

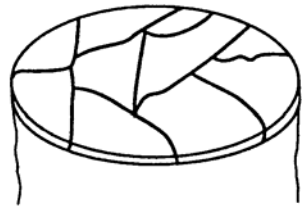
## Cracking Patterns

$$G = Z \sigma^2 h / \bar{E}_f$$



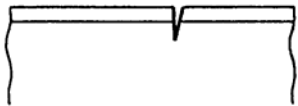
Surface Crack

$$Z = 3.951$$



Channeling

$$Z = 1.976$$



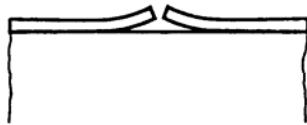
Substrate Damage

$$Z = 3.951$$



Spalling

$$Z = 0.343$$



$$Z = \begin{cases} 1.028 & \text{(initiation)} \\ 0.5 & \text{(steady - state)} \end{cases}$$

# Film in compression

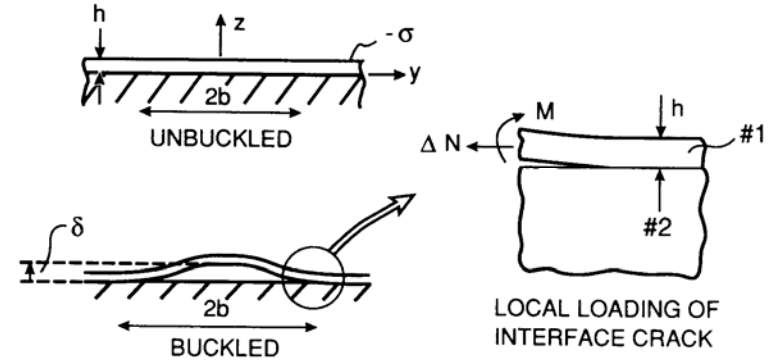


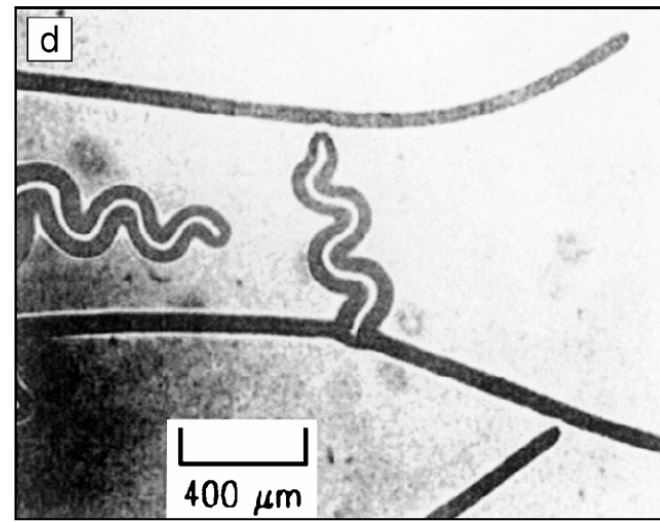
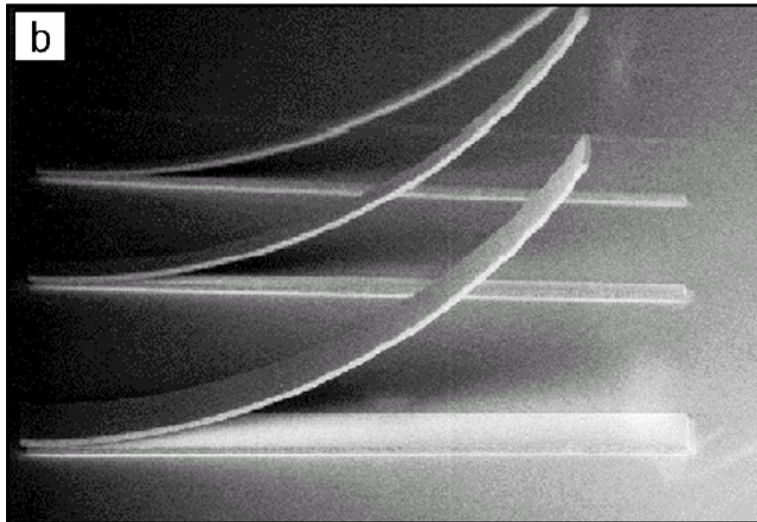
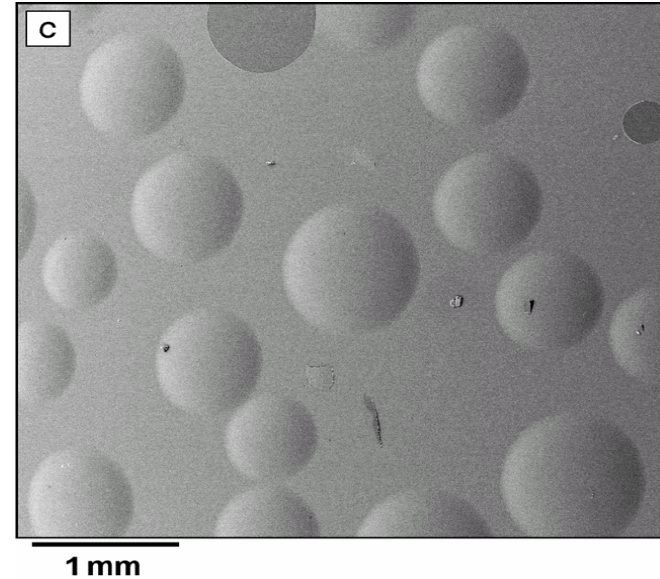
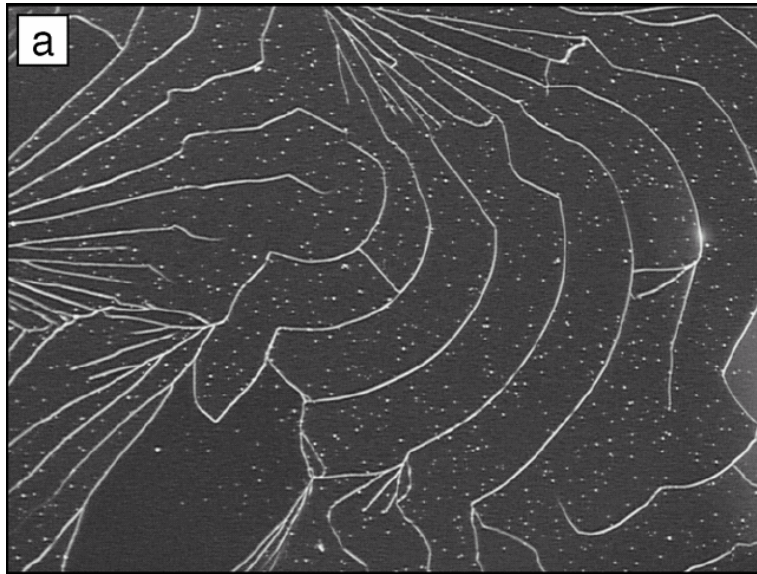
FIG. 57. Geometry of the one-dimensional blister, and conventions for the elasticity solution characterizing conditions near the tip of an interface crack between a thin film and an infinitely thick substrate. Top left: un buckled; bottom left: buckled; right: local loading of interface crack.

