

# Physical Interpretation of the Maximum Receptor–Ligand Bond Spacing to Ensure Cell Adhesion in Ligand-Coated Substrates

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Recent experiments by Arnold et al. (Arnold, M.; Cavalcanti-Adam, E. A.; Glass, R.; Blummel, J.; Eck, W.; Kantelehner, M.; Kessler, H.; Spatz, J. P. *ChemPhysChem* **2004**, *5*, 383) revealed that a distance of less than 58–73 nm between receptor–ligand bonds is necessary to ensure focal adhesion in integrin-mediated cell adhesion on ligand-coated substrates. In this letter, we consider focal adhesion growth to be a process assisted by thermal fluctuations and receptor–ligand binding and resisted by repulsive “bulge pressure” and membrane deformation. By applying balance between these forces, we obtain a critical spacing of receptor–ligand bonds given as  $2h[(\alpha k_B T/\beta E h^3)^{1/3} - (E/p)^5]^{1/4}$ , above which the growth of focal adhesion becomes difficult. Here  $h$  and  $E$  are the in-plane modulus and thickness of a cell membrane, respectively,  $p$  is a repulsive “bulge pressure” between the cell membrane and substrate, and  $\alpha$  and  $\beta$  are constants on the order of 1. We use typical values of  $E$  and  $h$  for cell membranes and obtain the critical spacing of receptor–ligand bonds of around 39–89 nm for a wide range of repulsive bulge pressure.

## 1. Introduction

To achieve a wide variety of biological phenomena, the ability of cells to contact effectively and interact specifically with neighboring media plays a central role.<sup>1,2</sup> It is known that cells can sense the chemical and mechanical properties of surrounding systems<sup>3–11</sup> and regulate their adhesion and movement through binding protein molecules within cell membranes. Recent observation by Arnold et al.<sup>12</sup> revealed that a spacing of receptor–ligand bonds of less than 58–73 nm is critical to ensuring stable adhesion for a variety of cultured cells in ligand-coated substrates. At larger spacing, they observed that focal adhesion is difficult to develop and hence cell adhesion is not stable. Motivated by those striking experimental observations, we perform a dimensional analysis and give a formula for the maximum length of receptor–ligand bonds to ensure focal adhesion between cells and ligand-coated substrates. We consider focal adhesion growth to be a process assisted by thermal fluctuations and receptor–ligand binding and resisted by repulsive “bulge pressure” and membrane deformation. The critical spacing is an outcome of the balance between these forces.

The dependence of integrin-mediated cell adhesion on the spacing of bonding sites in ligand-coated substrates was indeed foreseen by Bell et al.<sup>13,14</sup> in their equilibrium thermodynamic framework of receptor–ligand binding. In Bell’s theory, cell

adhesion is a competition between specific attractions and nonspecific repulsions. The former is due to specific receptor–ligand interaction. Several possibilities may account for the nonspecific repulsive forces, including electrostatic interactions, osmotic interactions, glycocalyx repulsion, and so on.<sup>14</sup> To quantitatively explain the critical spacing of 58–73 nm between bonding sites to ensure effective bonding, Lin et al.<sup>15,16</sup> envision dynamic interactions between cell membranes and substrates as a compliant elastic membrane undergoing thermal undulation; the tendency for receptors in a cell membrane bonding with a ligands in a substrate is represented by an interaction potential. The critical spacing of ligand bonds in their model is the outcome of the competition between the thermal motion of the cell membrane and the free-energy reduction associated with bonding. The theoretical model leads to estimates of the bonding site span of less than 43–172 nm<sup>16</sup> to maintain stable focal contact. The opposite mechanism to adhesion in the model<sup>15</sup> is attributed to the thermal motion of the cell membrane, which has to be suppressed to ensure stable focal contacts.

