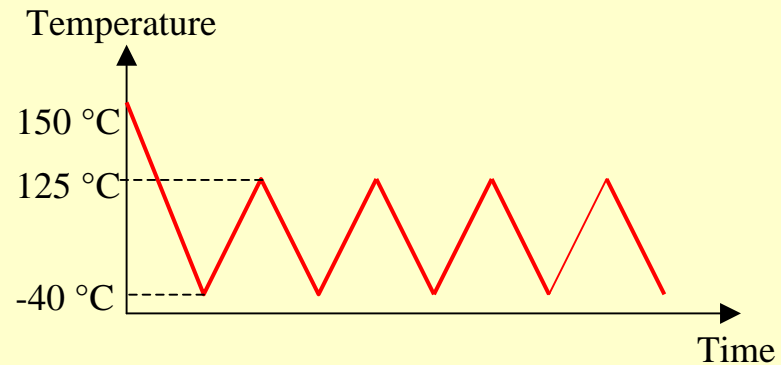
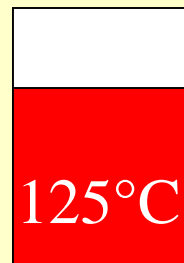
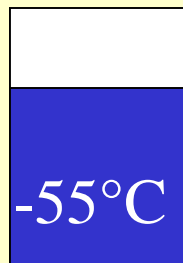
**Intel:** 130nm technology



[ibm.com](http://ibm.com)

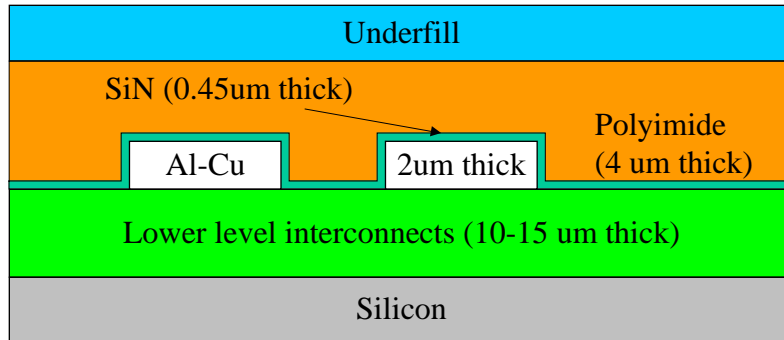
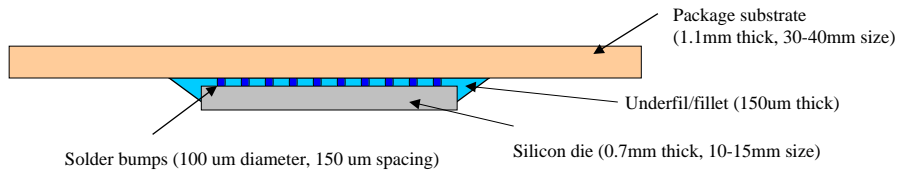
# Thermal Cycling: Qualification Test

~1000 cycles

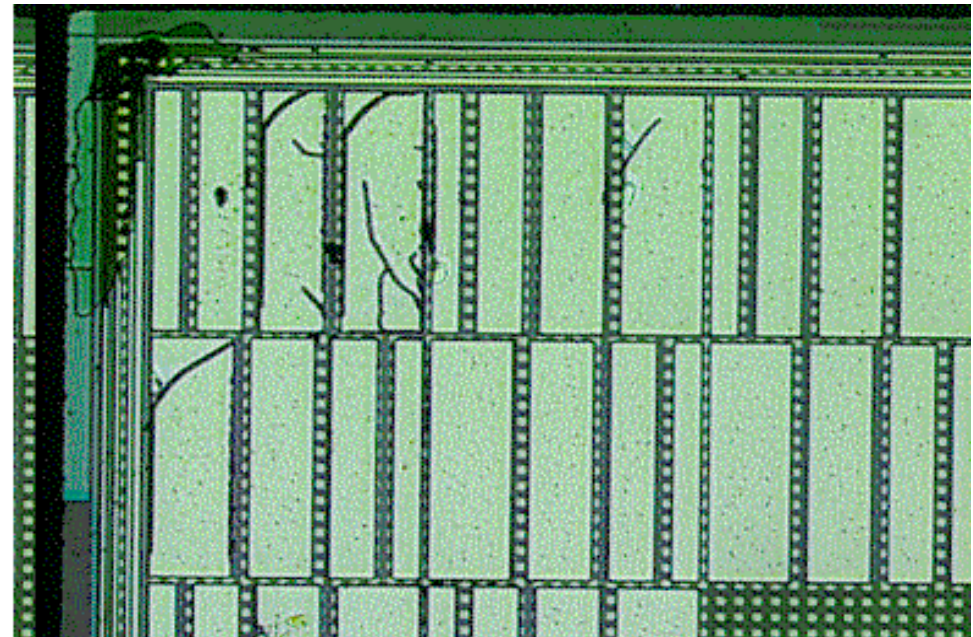
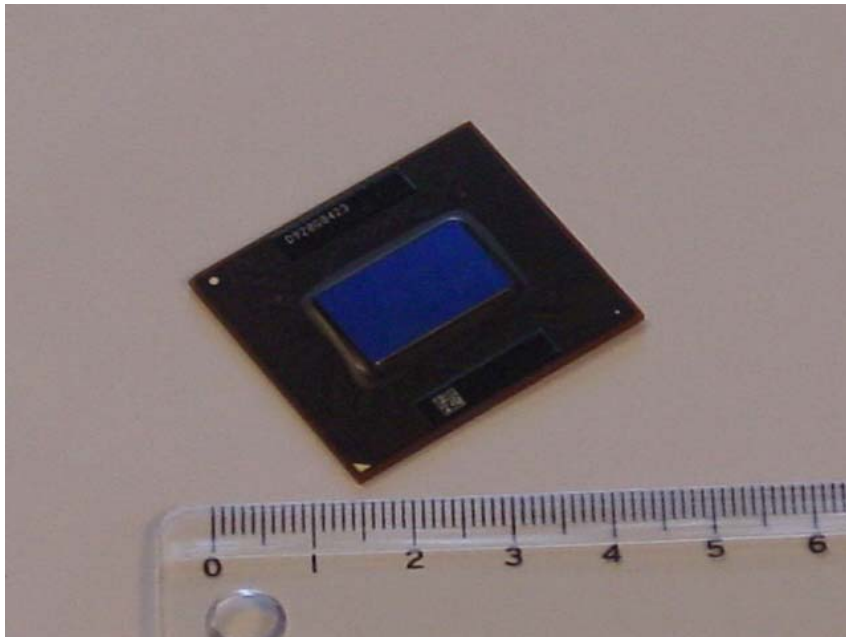


- Make-and-break
- Time consuming, a **bottleneck**
- Multiple failure modes
- Little understanding of mechanisms
- **New materials**

