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Abstract In the present note, we suggest a single-line equation estimate for adhesion between elastic (hard) rough solids with Gaussian multiple scales of roughness. It starts from the new observation that the entire DMT solution for “hard” spheres (Tabor parameter tending to zero) with the Maugis law of attraction can be obtained using the Hertzian relationship load-indentation and estimating the area of attraction as the increase of the bearing area geometrical intersection when the indentation is increased by the Maugis range of attraction. The bearing area model in fact results in a simpler and even more accurate solution than DMT for intermediate Tabor parameters, although it retains one of the assumptions of DMT, that elastic deformations are not affected by attractive forces. Therefore, a solution is obtained for random rough surfaces combining Persson’s adhesiveless asymptotic simple form solution with the bearing area model, which is trivially computed for a Gaussian. A comparison with recent data from extensive numerical computations involving roughness with wavelength from nano to micrometer scale shows that the approximation is quite good for the pull-off in the simulations, and it remarks the primary importance in this regime of a single parameter, the macroscopic well-defined quantity (rms) amplitude of roughness, and small sensitiveness to rms slopes and curvatures.

Keywords (separated by '-') Roughness - Adhesion - DMT model - Persson’s theory

Footnote Information

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well-defined quantity (rms) amplitude of roughness, and small sensitiveness to rms slopes and curvatures.

Keywords Roughness · Adhesion · DMT model · Persson’s theory

1 Introduction

The classical theories suggest adhesion is usually destroyed very easily by the presence of small *amplitudes* of roughness, even in low modulus materials like smooth rubber lenses against roughened surfaces [1]. Therefore, adhesion to rough surfaces is very difficult to achieve in a macroscopic sense, despite many tribological processes depend on adhesion at asperity scale like in the classical view of Bowden and Tabor [2]. After the introduction of the concepts of multiscale roughness [3, 4], we have recognized that the real area of contact is very loosely defined and it depends, together with some other physical quantities, on the small wavelength truncation of roughness [5], perhaps at atomic scale. Debate between the classical asperity models [6] vs the more accurate Persson model [4], see eg. Putignano et al. [7] have not changed the substance of this: it remains still a problem that no reliable estimates can be made of quantities like real contact area, mean slope or mean curvature of surfaces: for example, advanced multiscale models for example of friction in viscoelastic

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59 bodies [8] rely on these quantities which are difficult to
60 define.

61 This problem of sensitiveness to “small scale” trunca-
62 tion was not perceived 40 years ago, at the time of Fuller-
63 Tabor [1], although it is clear that the FT adhesion
64 stickiness parameter contains the radius of asperities. The
65 emphasis was however on the macroscopically well
66 defined rms amplitude of asperities heights h_{rms} , which
67 indeed was found to critically affect pull-off in the
68 experiments, and no attempt was made to fit the
69 experiments with various possible choices of “trunca-
70 tion” or different resolution measurement of the
71 asperity features. But it wasn’t until perhaps 40 years
72 later (Pastewka and Robbins [9], PR in the following)
73 that an attempt was made of a fully numerical
74 investigation of pull-off for a reasonably “multiscale”
75 self-affine rough surfaces (still limited by computa-
76 tional capabilities to less than 3 orders of magnitude in
77 wavelengths from nano/atomic scale wavelengths to
78 microscale one). PR concluded that pull-off data decay
79 (Fig. S3) did *not* correlate well with classical Fuller-
80 Tabor [1] asperity model predictions by various orders
81 of magnitude. PR own attempt to define a stickiness
82 parameter (based on the slope of the area-load curve)
83 involves only slopes and curvature, and this was
84 suggested in *strong contrast* with asperity models
85 whose emphasis is on rms amplitude.¹ However, when
86 studying and re-elaborating in different form the
87 results of PR investigation, it became clear to the
88 present author that the pull-off data in PR indeed
89 *mainly depend* on rms amplitude of roughness, like in
90 a rigid model [10] where the finite realization of a real
91 Gaussian surface necessarily involve a highest point,
92 being it at 2 or 3 or 4 standard deviations, as it is
93 common experience.

94 Persson [11] and Persson and Tosatti [12] develop a
95 theory of adhesion of rough surfaces which is aimed at
96 the JKR regime, which surprisingly seems perfectly
97 reversible, and is not simple nor corroborated so far by
98 numerical or experimental results. Persson and
99 Scaraggi [13], attempts to solve the problem using
100 the DMT approximations originally developed for the
101 spherical problem [14], see also Maugis [15]). In
102 DMT, the contact is assumed to be split into “repul-
103 sive” contact areas and “attractive” contact areas, and
104 no effect of tensile tractions occurs within the

repulsive contact area. Tensile tractions can therefore
be estimated by convolution with the attractive forces
if one knows the repulsive contact solution force vs
mean separation which was estimated by Persson [16],
and finally the entire distribution of separations [17].
This state of not trivial calculation results in an
apparent dependence of the results on the entire power
spectrum of the surface, and Persson and Scaraggi do
not show the dependence of pull-off on the simple
parameters like rms amplitude, slopes and curvatures.
Further, significant corrective factors are needed for
Persson [16], see below, and therefore it is not clear the
accuracy of Persson and Scaraggi [13].