Hutchinson, Suo  
 Advances in Applied Mechanics  
 29, 64-192 (1992).

pdf file: [www.deas.harvard.edu/suo](http://www.deas.harvard.edu/suo)

FIG. 43. Commonly observed cracking patterns. The dimensionless driving force for each pattern is listed, assuming that the film-substrate is elastically homogeneous, and the substrate is infinitely thick.

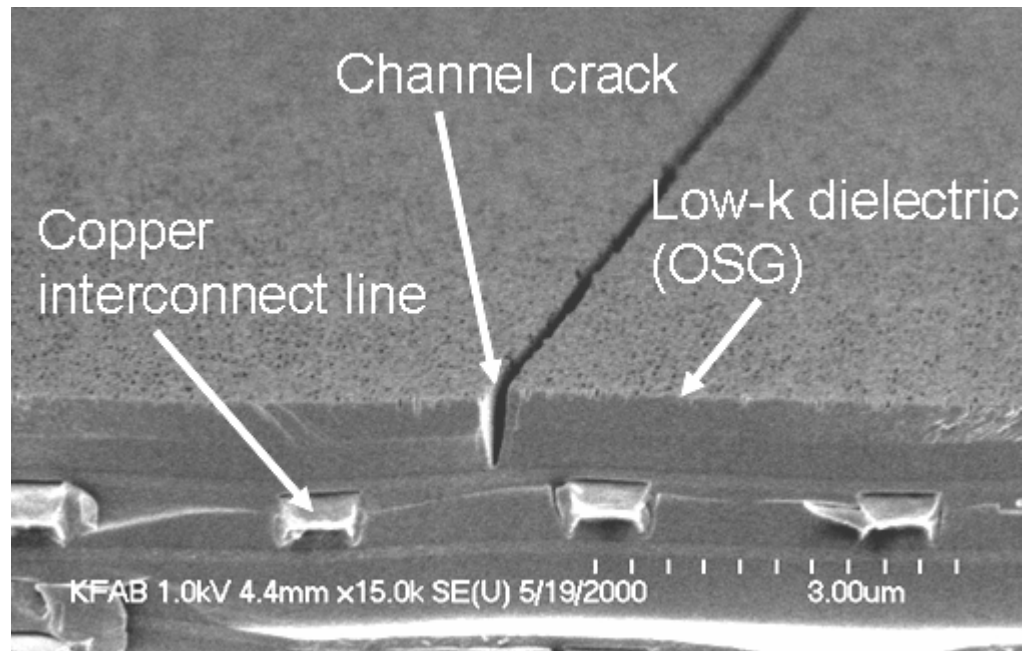
# Variety of Thin Film Fracture Patterns



Cook, Suo, MRS Bulletin, 27, 45 (2002)

Courtesy J. Sturm (a), (c); Q. Ma (b); M.D. Thouless (d)

# A channel crack



T. Tsui (<http://www.imechanica.org/node/248>)

# The origin of stress in a film

- Deposition process (intrinsic stress)
- Mismatch due to lattice constant
- Mismatch in the coefficient of thermal expansion
- Bending

W.D. Nix, Mechanical Properties of Thin Films  
(<http://imechanica.org/node/530>)

# Stress in a thin film due to mismatch in the coefficients of thermal expansion

- The film is very thin compared to the substrate ( $h \ll H$ ).
- The substrate is nearly stress free.
- The film is in a state of equal biaxial stress,  $\sigma$ .
- At  $T_{ref}$ , the film is stress free.
- The film deforms elastically as the temperature changes

$$\varepsilon_s = \alpha_s (T - T_{ref}) \quad \varepsilon_f = \alpha_f (T - T_{ref}) + \frac{(1 - \nu_f)\sigma}{E_f}$$

As the temperature changes, the substrate and the film remains bonded

$$\varepsilon_s = \varepsilon_f \quad \sigma = \frac{E_f}{(1 - \nu_f)} (\alpha_f - \alpha_s) (T_{ref} - T)$$

When  $\alpha_f > \alpha_s$ , upon cooling, the film is under tensile stress,  $\sigma > 0$ . 5