# Flip-chip structure

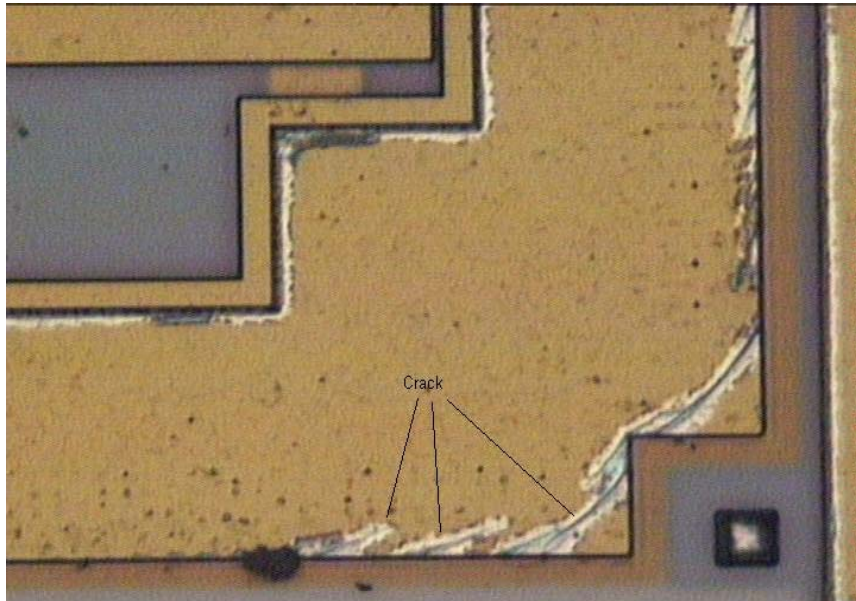


~1000 cycles

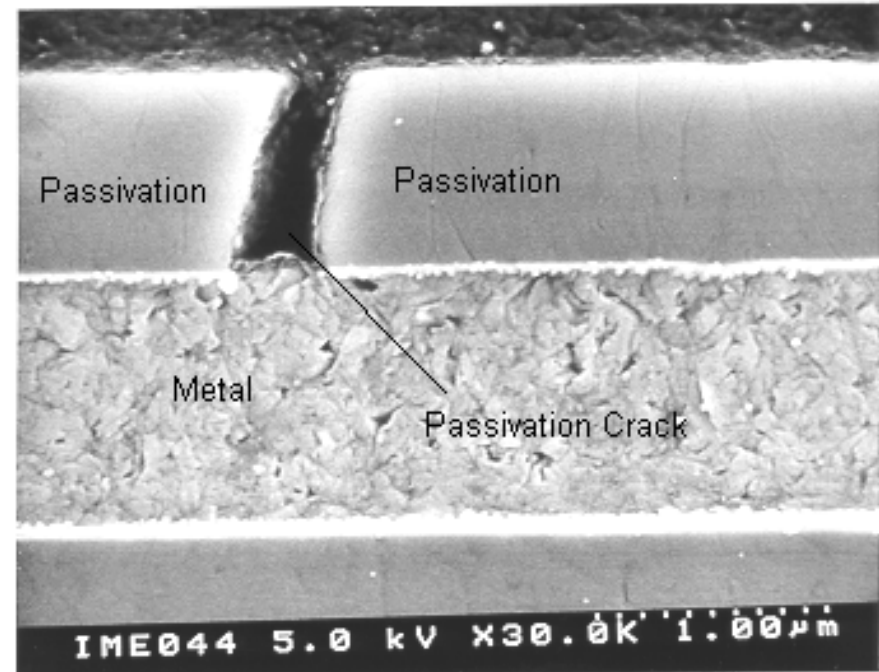


# 500 cycles between $-55^{\circ}\text{C}$ and $125^{\circ}\text{C}$

Plan view



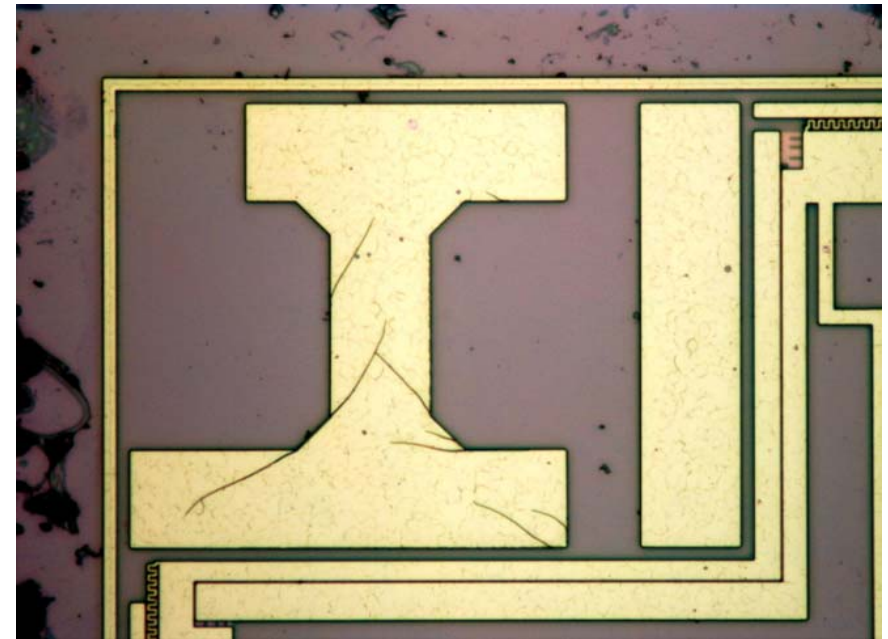
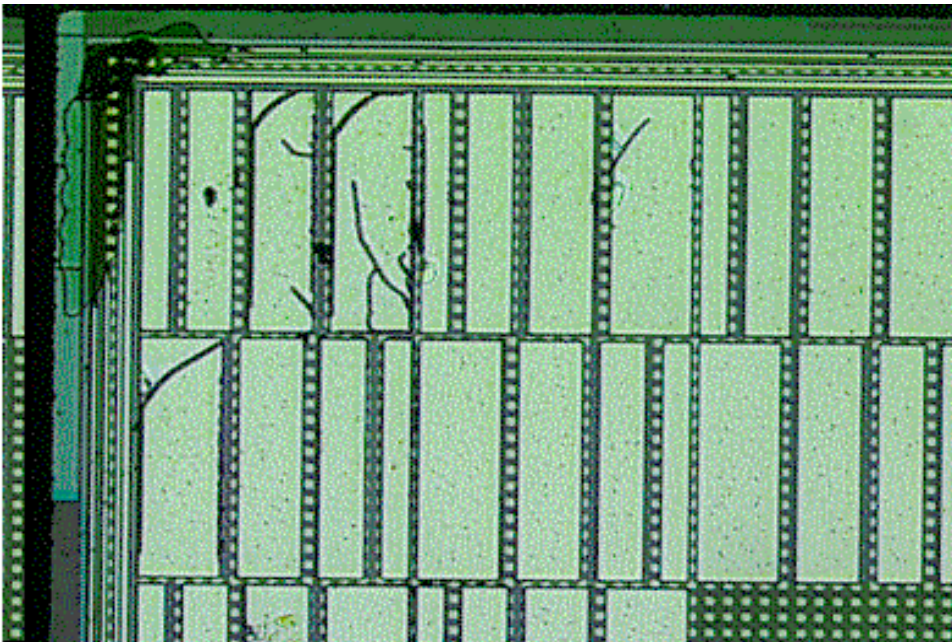
Edge view



Courtesy of Dr. **J.B. Han** , Agilent Technologies Singapore

# Empirical Observations of Cracking

- At die corners
- After temperature cycles
- In SiN film over Al pads, but not in SiN film over silica
- More likely when Al pad is wide



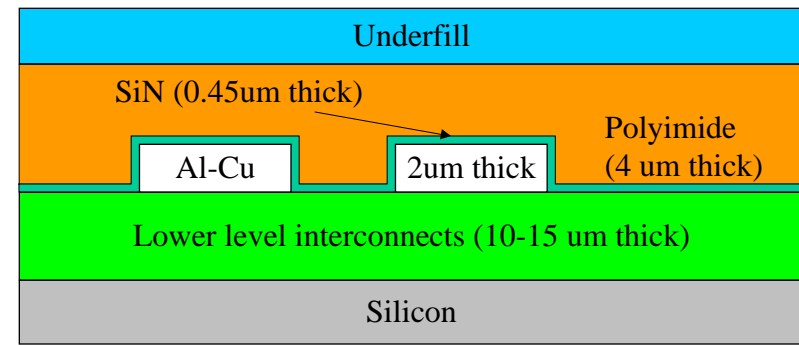
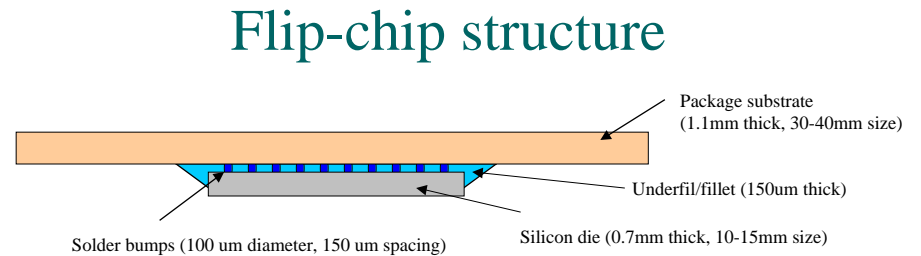
# Questions

- **Why cycling?**  
SiN does not fatigue

- **Why cracking?**

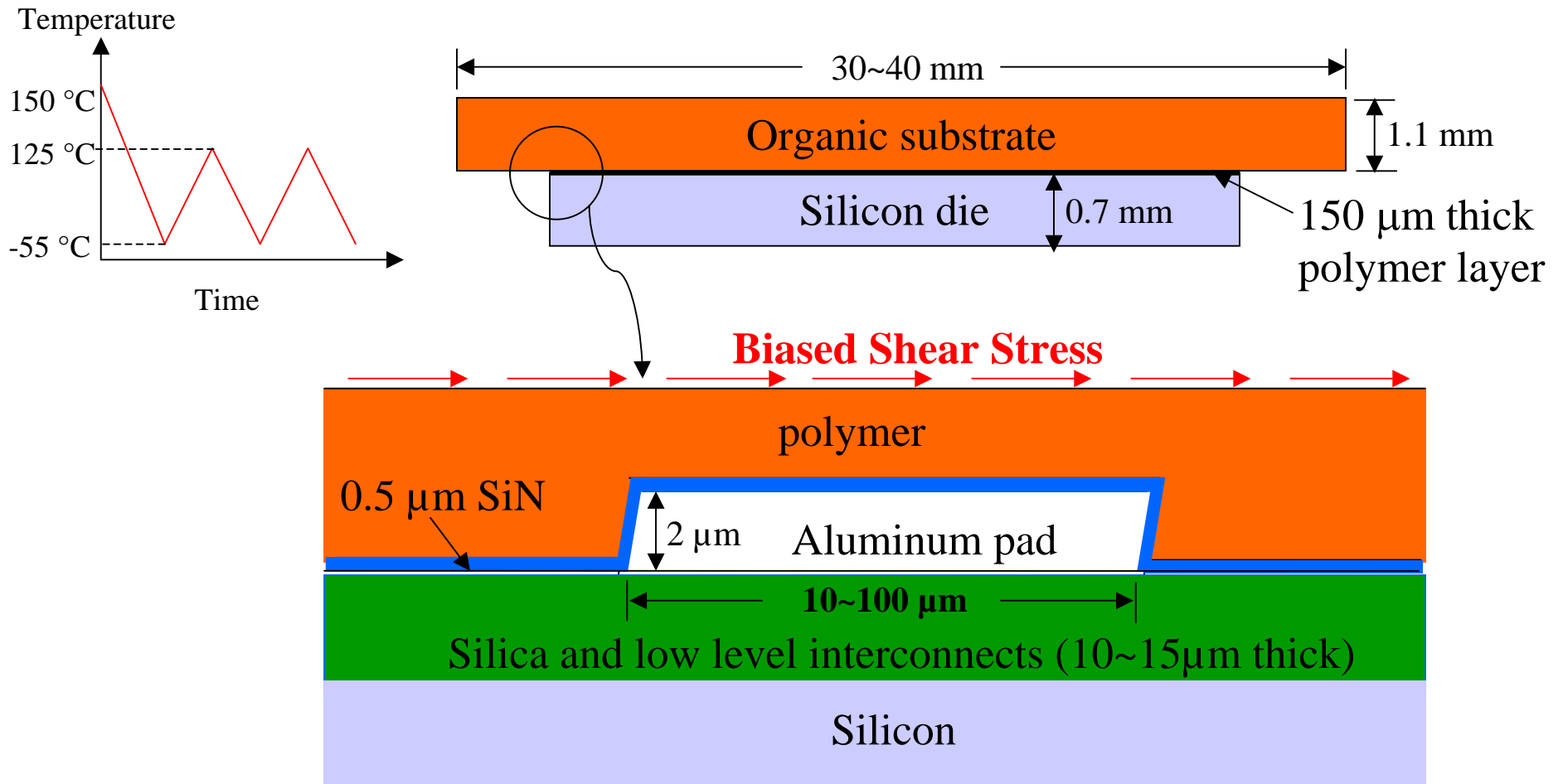
- \* Shear stress is limited by the yield strength of polymer, say 100 MPa.