1.1 Outline of the present paper

The present paper suggests a very simple approach,
which is original:

- first estimating the *attractive* area with a purely geometrical approach, as the difference between two bearing area estimates, irrespective of any elastic deformation (and using the Maugis attractive force function which is constant to the theoretical strength σ_{th} up to a distance of the order of atomic spacing Δr)
- then, using an adhesiveless theory for the relationship force with separation for the adhesiveless contact.

This elementary derivation, in the case of the sphere, results very simply in the *entire* DMT solution (in the most meaningful form, given by Maugis [15]) and wasn’t noticed before. We sheare with a DMT method that the contact area is identified as the part in contact with purely repulsive (compressive) tractions. However, while we find the contact area after adhesive tractions have been estimated, or independently anyway, a DMT model as attempted by Persson and Scaraggi [13] find attraction forces by integrating them *outside* the repulsive contact area: this requires a detailed knowledge of separation between the deformed bodies, for which Persson and Scaraggi use some elaborate approximate solutions [17] which instead we don’t attempt.

After discussing this elementary result, and showing that the bearing area model is in fact both simpler and possibly similarly accurate than DMT (see also Ciavarella [18]), we then apply to the case of Gaussian random rough surfaces, using Persson’s solution [16]

¹ Further aspects of the PR criterion are discussed in Ciavarella (2016a, b, c, d, e and Ciavarella and Papangelo, 2016).

150 for the force vs mean separation, but only in the
 151 simplest, closed form, case—which we correct in the
 152 multiplier. Finally, we compare this estimate with PR
 153 numerical extensive set of results.

154 **2 The basic case of the sphere**

155 To show that the idea works in a simple case, we first
 156 consider the case of the sphere of radius R , against a flat
 157 rigid surface, as represented in Fig. 1a. We assume the
 158 Maugis simplified attractive forces (Fig. 1b) in which
 159 the tension σ is constant to theoretical strength σ_{th} up
 160 the gap equal to Δr , and $w = \sigma_{th}\Delta r$ is the work of
 161 adhesion.

162 We shall see that, very surprisingly², the *entire* DMT
 163 solution (Derjaguin et al. [14]), but in the most
 164 commonly accepted form, that given by Maugis [15],
 165 is obtained *exactly* when removing one approximation
 166 with another one, which turns out also (as very rarely
 167 happens) much simpler. Indeed, we do assume that for a
 168 given separation, the repulsive component of the load
 169 does not change from when there is no adhesion (Hertz
 170 theory in this case), but we change the estimation of the
 171 adhesive force second with a purely “geometrical” one,
 172 based on the “bearing area” or “Abbott-Firestone
 173 curve” concept (Johnson [19], par.13.3), having two
 174 advantages, which not so often combine:

- 175 • 1) simplicity: we do not compute the contact area
 176 and do not need to work out the complicate
 177 expressions for gap outside a Hertzian contact.
 178 This a fortiori is extremely more complex for
 179 random rough surfaces.
- 180 • 2) better approximation, at least in the Hertzian case.
 181 In fact, we obtain the DMT solution given by Maugis
 182 [15], and not the much worse forms obtained by
 183 “thermodynamic” or “force” methods, in the
 184 original DMT solutions (see Ciavarella [18])

185 Consider the parabolic elastic solid in Fig. 1a of
 186 equation $y = r^2/(2R)$. The bearing area at a given
 187 indentation δ is simply

$$A = \pi r^2 = 2\pi R\delta \tag{1}$$

189 Let’s assume the attractive area A_{att} at any value of

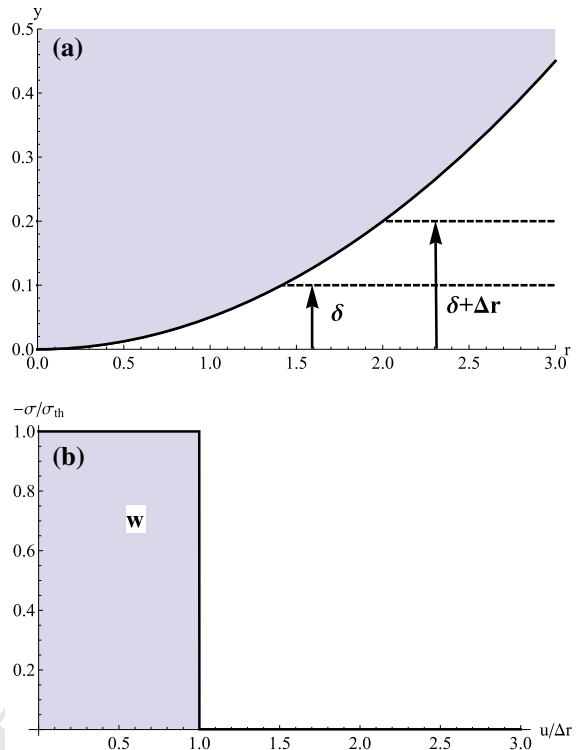


Fig. 1 a A parabolic elastic body in adhesive contact with a rigid plane; b Maugis forces of attraction (“Maugis–Dugdale potential”)