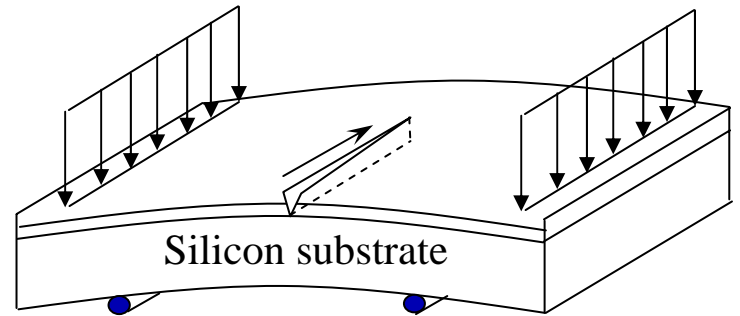
# Stress in film due to bending

$$\frac{1}{R} = \frac{M}{\frac{E_s}{12(1-\nu_s^2)}BH^3}$$

$$\varepsilon = \frac{H/2}{R}$$

$$\sigma = \frac{E_f}{1-\nu_f^2} \varepsilon$$

$$\sigma = \frac{6E_f(1-\nu_s^2)M}{E_s(1-\nu_f^2)BH^2}$$



$M$  = applied moment

$B$  = width of the beam

$H$  = thickness of the substrate

$R$  = radius of curvature

$\varepsilon$  = strain in the film

$\sigma$  = stress in the film

# Measure residual stress using wafer curvature

Line tension  $f = \int \sigma dz$

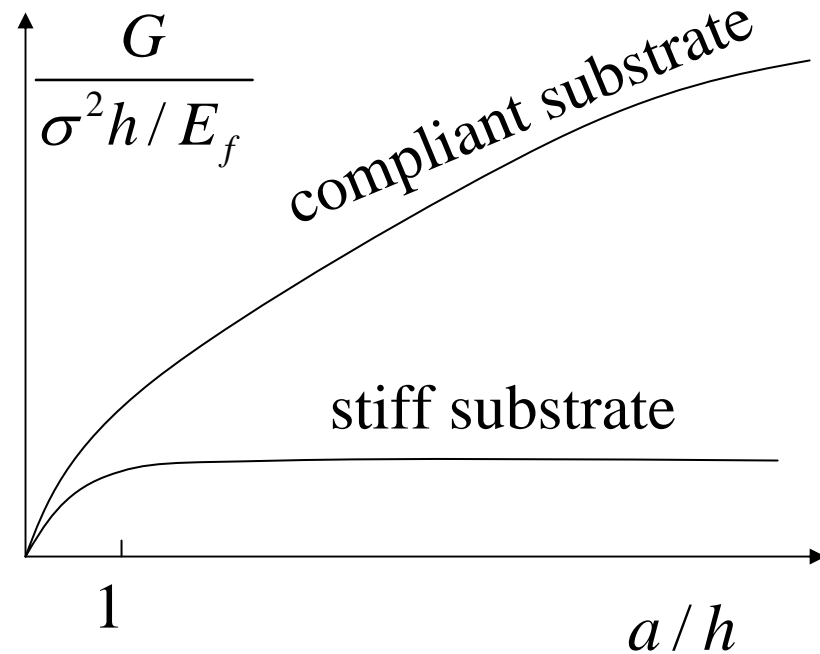
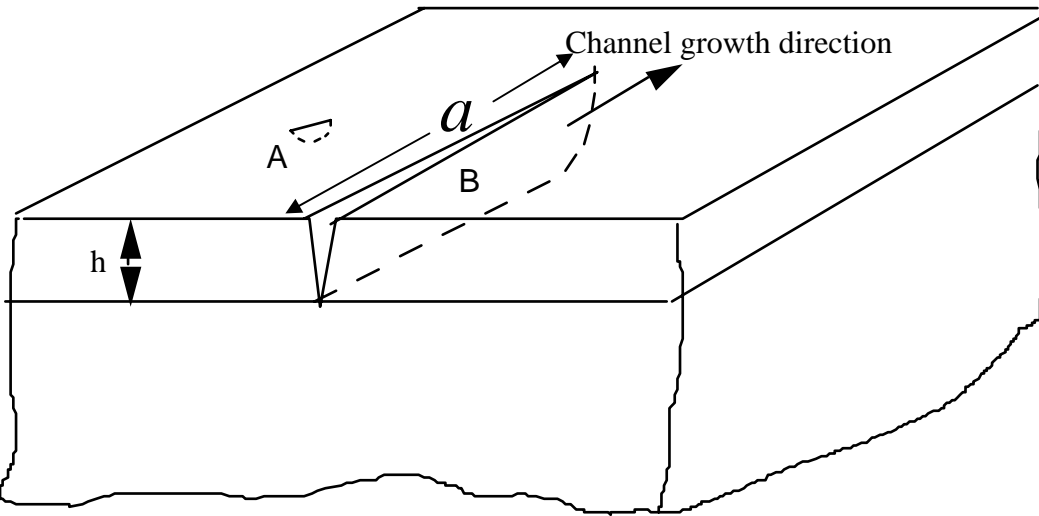
Bending moment  $M = fBH / 2$

Radius of curvature  $\frac{1}{R} = \frac{1 - \nu_s}{E_s} \frac{12M}{BH^3}$

Stoney's formula

$$f = \left( \frac{E_s}{1 - \nu_s} \right) \frac{H^2}{6R}$$

# Channel crack: Initiation vs. steady propagation



A. Initiation  $G_{initiation} = Y\sigma^2 a / E_f$

B. Steady propagation  $G_{ss} = Z\sigma^2 h / E_f$

When the substrate is compliant, steady state is attained at a large  $a/h$  and a large energy release rate.



# Steady-state energy release rate of a channel crack

Reduction in elastic energy for the crack to advance a unit distance

$$U = SE_{\text{upstream}} - SE_{\text{downstream}}$$

$$U = \int_0^h G_{ps}(b)$$

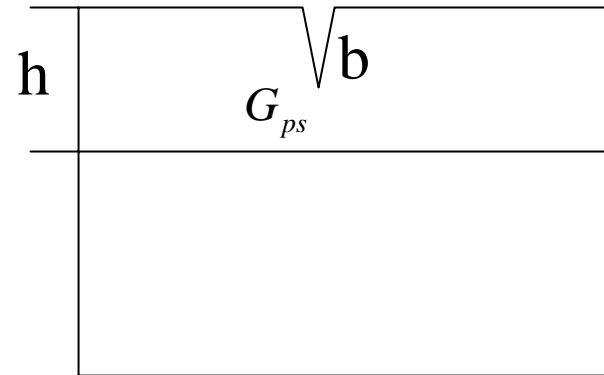
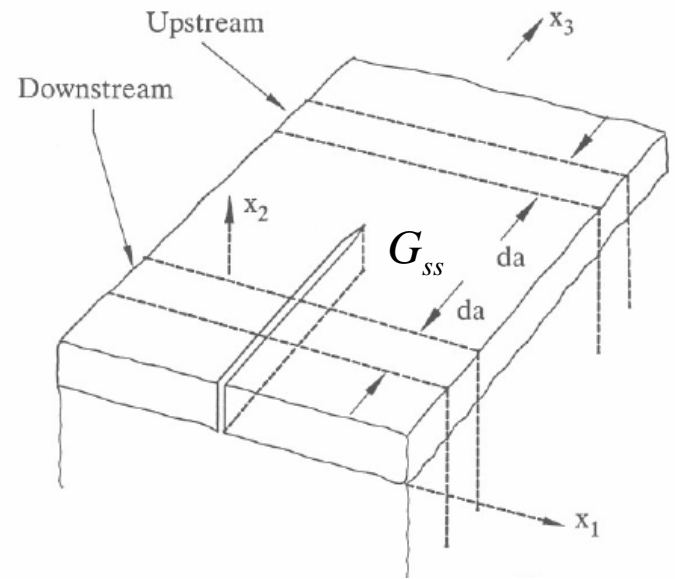
Steady-state energy release rate

$$hG_{ss} = U \quad G_{ss} = \frac{1}{h} \int_0^h G_{ps}(b) db$$

Example: film and substrate have similar elastic modulus

$$G_{ps}(b) = \frac{1-\nu^2}{E} (1.1215)^2 \pi b \sigma^2$$

$$G_{ss} = 2 \frac{(1-\nu^2)}{E} h \sigma^2$$



# Steady-state energy release rate of a channel crack

Reduction in elastic energy for the crack  
to advance a unit distance

$$U = SE_{\text{upstream}} - SE_{\text{downstream}}$$

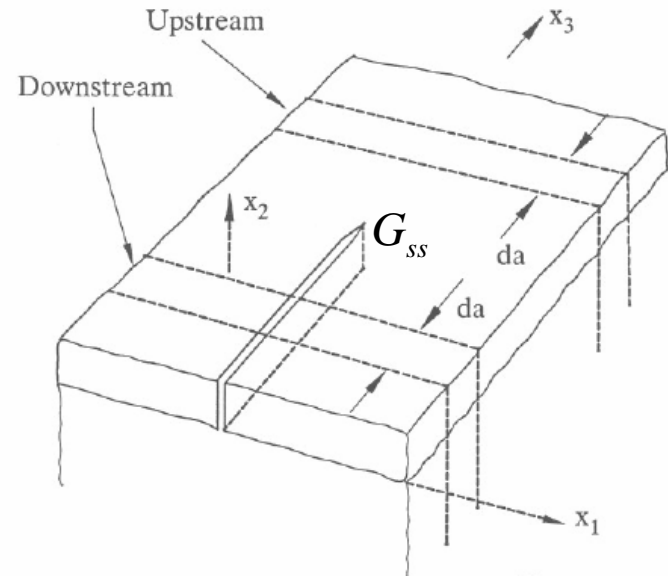
$$U = \frac{1}{2} \int_0^h \delta(z) \sigma(z) dz$$