- \* Breaking strength of SiN is 1000 MPa.



# Ratcheting Plastic Deformation

Huang, Suo, Ma, Fujimoto, *J. Mater. Res.*, 15, 1239 (2000)

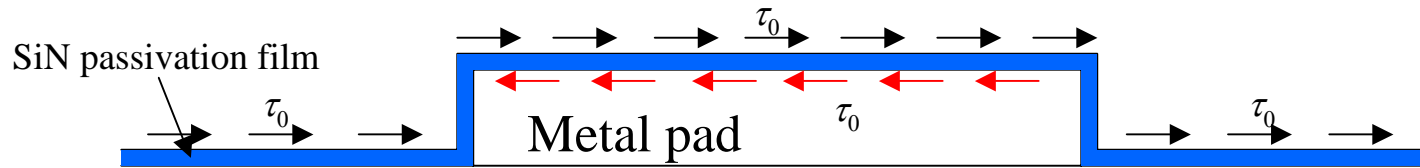


- **Biased shear stress, from organic substrate**
- **Metal yields, from CTE mismatch with SiO<sub>2</sub>**

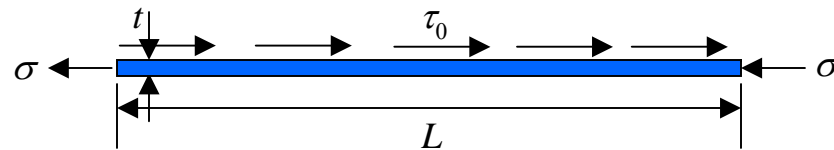
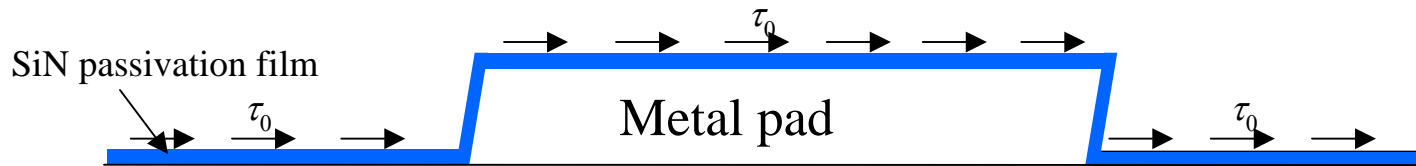


# Stress builds up in SiN. **STEADY STATE**

First cycle



Many cycles, **STEADY STATE**



$$2\sigma t = \tau_0 L$$

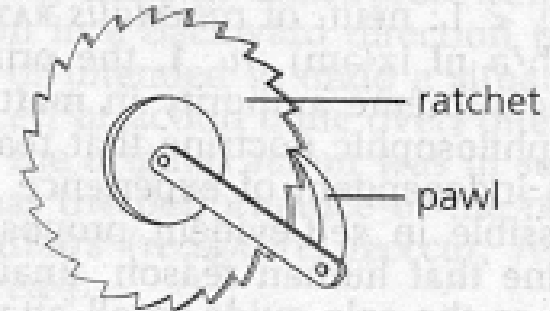
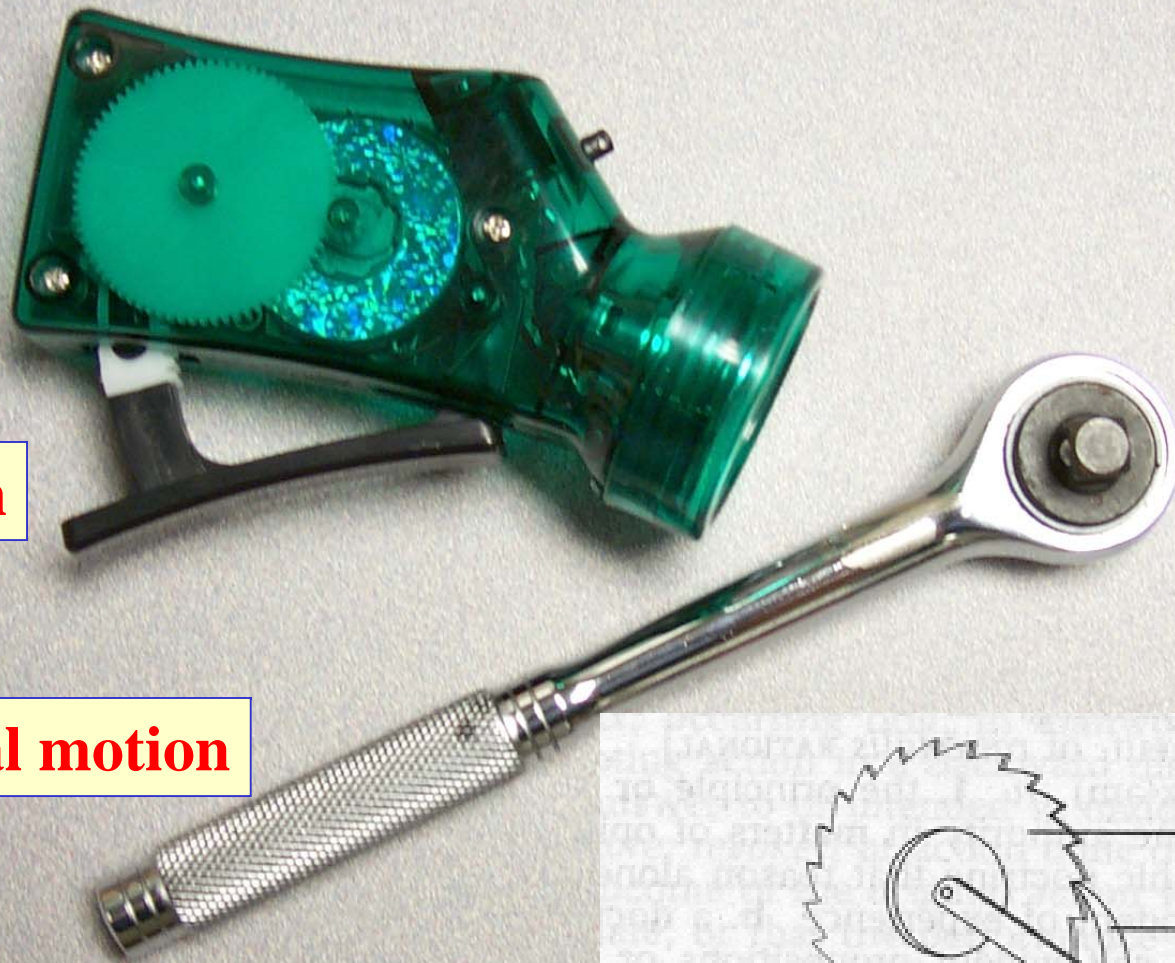
$$\sigma = \frac{\tau_0 L}{2t}$$