Before the formation of focal contacts, thermal undulation is probably the driving force to bring receptor proteins in a cell membrane close to ligands in a substrate. The scenarios may be depicted as follows: (1) receptors and ligands form molecular bonds in a random fashion at the beginning when a cell membrane approaches a substrate, and these bonds are essentially nuclei of possible focal contacts; (2) thermal undulation helps to overcome “energy barriers”, which may be set by the repulsive bulge pressure and membrane deformation before the formation of a bound complex, and allow new molecular bonds to form around a nucleus before it breaks; (3) the capability of the contact zone to spread during its lifetime controls the formation of a focal contact. Indeed, experiments by Capo et al.<sup>17</sup> revealed that cells must frequently be forced into close contact by centrifugation before strong bonding occurs. Although cell–cell adhesion was studied by Capo et al. and cell–substrate adhesion is modeled here, the energy barrier observed in cell–cell interaction should

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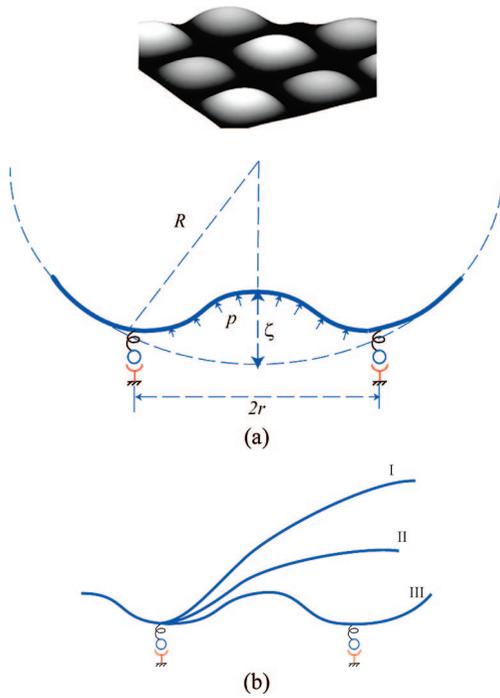
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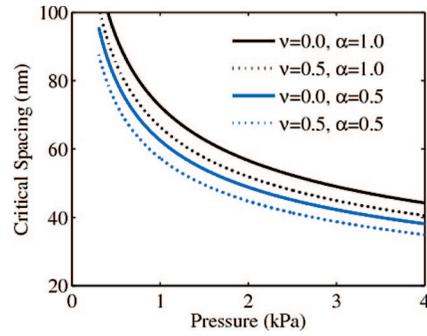


**Figure 1.** Diagrams of the interaction between a membrane and a substrate: (a) A portion of the idealized 3D morphology of a membrane is shown at the top, and the bottom shows the cross section of a 3D bulge induced by receptor–ligand bonds and the resultant repulsive bulge pressure  $p$ . The bulge (amplified) is assumed to appear in a large sphere with radius  $R$ ,  $\zeta$  is the out-of-plane deflection (the depth of the bulge), and  $2r$  is the ligand spacing. (b) Envisioned bonding processing driving by thermal fluctuation. Given one site being bound, thermal vibrations bring the membrane close to a neighboring site (from I to II and then to III). The thermal energy in this process is comparable to the stored bending and stretching energies in the membrane.

78 be also expected to exist in cell–substrate adhesion. Moreover,  
79 it was shown by Grinnell<sup>3</sup> that centrifugation could bypass several  
80 metabolic steps otherwise required for the onset of bonding.

81 Therefore, there seem to be at least two conditions to be satisfied  
82 to initiate and maintain stable cell adhesion: (1) first, there is an  
83 energy barrier to be overcome for the spreading of a contact  
84 region; this energy barrier originates from the elastic energy  
85 difference while a cell membrane changes from one configuration  
86 to another to ensure bonding. For example, a membrane should  
87 be brought close to a substrate such that receptors and ligands  
88 are able to “shake hands”. In the experiments of Capo et al.,<sup>17</sup>  
89 centrifugation was probably the driving force to overcoming the  
90 energy barrier. Thermal undulation is another possible driving  
91 force in the absence of external forces. (2) Second, as Lin et  
92 al.<sup>15,16</sup> have shown, the competition among energies by specific  
93 bonding, nonspecific repulsion, and thermal undulation is crucial  
94 to maintaining a stable focal adhesion. Both conditions will give  
95 rise to constraints on receptor–ligand spacing. The final critical  
96 spacing to ensure stable focal adhesion may be the intersection  
97 of the results from these two circumstances.