$\delta(z)$  Crack opening downstream

$\sigma(z)$  Stress upstream

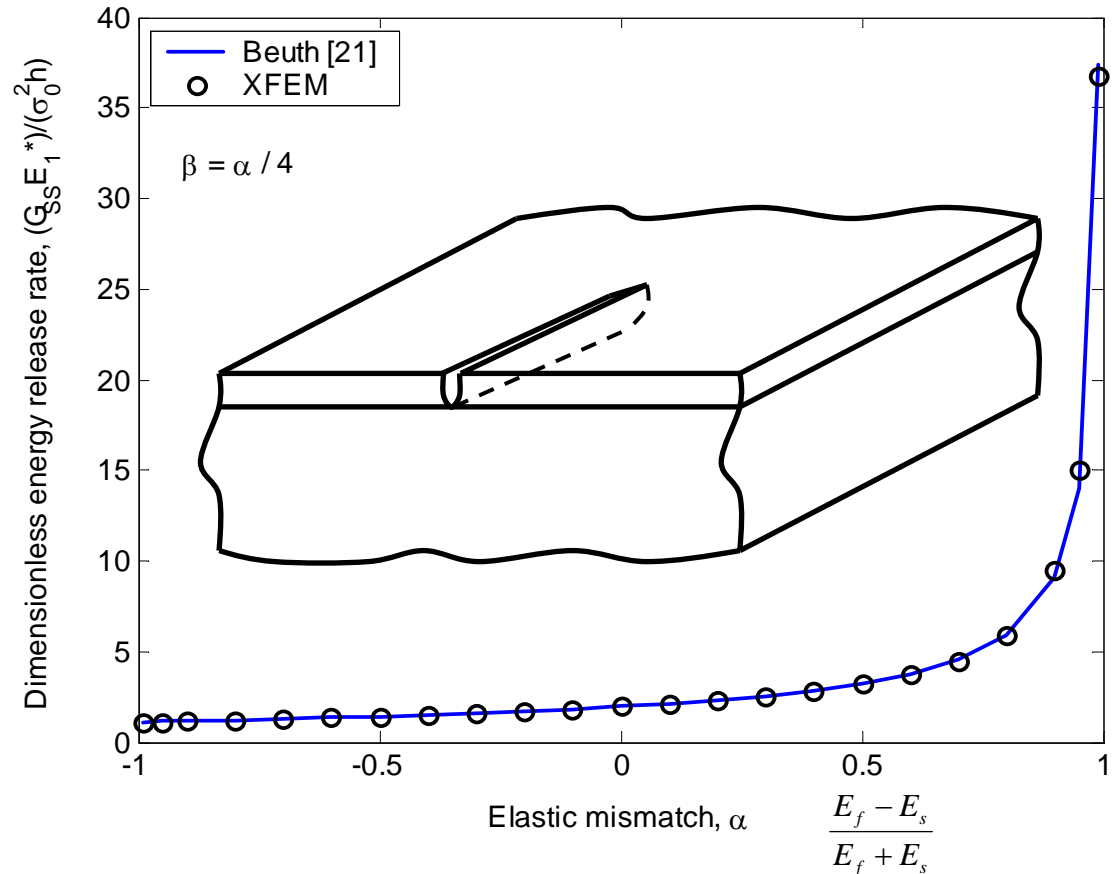
$$hG_{ss} = U$$

$$G_{ss} = \frac{1}{2h} \int_0^h \delta(z) \sigma(z) dz$$



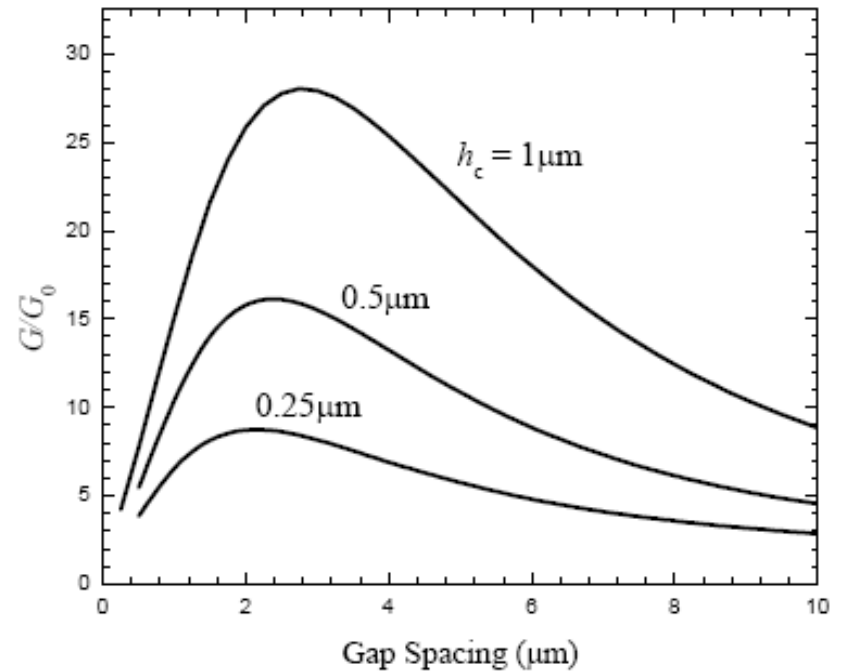
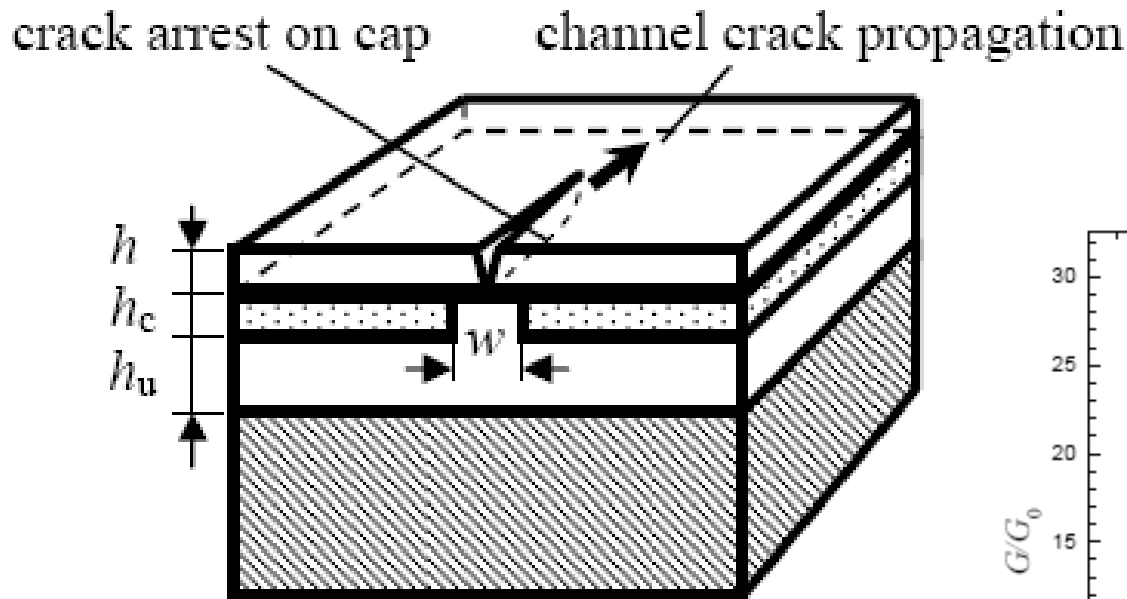
# Driving force for steady propagation