# Ratchet and Pawl

**Cyclic motion**

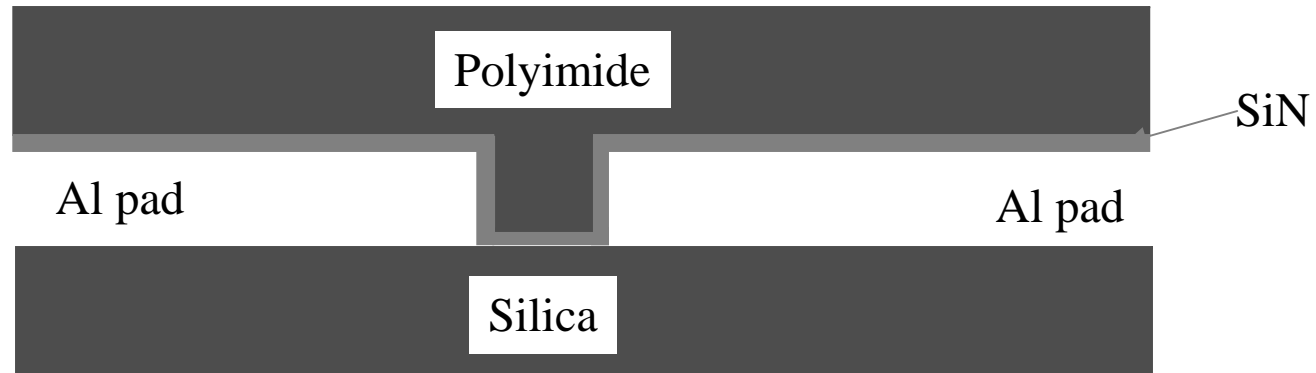


**One-directional motion**

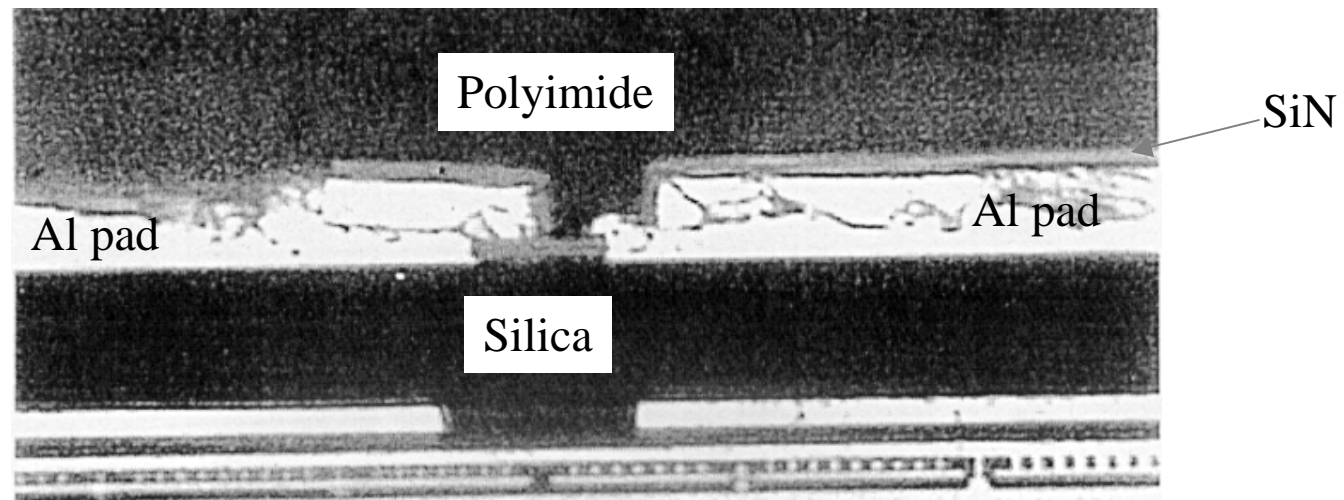


ratchet wheel

# Metal Pad **Crawling**



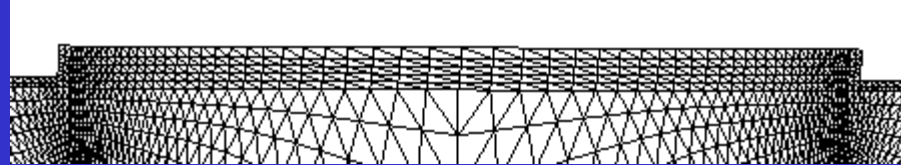
After ~1000 thermal cycles



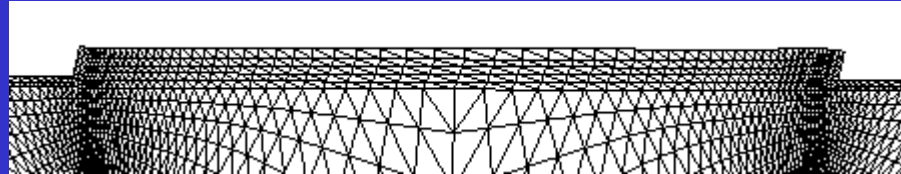
Large plastic deformation over many thermal cycles

# Finite Element Simulation

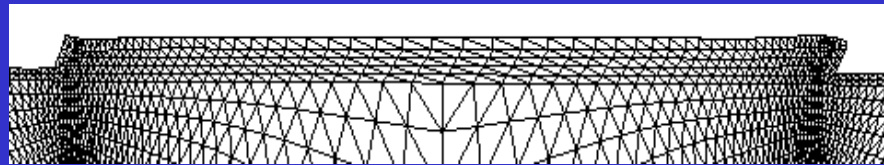
1 cycle



10 cycles



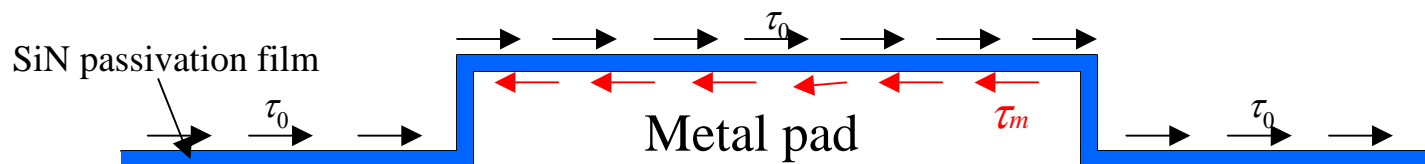
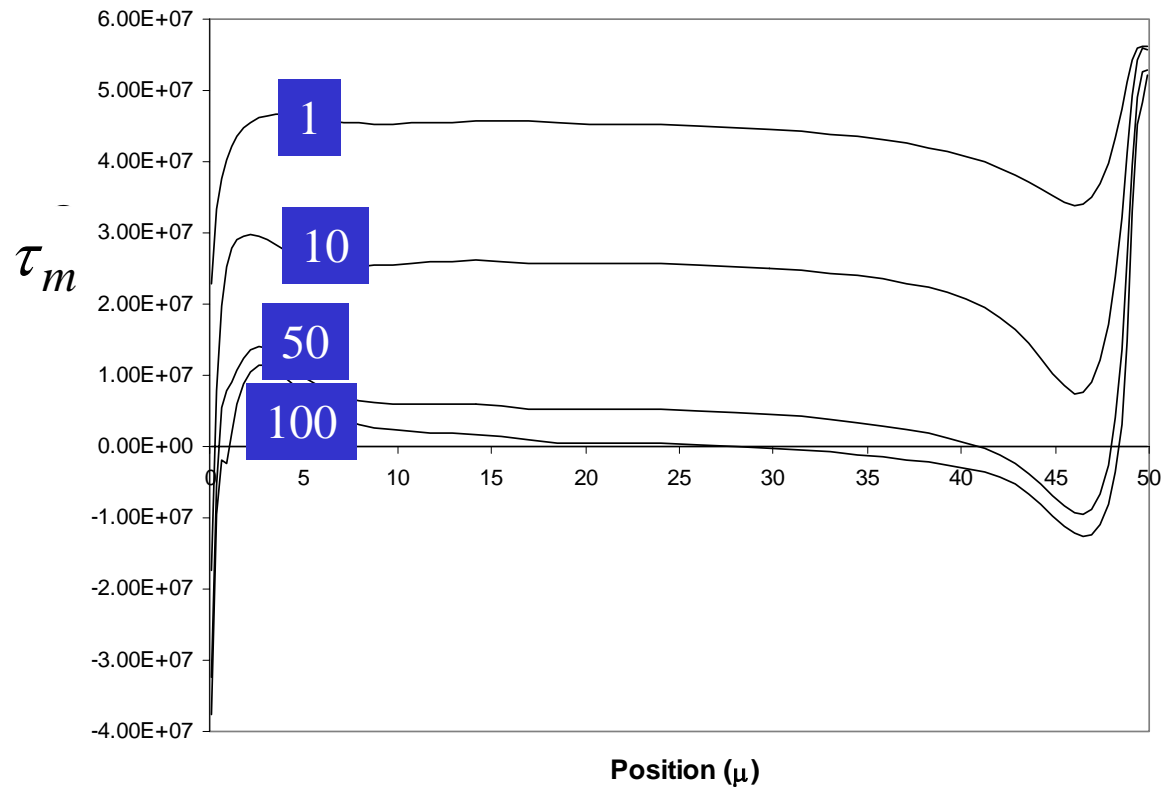
50 cycles