98 In what follows, we focus on the dynamics associated with  
99 the onset of cell adhesion and the spreading of a contact region  
100 and carry out a dimensional analysis on the process of focal  
101 adhesion growth during cell adhesion, which is assumed to be  
102 assisted by thermal fluctuations and receptor–ligand binding  
103 and resisted by repulsive bulge pressure and membrane deformation.  
104 We formulate the maximum spacing between ligand  
105 sites to ensure focal adhesion growth for a variety of cultured  
106 cells in a ligand-coated substrate.



**Figure 2.** Dependence of critical spacing on the repulsive bulge pressure with two Poisson ratios  $\nu$  (0, 0.5) at two  $\alpha$  values (0.5, 1). The critical spacing is in the range of 39–89 nm for repulsive bulge pressure in the range of 0.5 to 3.0 kPa.

2. Methods and Results

107 The interaction between cells and ligand-coated substrates is  
108 idealized as a spherically shaped membrane on a flat, rigid surface.  
109 The idealized surface morphology of the membrane in contact with  
110 the substrate is shown at the top of Figure 1a. The deformation in  
111 membranes is analogous to that in curved plates. We note that the  
112 tensile stress created by stretching in a membrane is in general larger  
113 than that induced by bending.<sup>18</sup> Because of the dynamic nature of  
114 a cell membrane immersed in a thermal bath, membrane stretching  
115 and bending occur concurrently. Stored bending energy  $\phi_b$  and  
116 stretching energy  $\phi_s$  are given as  $\phi_b \approx Eh^3\zeta^2/l^4$  and  $\phi_s \approx Eh\zeta^4/l^4$ ,  
117 respectively,<sup>18</sup> where  $E$  is the modulus,  $h$  is the membrane thickness,  
118  $l$  is the in-plane dimension of the membrane (equivalent to  $2R$  in  
119 Figure 1a), and  $\zeta$  is its out-of-plane deflection. A quick magnitude  
120 comparison between  $\phi_b$  and  $\phi_s$  tells us that neglecting the bending  
121 energy  $\phi_s$  is valid only if  $\zeta^2 \ll h^2$ .<sup>18</sup> However,  $\phi_b$  is negligible in  
122 the case of  $\zeta^2 \gg h^2$ . More likely, none of these conditions will be  
123 satisfied for membrane–substrate interactions with bonding spacing  
124 of 58–73 nm<sup>12</sup> and an effective membrane thickness of  $\sim 5$  nm.<sup>21</sup>  
125 Considering energy contributions from both bending and stretching  
126 in the membrane during the initiation of focal adhesion, the bulge  
127 has a total elastic energy of<sup>18</sup>  
128

$$\phi = \frac{1.2}{(1-\nu^2)^{3/4}} \frac{Eh^5\zeta^{3/2}}{R} \tag{1}$$

129 where  $\nu$  is the Poisson ratio. In the case, that the bulge is induced  
130 by a uniform bulge pressure  $p$ , and the height of the bulge is related  
131 to the bulge pressure in the fashion of<sup>18</sup>  
132

$$\zeta = \frac{h^5 E^2}{R^4 p^2} \tag{2}$$

133 With the simple geometrical condition (Figure 1a), the radius of  
134 the bulge in the contact interface is  
135

$$r = \sqrt{R^2 - (R - \zeta/2)^2} \approx \sqrt{\zeta R} \text{ for } R \gg \zeta \tag{3}$$