$$G_{ss} = Z \frac{\sigma^2 h}{E_f}$$

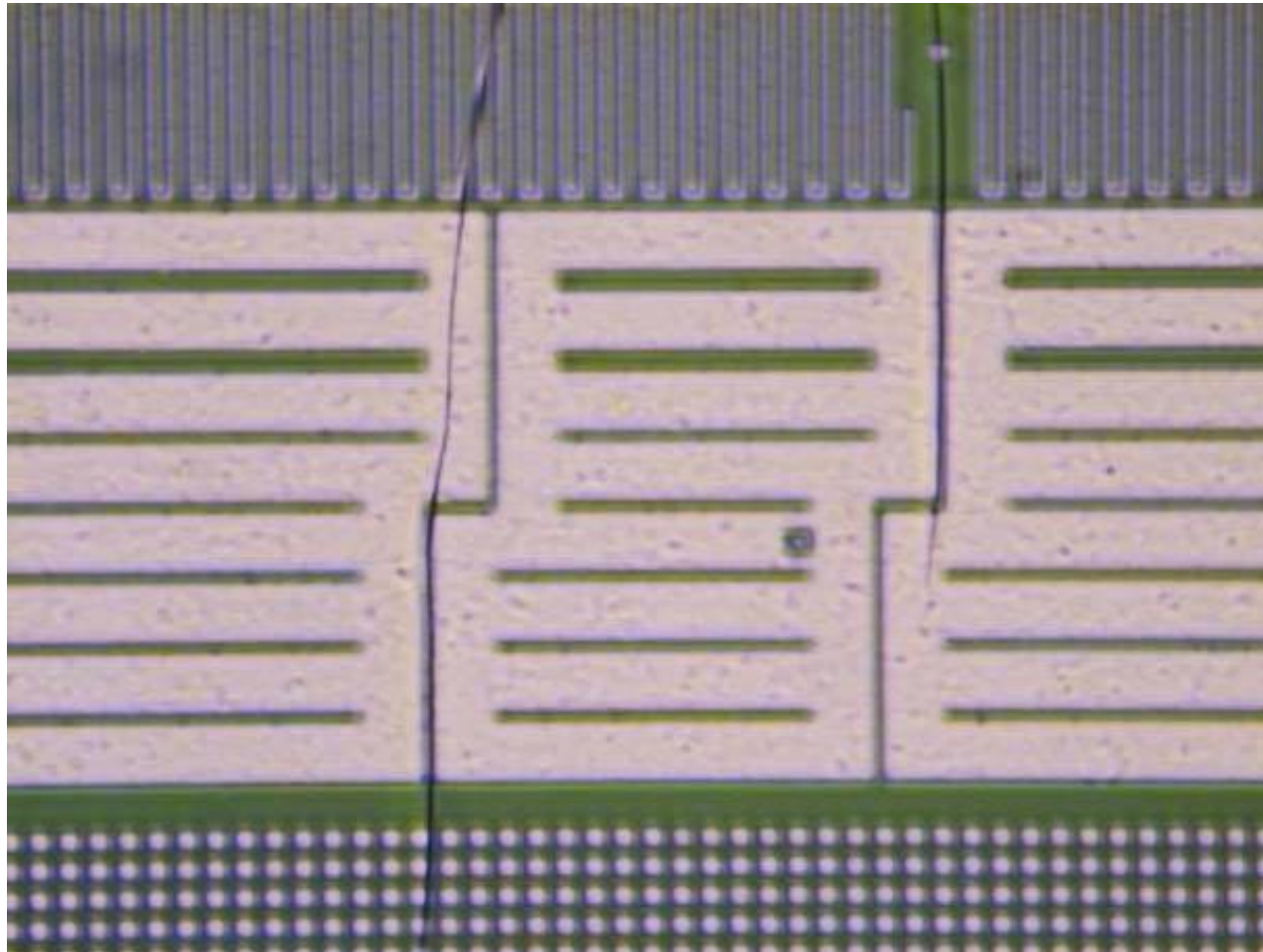


An advantage of being nano: thin films can sustain large strains.  
e.g., 7 nm silica film can sustain strains of  $\sim 5\%$ .