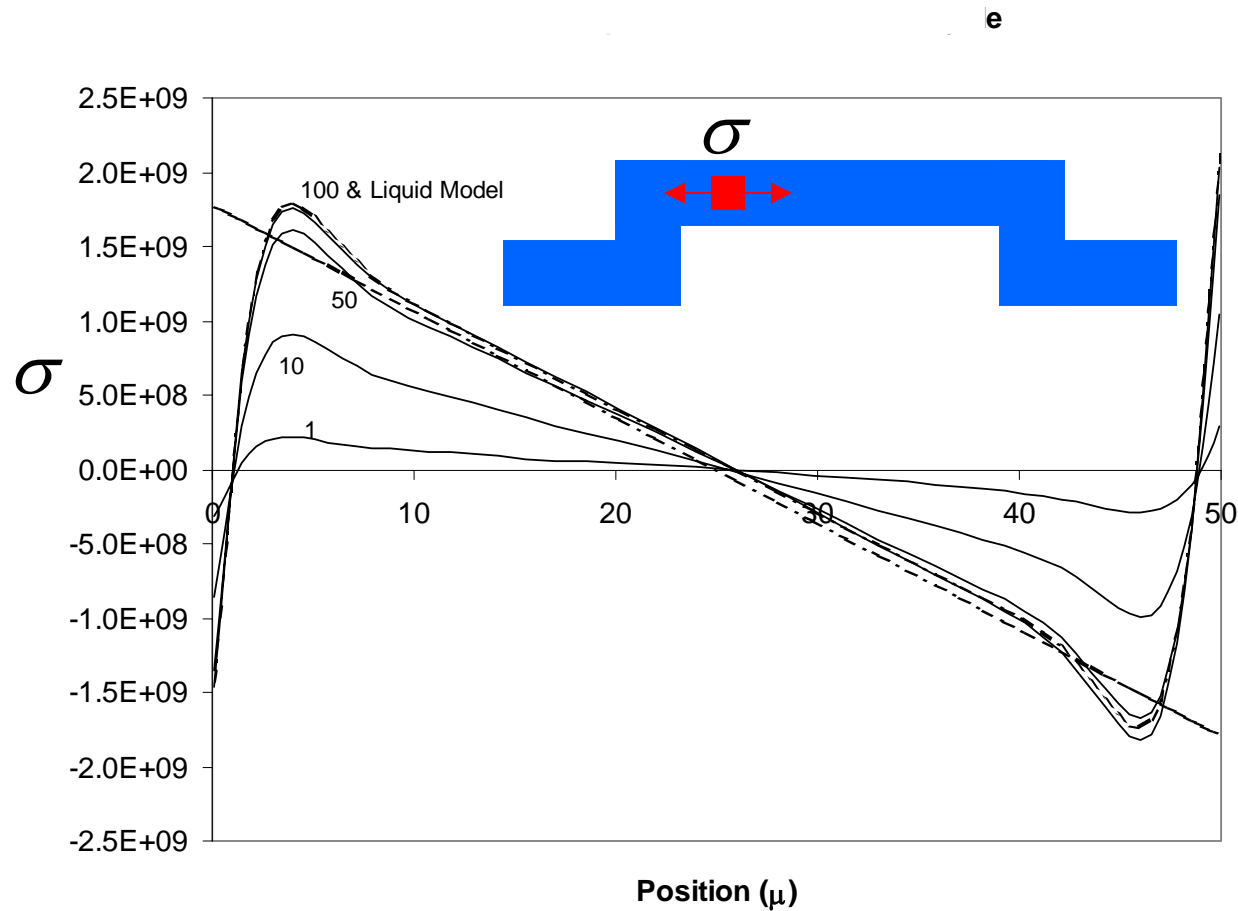
100 cycles



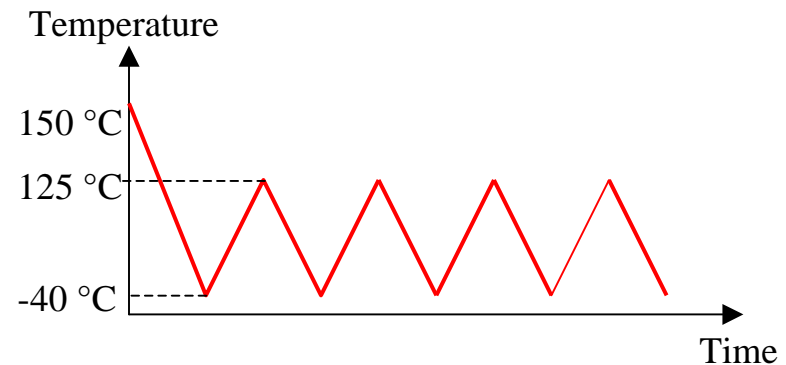
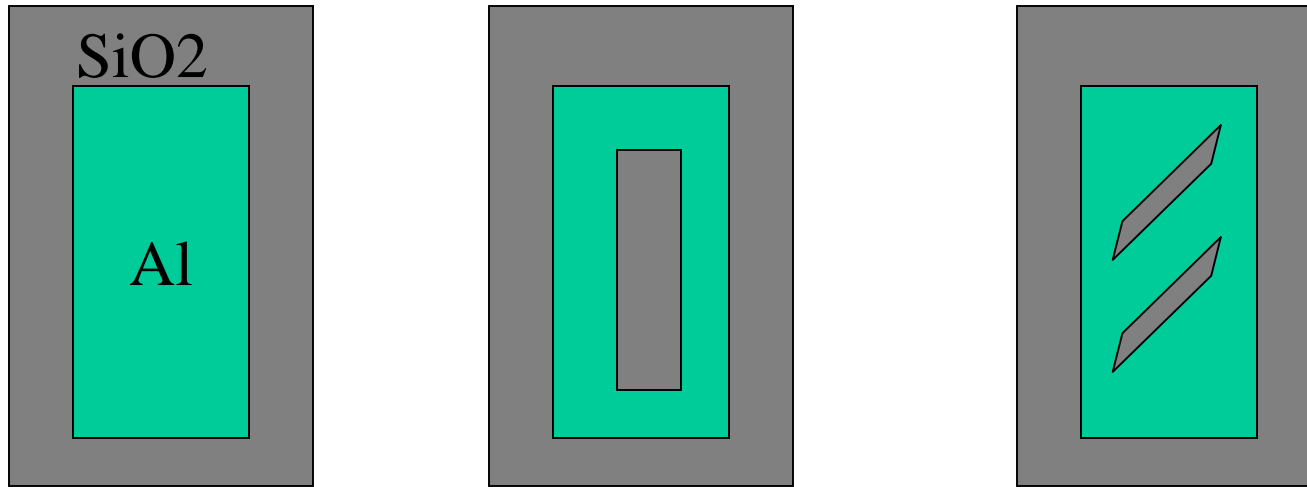
# Shear stress in metal relaxes as temperature cycles



# Membrane stress in SiN builds up as temperature cycles



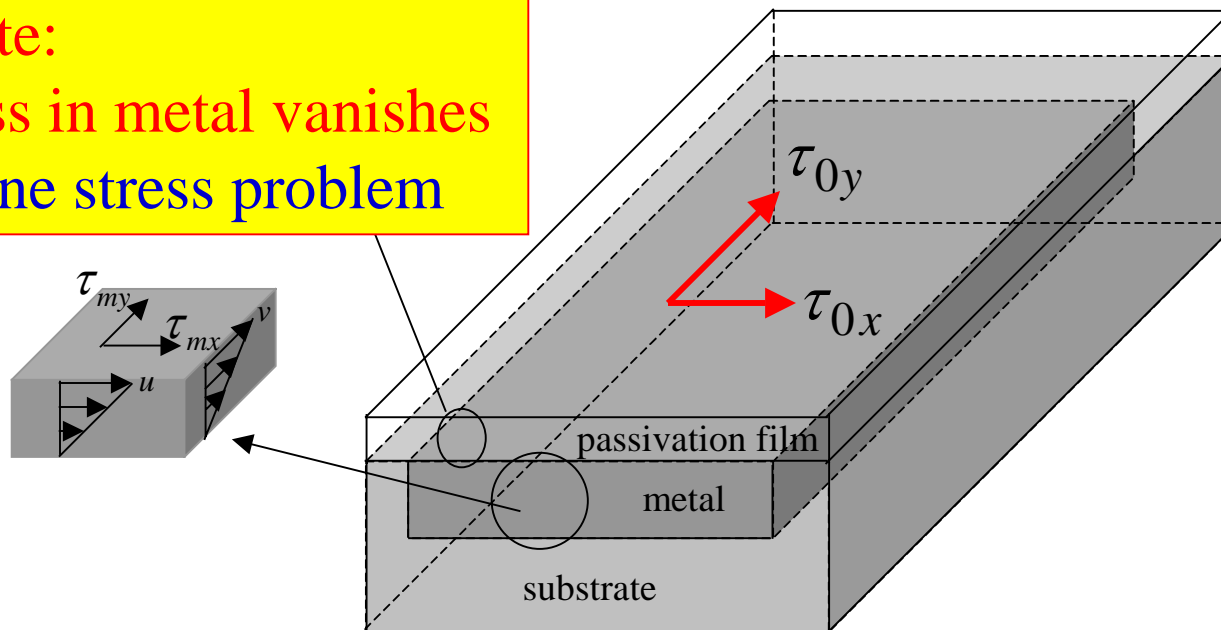
# Metal pad geometry. Slots.



- Direct finite element calculations for 3D, many cycles take **VERY** long time.

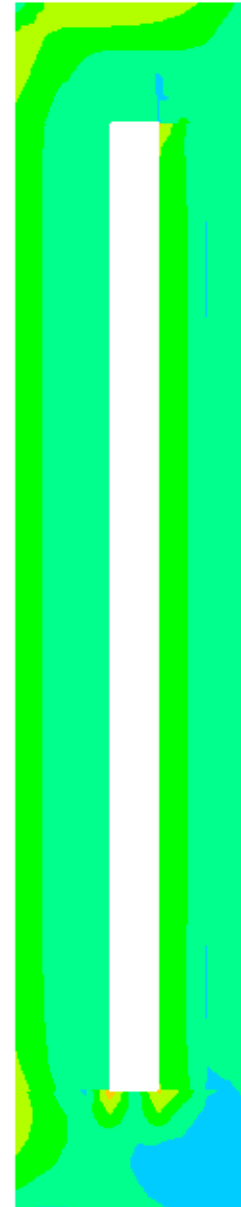
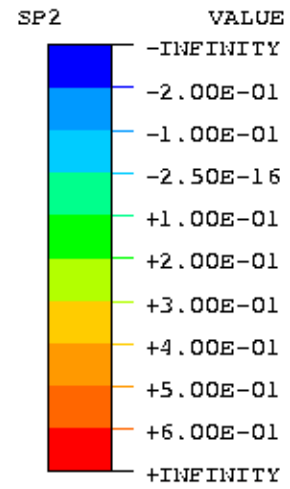
# 3D Structure

Steady-state:  
Shear stress in metal vanishes  
Elastic plane stress problem





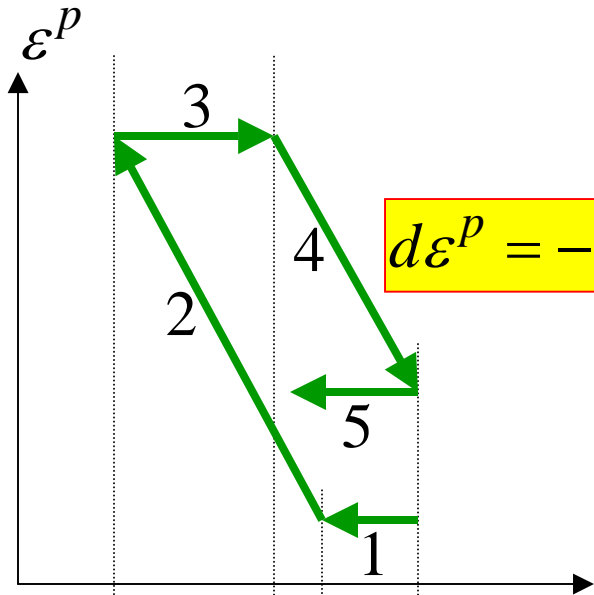
# Slot!