136 To initiate bonding, the total energy required to generate such a  
137 bulge is assumed to be within reach of the thermal fluctuation. The  
138 argument is based on the envisioned bonding process diagramed in  
139 Figure 2b. Whereas one site is bound randomly, the thermal  
140 fluctuation is responsible for bringing the membrane close to the  
141 neighboring bonding sites and making the neighboring bonding  
142 feasible. The thermal energy should be comparable to the energy  
143 stored in the bulge induced by the resultant repulsive bulge pressure  
144 when the membrane and the substrate move closer. Thus,  
145

$$\phi = \alpha k_B T \tag{4}$$

146 where  $\alpha$  is a constant depending on the modes of thermal fluctuation,  
147 which could be several halves.<sup>15</sup> In the following discussion, we  
148

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149 take  $\alpha = 0.5$  or  $1.0$ . From eq 15, we can derive the bulge size along  
150 the contacting interface. The bulge size is equivalent to the spacing  
151 between bonding sites and is given as

$$2r = 2h \sqrt[14]{\left(\frac{\alpha k_B T}{\beta E h^3}\right)^3 \left(\frac{E}{p}\right)^5} \text{ with } \beta = \frac{1.2}{(1 - \nu^2)^{3/4}} \quad (5)$$

152  
153 To obtain the critical spacing  $2r$ , we need to find mechanical and  
154 structural properties of the cell membrane, including  $E$  and  $h$  in eq  
155 5. The effective membrane thickness is about  $h = 5$  nm.<sup>19,20</sup> Though  
156 there is no direct measurement of  $E$ , the bending stiffness of cell  
157 membrane is about  $k \approx 20k_B T$ .<sup>19,20</sup> Using the standard equation of  
158 bending stiffness

$$k = E h^3 / 12(1 - \nu^2) \quad (6)$$

159 we can get the in-plane modulus  $E$  of a cell membrane, which is  
160 about  $E \approx 5-8$  MPa as  $\nu$  changes from 0 to 0.5. Per our previous  
161 discussion, the repulsive bulge pressure  $p$  in eq 5 reflects the collective  
162 effect of all possible opposite forces to cell adhesion.<sup>14,21</sup> It is difficult  
163 to measure the resultant repulsive bulge pressure in the interface  
164 between a cell membrane and a ligand-coated substrate. Alternatively,  
165 we estimate the magnitude of the repulsive bulge pressure on the  
166 basis of the experimental measurement of the contractile stress in  
167 the focal adhesion region. From Dembo and Wang,<sup>22</sup> Balaban et  
168 al.,<sup>23</sup> Tan et al.,<sup>24</sup> and du Roure et al.,<sup>25</sup> the contractile stress  $\sigma$  in  
169 the focal adhesion region has an average of about  $\sigma \approx 5.5$  kPa. Force  
170 balance in the bulge (Figure 1a) leads to an estimate of the bulge  
171 pressure  $p$  as

$$p A_b = \sigma A_c \quad (7)$$

173 where  $A_b$  is the effective area with an average bulge pressure  $p$  and  
174  $A_c$  is the effective area of bound complexes around the bulge with  
175 contractile stress  $\sigma$ . By assuming that area  $A_c$  is of the same order  
176 of magnitude as  $A_b$ , we find that the bulge pressure  $p$  is on the order  
177 of  $\sigma$ . Equation 7 serves as a magnitude estimate of  $p$  in our dimensional  
178 analysis. The critical spacing is hence determined with known  
179 repulsive bulge pressure  $p$  and modulus  $E$  (derived from eq 6 using  
180  $h = 5$  nm and  $k \approx 20k_B T$ ). Figure 2 shows the dependence of critical  
181 spacing on bulge pressure for two different Poisson ratios  $\nu$  at  $\alpha =$   
182  $0.5$  and  $1.0$ . For  $p \approx 3 \times 10^3$  Pa, eq 5 leads to estimates of the critical  
183 spacing in the range of 39–48 nm. While assuming  $p = 10^3$  Pa, we  
184 get the spacing in the range of 57–74 nm. An estimate of 71–89  
185 nm is obtained if we take  $p = 0.5 \times 10^3$  Pa. The agreement between  
186 the estimates based on our dimensional analysis and those obtained  
187 by experiments (58–73 nm<sup>12</sup>) is very good over a wide range of  
188 bulge pressure. In turn, this analysis gives us an estimate of the  
189 repulsive bulge pressure during the initiation of focal contact, which  
190 is on the order of  $10^3$  Pa.