# Channel crack in patterned structure



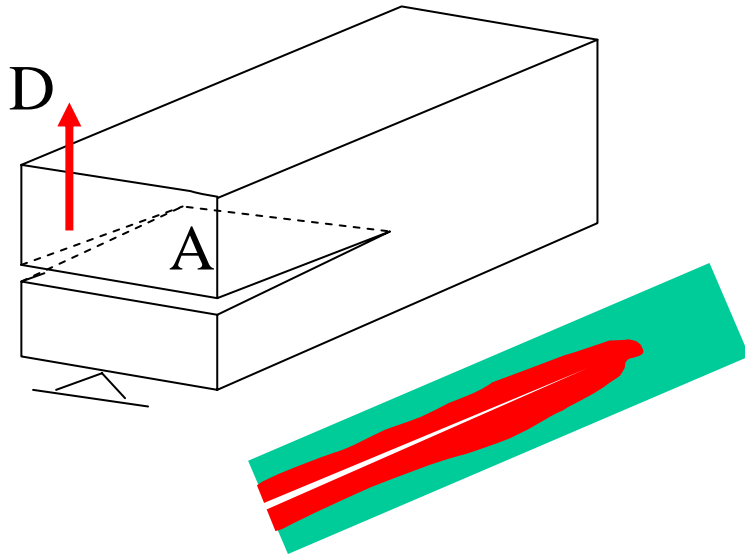
# Cracking in low k dielectrics



Also see a thread of discussion at <http://www.imechanica.org/node/165>

# Crack driving force, $G$

Generalized coordinates:  $D$  = displacement,  $A$  = crack area



Elastic energy

$$U(D, A)$$

$$dU = FdD - GdA$$

$$G = -\frac{\partial U(D, A)}{\partial A}$$

Work supply = elastic energy + **excess**

In *steady state*, the **excess** is proportional to crack area,  $\Gamma A$

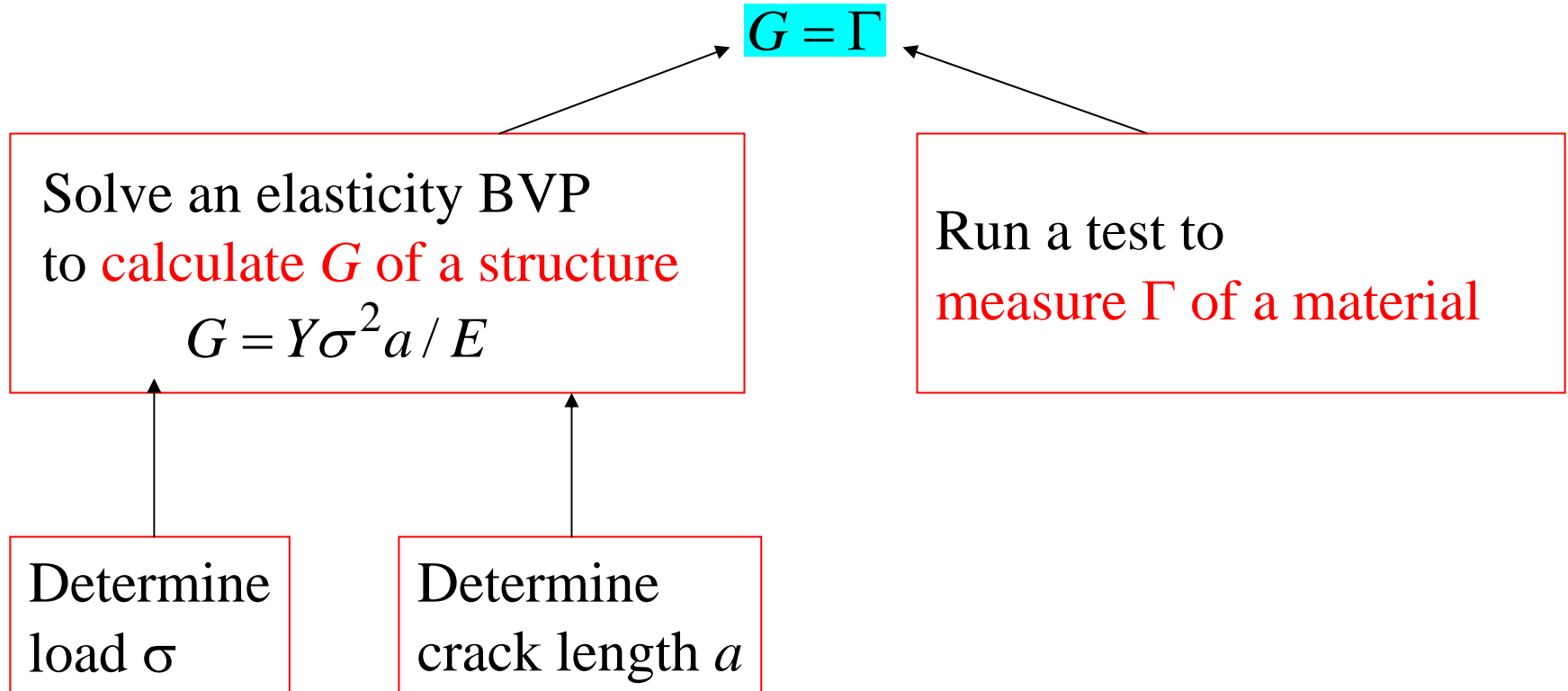
$$FdD = dU + \Gamma dA$$

$$G = \Gamma$$

# Fracture mechanics as a division of labor

George Rankin Irwin (1907-1998)

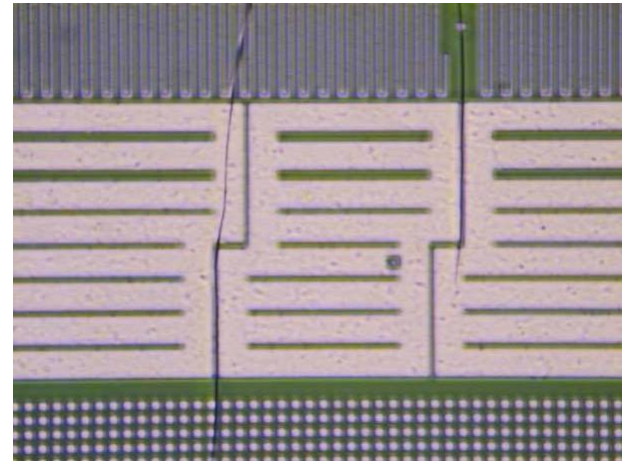
Crack driving force,  $G$ .    Crack resistance,  $\Gamma$



# Small structures: a new economy

- Calculating  $G$  is prohibitively **expensive**

- 3D architecture
- uncertain flaws
- uncertain residual stress field
- uncertain material properties