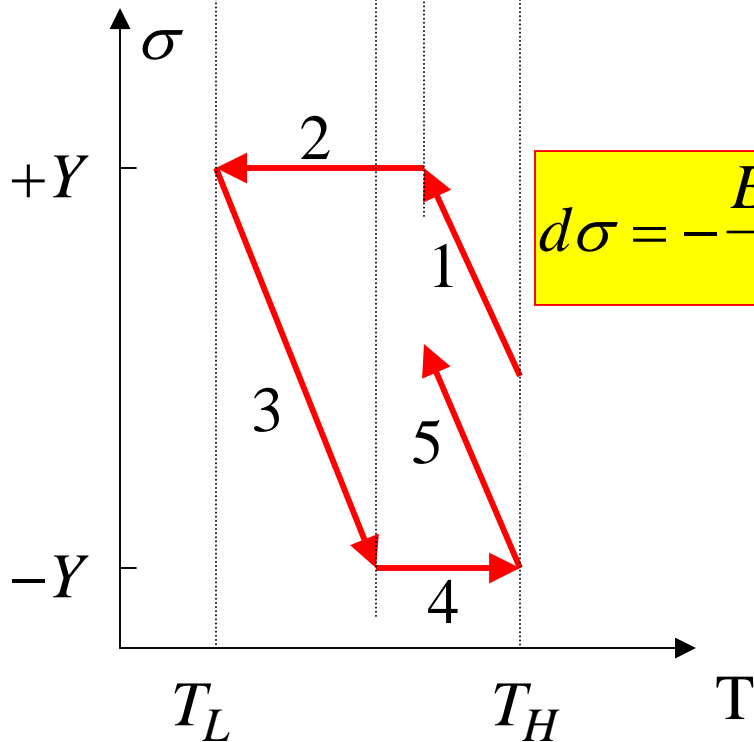
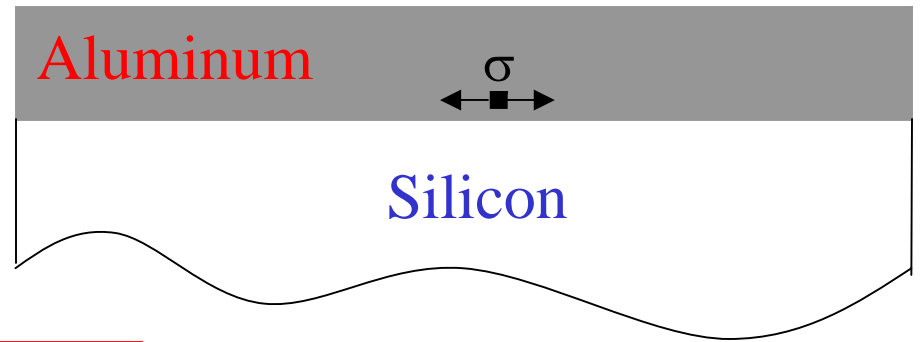
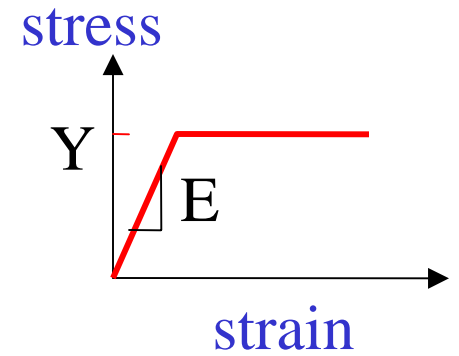
# Further Issues

- Why cycle, again? Why not just plastic collapse?
- Number of cycles to approach the steady state.

# Blanket film



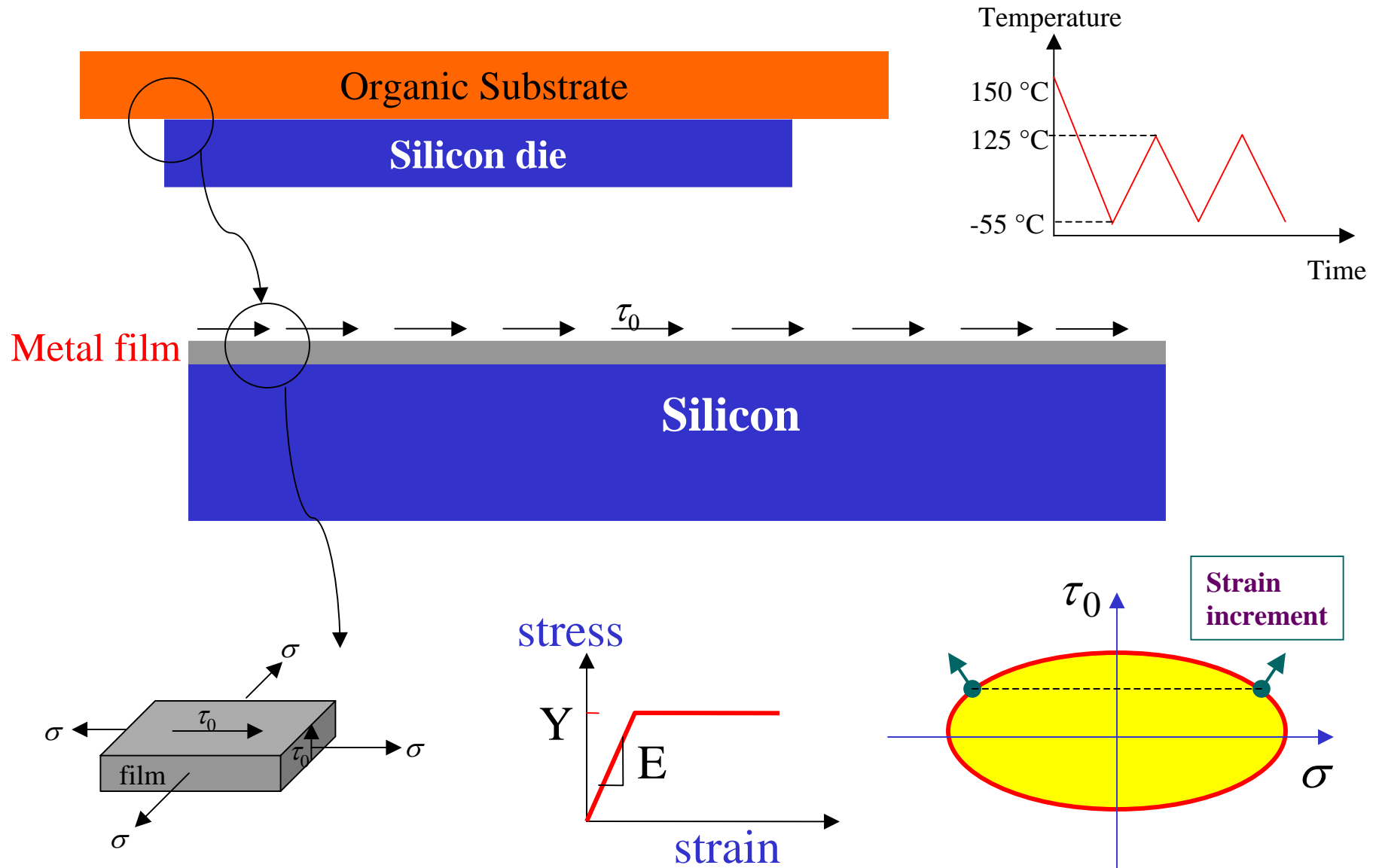
$$d\epsilon^p = -(\alpha_m - \alpha_s)dT$$



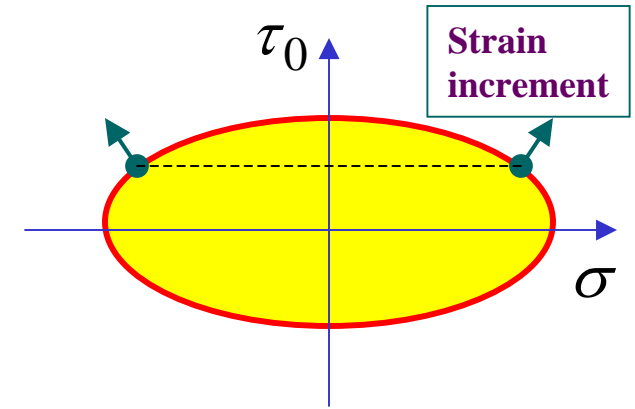
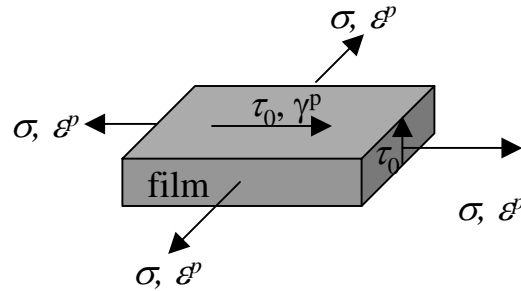
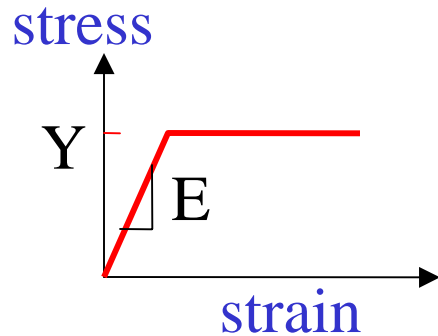
$$d\sigma = -\frac{E(\alpha_m - \alpha_s)}{1 - \nu}dT$$

$$\frac{E(T_H - T_L)(\alpha_f - \alpha_s)}{(1 - \nu)Y} \begin{cases} > 2, & \text{cyclic plasticity} \\ < 2, & \text{shakedown} \end{cases}$$