191 Cell membranes are usually embedded with proteins, which will  
192 enhance the resistance to cell–membrane bending and effectively  
193 make the cell membrane thicker. Indeed, some groups reported  $h$   
194  $\approx 10$  nm.<sup>26,27</sup> The spacing obtained using eq 5 for a fixed bending

196 stiffness  $k$  actually has a very weak dependence on  $h$ . With eq 6 and  
197  $k \approx 20k_B T$ , we can rewrite eq 5 as

$$2r = \sqrt[14]{\left(\frac{\alpha k_B T}{\beta E h^3}\right)^3 \frac{(E h^3)^5}{p^5 h}} = c \sqrt[14]{\frac{k^5}{p^5 h}} \quad (8)$$

198  
199 where  $c$  is a parameter that depends only on the Poisson ratio  $\nu$ . A  
200  $10^4$ -fold increase in  $h$  only doubles the spacing  $2r$  for fixed  $k$   
201 and  $p$ .

### 3. Conclusions 202

203 We have supplied a physical interpretation of the dependence  
204 of integrin-mediated cell adhesion on the spacing of ligand bonds  
205 in substrates. Our analysis is based on the assumption that the  
206 growth of focal adhesion is controlled by several major factors:  
207 bonding sites, thermal undulation, membrane deformation, and  
208 resultant repulsive bulge pressure in the contacting zone. Note  
209 that we have considered only the case in which large spacing  
210 between ligand sites—ligand starvation—gives rise to difficulty  
211 in focal adhesion formation. Alternatively, large spacing between  
212 receptors in cell membrane—receptor starvation—may also lead  
213 to unsuccessful focal adhesion growth. In most cases, receptors  
214 in membranes can diffuse quickly in cell membranes. The mean  
215 square distance of receptor proteins in cell membranes by diffusion  
216 is on the order of several to tens of nanometer per second.<sup>28,29</sup>  
217 We assume that receptors are abundant for focal adhesion growth  
218 in a time window of minutes to hours.<sup>12</sup> In contrast to the cases  
219 of ligand/receptor starvation, energy penalties of membrane  
220 deformation may also impose a minimum distance for integrin–  
221 ligand bonds. Again, because receptors in membranes can diffuse  
222 quickly and dissipate bending/stretching energy, the minimum  
223 length of integrin–ligand bonds should not hinder focal adhesion  
224 growth on a time scale of minutes to hours.

225 The results based on our analysis match quantitatively well  
226 with experiments.<sup>12,30</sup> In turn, on the basis of the experimental  
227 measurement of the critical spacing, we get an estimate of the  
228 repulsive bulge pressure near an adhesion patch during the  
229 initiation of focal contact, which is on the order of  $10^3$  Pa.  
230 However, we emphasize that there is consistency and inconsis-  
231 tency between the experiments<sup>12,30</sup> and our results. The  
232 consistency is that there is a critical spacing between ligand sites  
233 to ensure focal adhesion growth. The inconsistency lies in the  
234 points of view regarding governing mechanisms for the critical  
235 spacing of receptor–ligand bonds. Whereas our analysis indicates  
236 that physical forces may actually be the “molecular ruler” that  
237 governs receptor–ligand bond distance, Cavalcanti-Adam et al.<sup>30</sup>  
238 suggest that such critical spacing is controlled by a biochemical  
239 molecular ruler. More experiments are certainly desired to identify  
240 which molecular ruler—physical, biochemical, or a combination  
241 of both—governs the spacing of receptor–ligand bonds.

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