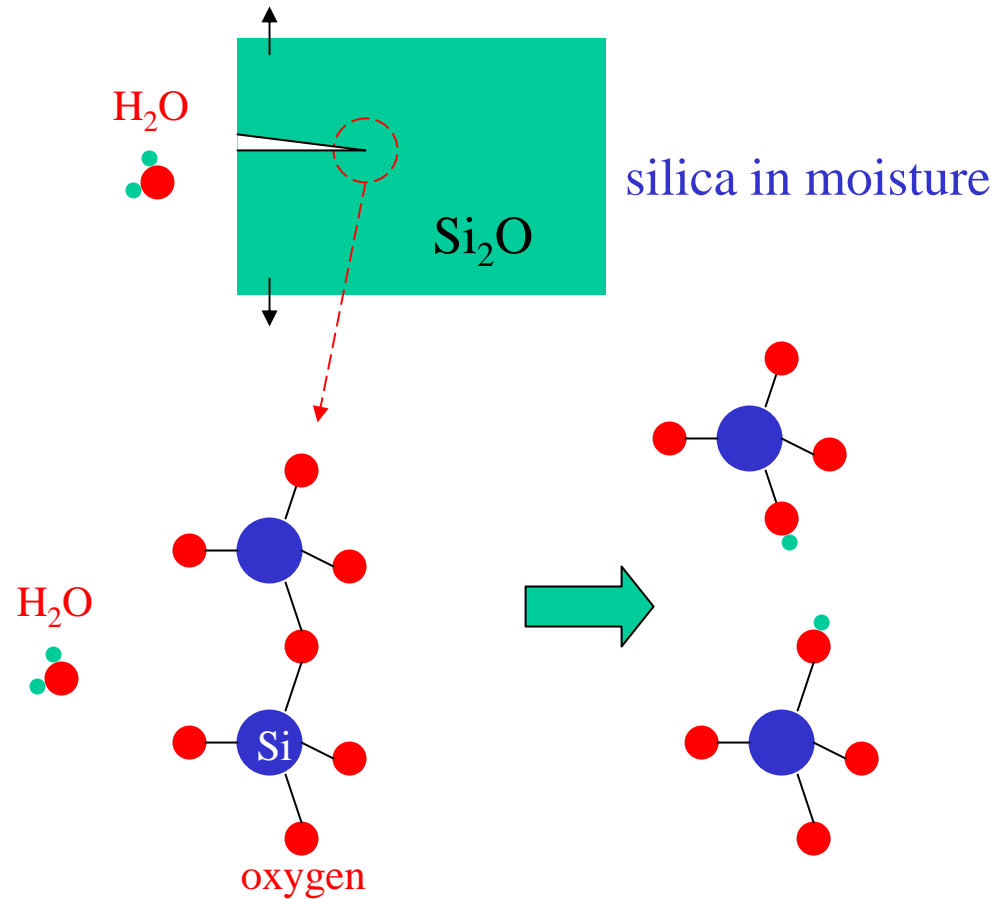
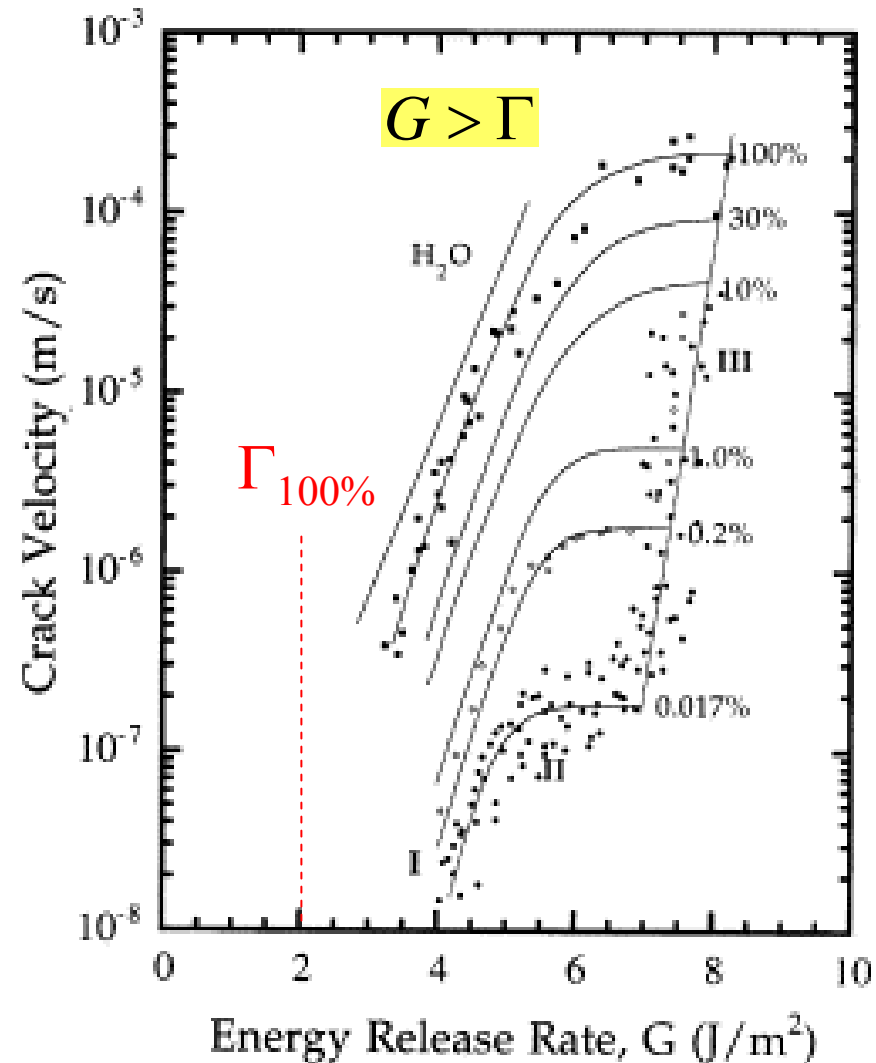
- Massive testing is **cheap**.

A new division of labor: **run test to measure  $G$ !**



# Moisture-assisted crack growth: the V-G function

Weiderhorn, J. Am. Ceram. Soc. **59**, 407 (1967)



The load opens crack and strains bonds  
 $H_2O$  transports to crack tip and assists in breaking bonds

# A method to measure G

V-G function is specific to material and environment, and remains the same when the material is integrated into a structure.

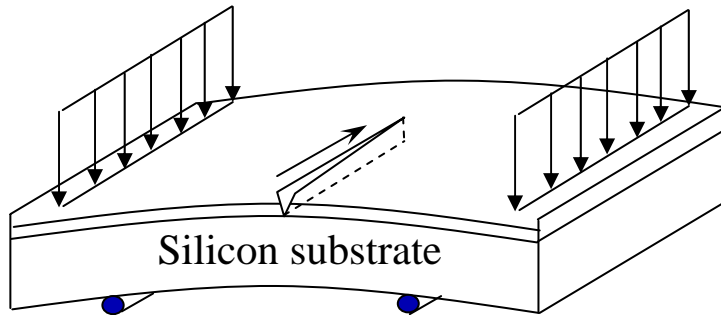
Use a **simple structure** to measure V-G function.

In a **complex structure**, an observed V gives a reading of G.

...in a way analogous to measuring temperature

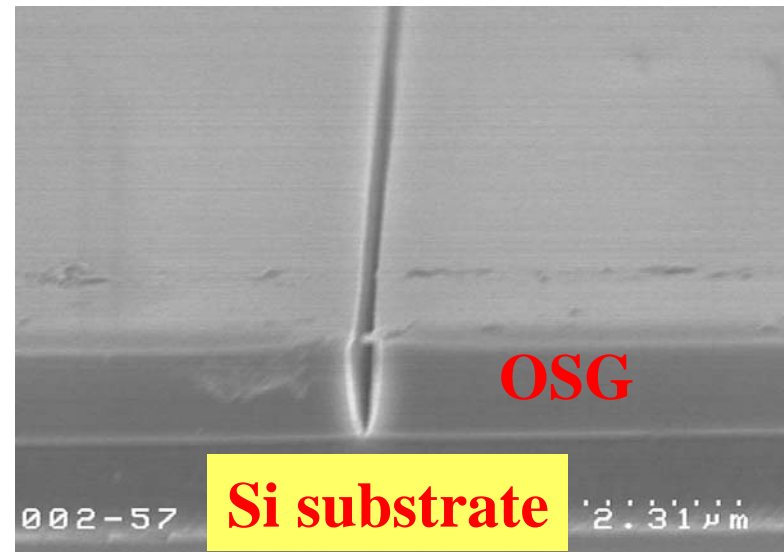
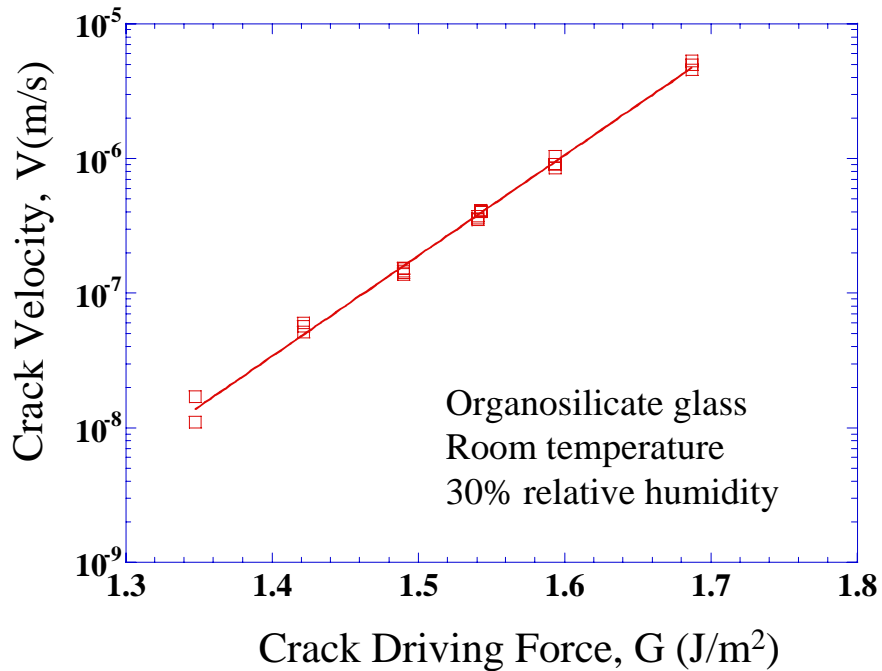
Thermometer  $\longrightarrow$  G-meter