# Why Crawling?



# Elastic-Plastic Model



$$\sigma^2 + 3\tau_0^2 = Y^2$$

Yield condition

Matching strains of film & substrate

$$d\epsilon^p = -(\alpha_f - \alpha_s)dT$$

J2 flow theory

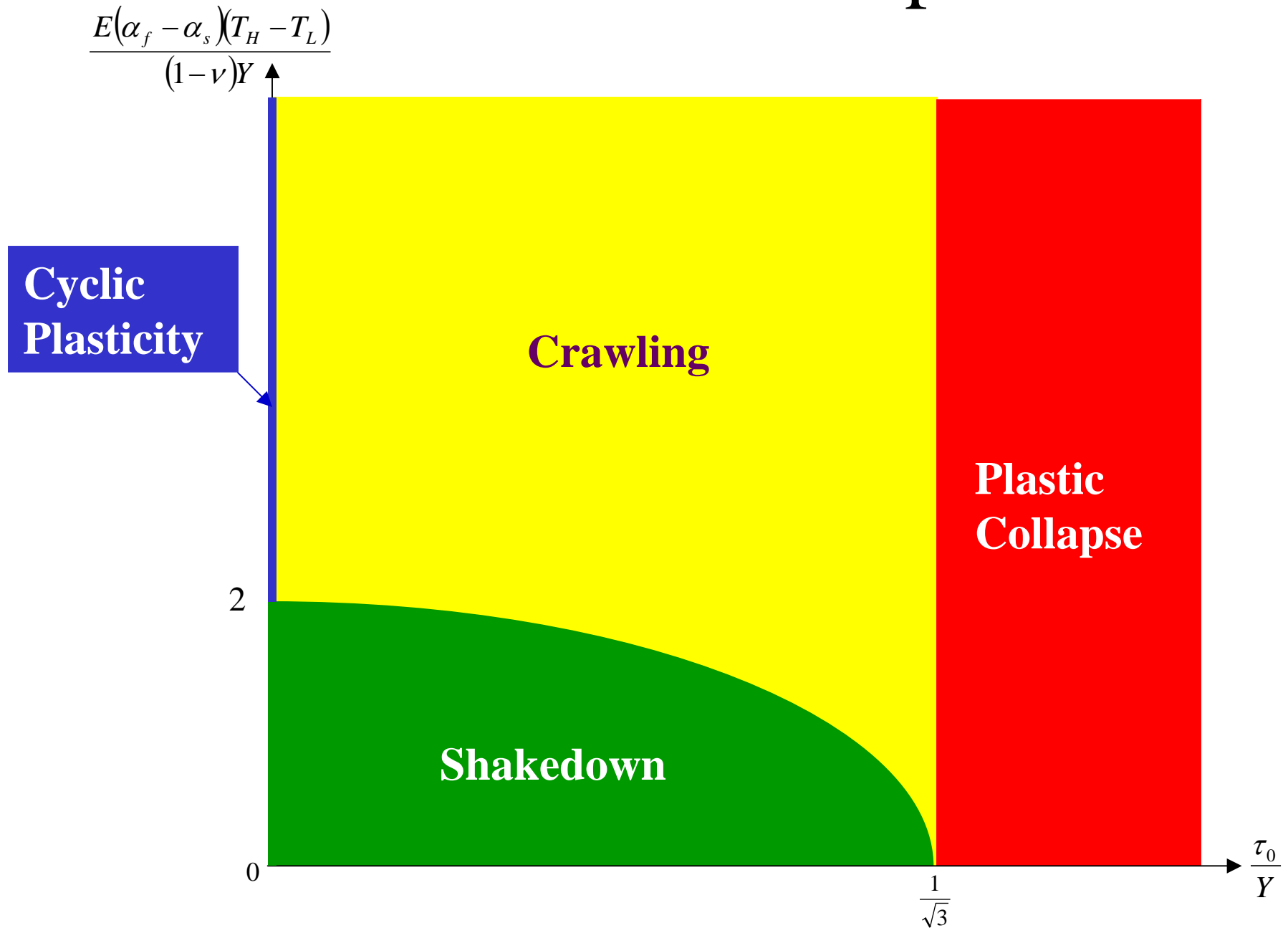
$$d\epsilon_{ij}^p = s_{ij}d\lambda$$

$$\frac{d\epsilon^p}{\sigma/3} = \frac{d\gamma^p}{2\tau_0}$$

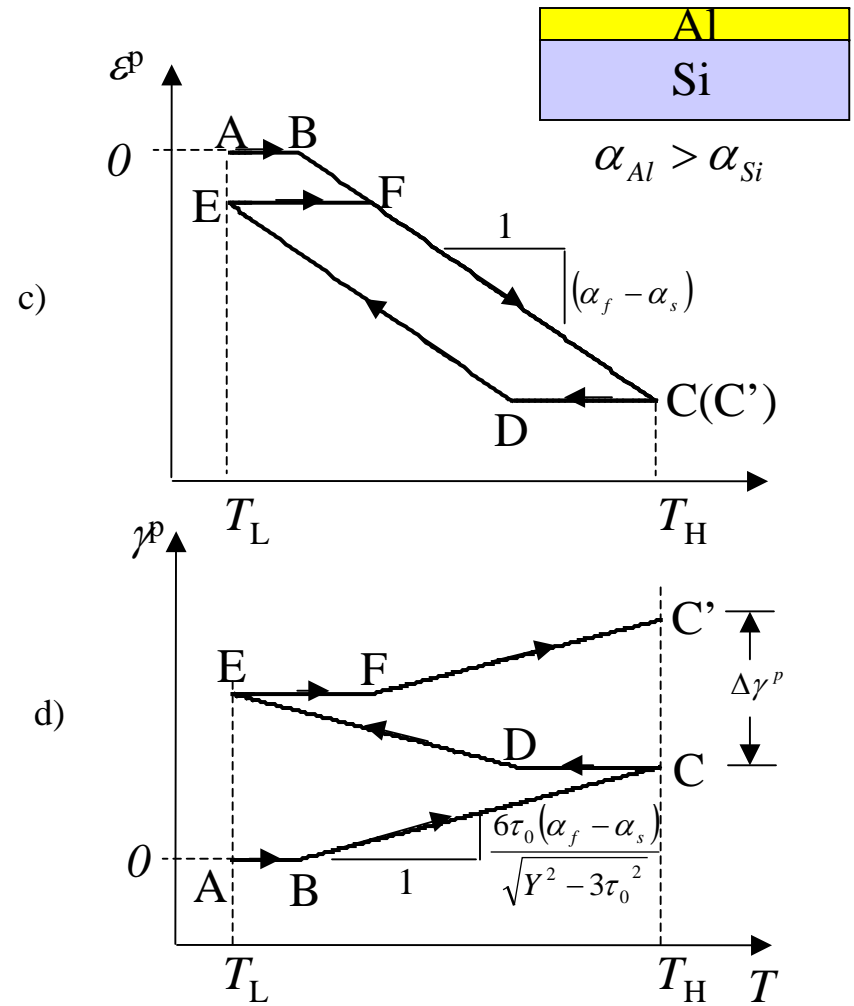
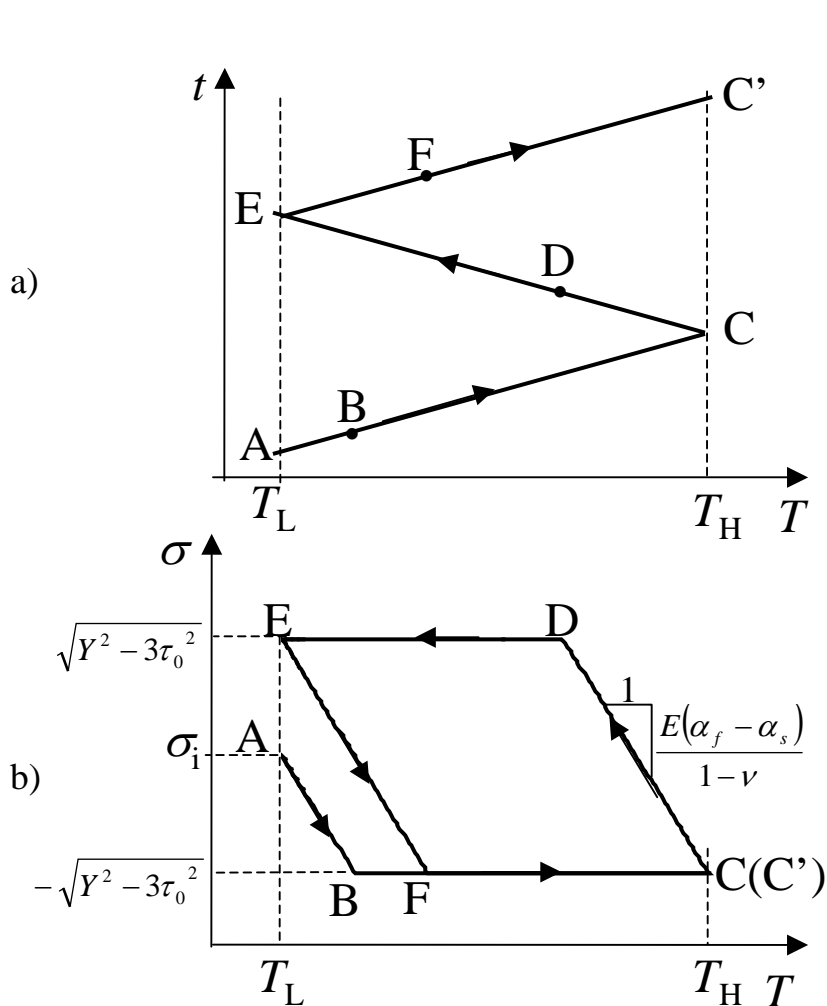
Shear strain increment

$$d\gamma^p = -\frac{6\tau_0}{\sigma}(\alpha_f - \alpha_s)dT$$

# Four-color map

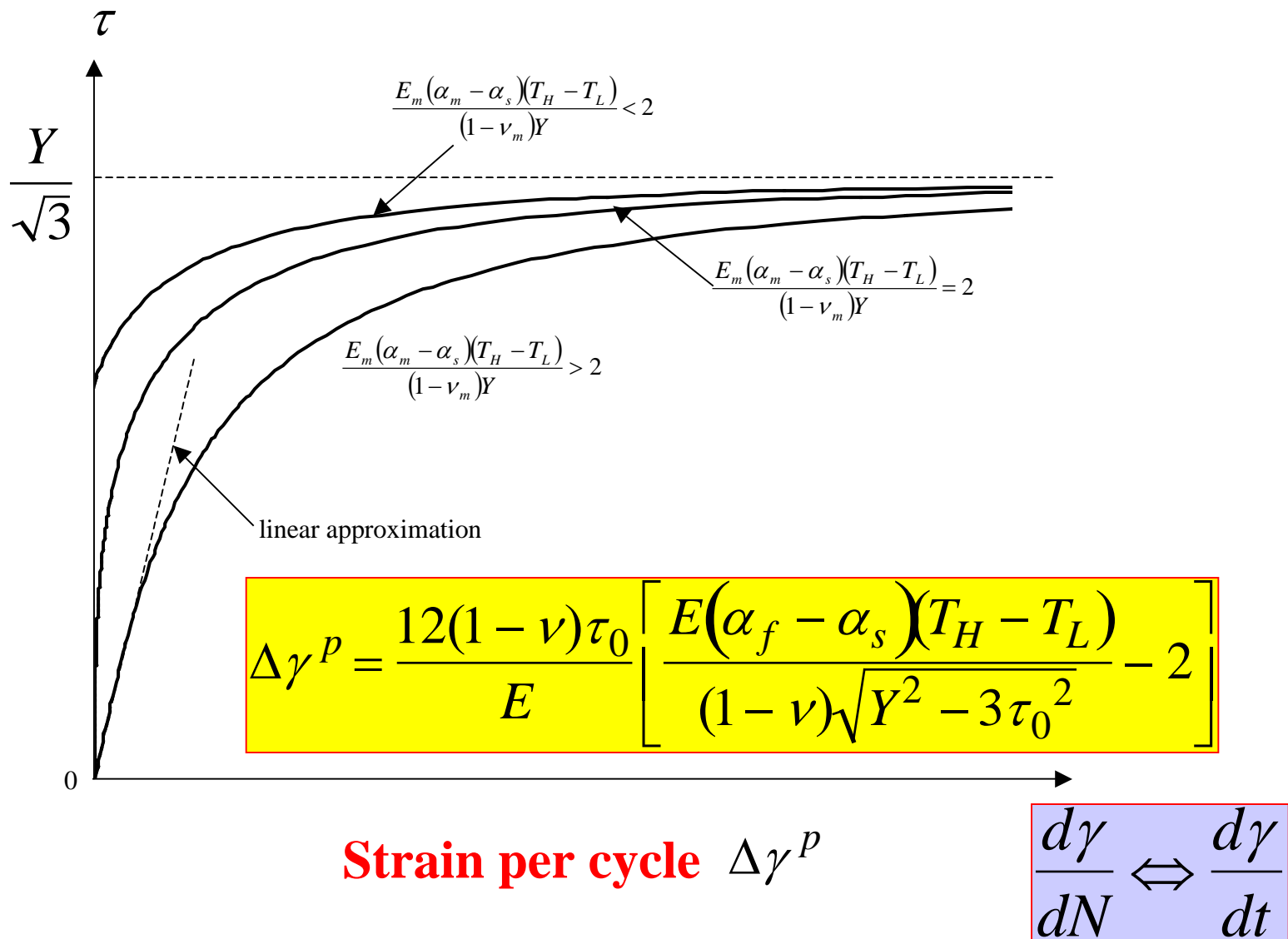


# Stress and strain change with temperature



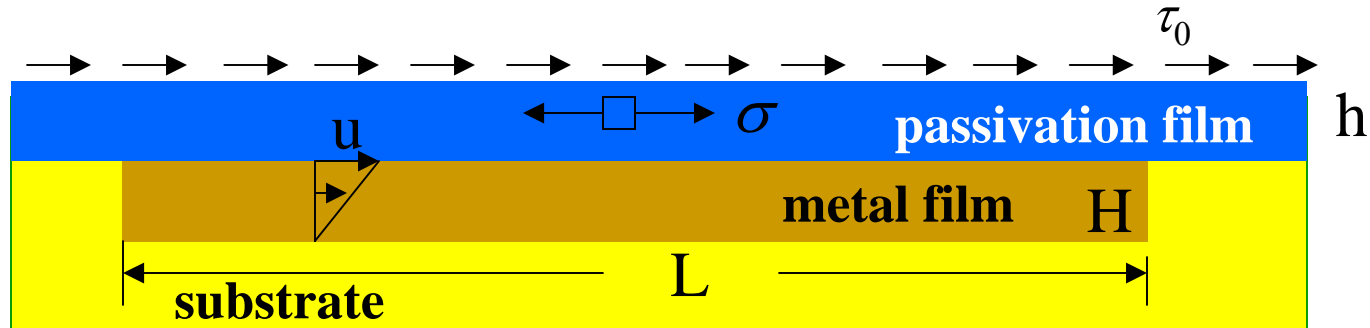
**Strain per cycle** 
$$\Delta\gamma^p = \frac{12(1-\nu)\tau_0}{E} \left[ \frac{E(\alpha_f - \alpha_s)(T_H - T_L)}{(1-\nu)\sqrt{Y^2 - 3\tau_0^2}} - 2 \right]$$

# Ratcheting-Creep Analogy





# Number of cycles to reach steady-state



## Elastic passivation film

Equilibrium 
$$h \frac{\partial \sigma}{\partial x} = \tau - \tau_0$$

Elasticity 
$$\sigma = E_p \frac{\partial u}{\partial x}$$

## Ratcheting metal film

$$\tau = \frac{\eta}{H} \frac{\partial u}{\partial N}$$

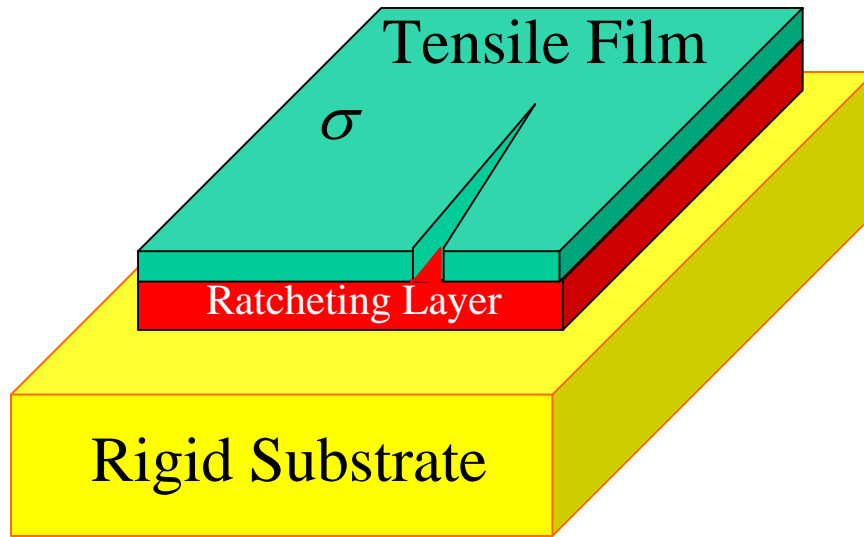
$$\eta = \frac{E_m}{12} \left( \frac{E_m \Delta \alpha \Delta T}{Y} - 2 \right)^{-1}$$

$$\frac{\partial u}{\partial N} = D \frac{\partial^2 u}{\partial x^2} - \frac{H \tau_0}{\eta}$$

$$D = \frac{E H h}{\eta}$$

$$N_0 \sim \frac{L^2}{D} \sim \frac{L^2}{H h} \frac{E_m}{E_p} \left( \frac{E_m \Delta \alpha \Delta T}{Y} - 2 \right)^{-1}$$

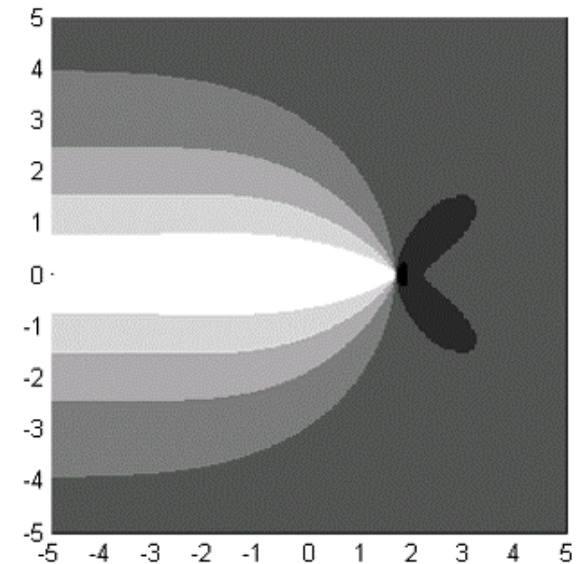
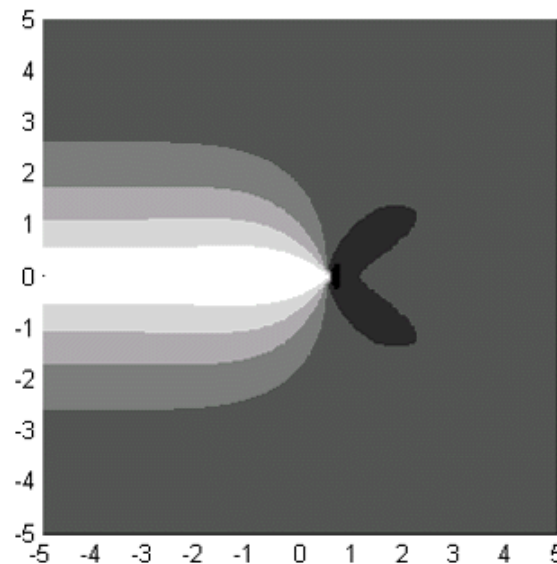
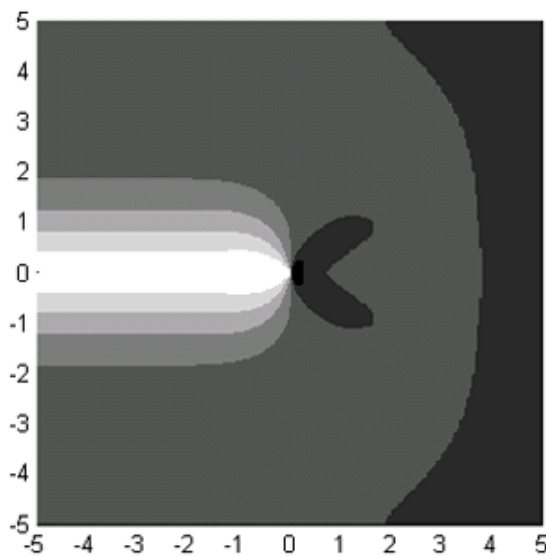
# Concomitant crack extension and underlayer ratcheting



$$\frac{da}{dN} = 0.6 \frac{Hh\sigma^2}{\eta G_c}$$

$$\eta = \frac{E_m}{12(1-\nu_m)} \left[ \frac{E_m \Delta\alpha \Delta T}{(1-\nu_m) Y} - 2 \right]^{-1}$$

J. Liang, R. Huang, J.H. Prevost, Z. Suo, submitted



# Conclusions

- **Thermal cycling test is a bottleneck.**
- **Ratcheting:** cyclic temperature, aided by **biased shear stress**, causes uni-directional deformation.
- Stress relaxes in metal, and builds up in SiN.
- Ductile, low k dielectrics may ratchet.
- Need **thin film data** on large plastic deformation over many cycles, and long time.