# Measure the V-G function

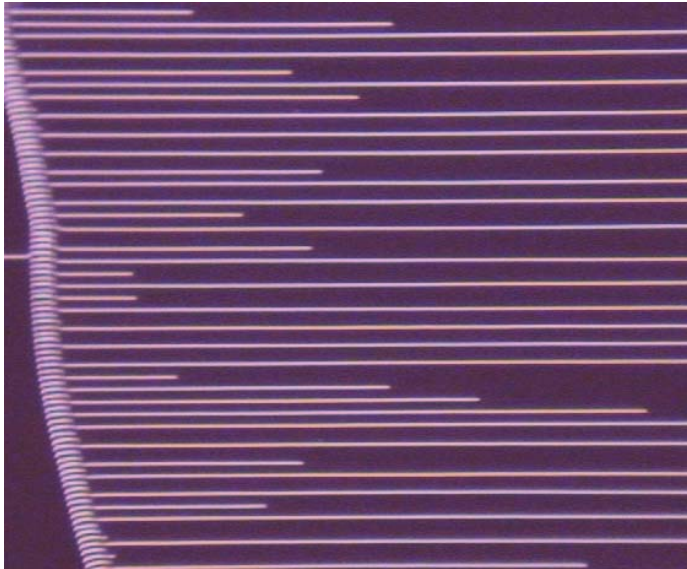


- Scratch and bend
- Channel crack

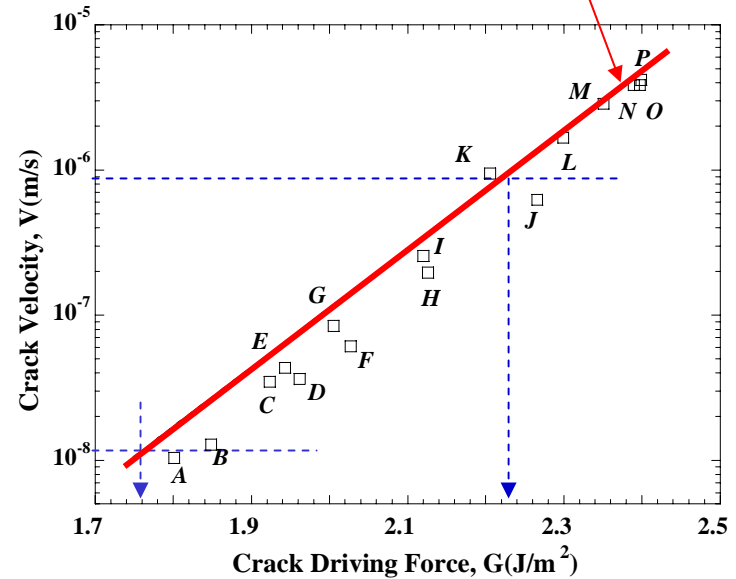
$$G = Z\sigma^2 h / \bar{E}$$



# Read G



Known V-G function

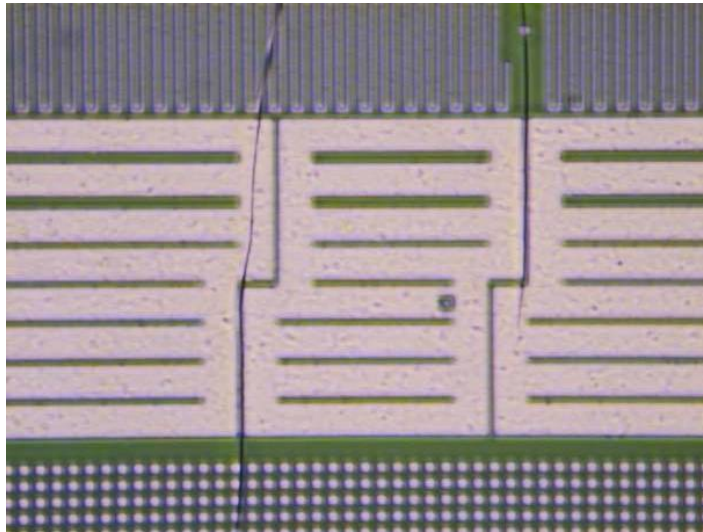


$$G = \frac{\sigma^2 Zh}{E} \left( \tanh\left(\frac{S_1}{2Zh}\right) + \tanh\left(\frac{S_2}{2Zh}\right) - \tanh\left(\frac{S_1 + S_2}{2Zh}\right) \right)$$

# Measure crack driving force due to residual stress field, $G_R$

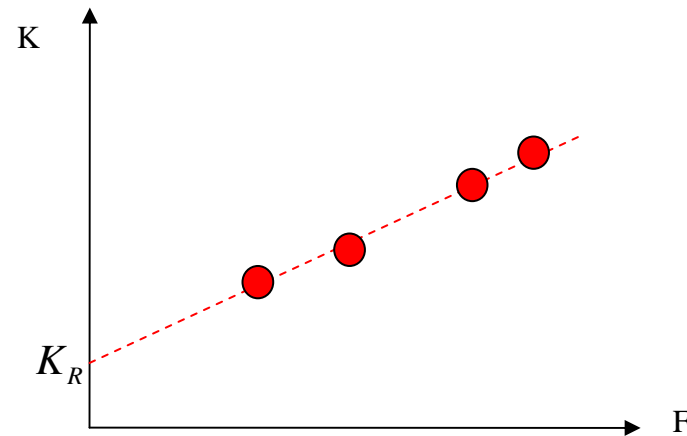
At  $G_R$ , the crack velocity is too low to be observed.

- Bend structure, observe crack velocity, and read  $G$ .
- Extrapolate the data to obtain  $G_R$



$$G = K^2 / \bar{E}$$

$$K = K_R + BF$$



To be immortal,  $G_R < \Gamma$