190 indentation to be given by the difference between the
 191 “bearing area” at indentation $\delta + \Delta r$, and the “bearing
 192 area” at indentation δ , which are obviously

$$A_{att} = 2\pi R(\delta + \Delta r - \delta) = 2\pi R\Delta r \tag{2}$$

194 and multiplying by the theoretical strength σ_{th} , results
 195 in the constant force of adhesion $P_{att} = 2\pi R\sigma_{th}\Delta r =$
 196 $2\pi R w$ which holds also at pull-off. This is indeed the
 197 DMT solution as presented in (Maugis [15]), called
 198 also DMT-M, the sum of the adhesiveless force due to
 199 a Hertzian contribution at given indentation δ , and a
 200 constant adhesion force $P_{att} = 2\pi R w$.

$$P = P_H - P_{att} = \frac{4}{3} E^* \delta^{3/2} R^{1/2} - 2\pi R w \tag{3}$$

203 **3 Corrective factors**

204 The DMT-M theory is the correct limit for extremely
 205 low Tabor parameter

2FL01 ² It is not known to the present authors that this fact has been
 2FL02 noticed before in the Literature.

$$\mu = \left(\frac{Rw^2}{E^* \Delta r^3} \right)^{1/3} = \left(\frac{Rl_a^2}{\Delta r^3} \right)^{1/3} \rightarrow 0 \tag{4}$$

207 where E^* is plane strain modulus of the material
 208 ($l_a = w/E^*$ is an alternative way to measure adhesion
 209 as a length scale).

210 Instead, we want in general to cover cases of
 211 intermediate Tabor parameters. Indeed, we may
 212 further improve the bearing area model and show the
 213 transition between the DMT to the JKR solution, by
 214 making a small correction to consider the dependence
 215 on Tabor parameter. In Fig. 2 the load vs indentation
 216 curves are plotted using the dimensionless notation
 217 ($\hat{\delta} = \delta/(\mu\Delta r)$ for indentation and $\hat{P} = P/(\pi R w)$ for
 218 total load) for different values of the Tabor parameter
 219 μ . For $\mu > 1$, the JKR solution (Johnson et al. [20]) is
 220 more appropriate—and the curve is nearly parallel to
 221 the DMT one. The “bearing area” model gives always
 222 $\hat{P} = -2$ at zero indentation, hence a simple correction
 223 is to reduce the constant adhesive force using the value
 224 of \hat{P} at $\hat{\delta} = 0$ taken from the Maugis–Dugdale
 225 solution. For example, when $\mu > 1$ the JKR curve at
 226 zero indentation as and attractive load of $1.35\pi R w$,
 227 thus we divide the adhesive contribution in the bearing
 228 area model by a factor

$$\beta_1 = 2/1.35 = 1.5 \tag{5}$$

230 Moreover, the geometry of contacts in rough
 231 contact is *not* spherical. Indeed, despite asperities per
 232 se are not very elliptical, the description by asperities
 233 fails even at modest indentations (Greenwood [21]),

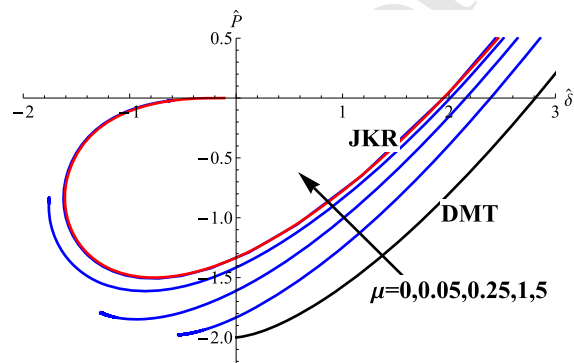


Fig. 2 Solutions of JKR, DMT-M and Maugis intermediate Tabor parameter range $\mu = 0, 0.05, 0.25, 1, 5$. JKR is obtained very closely at positive indentations for $\mu \simeq 1$. Notice that the proposed bearing area model corresponds exactly with DMT-M with no corrective factors

and the contacts form rather elongated shapes (PR [9]),
 having actually a nearly constant average character-
 istic diameter fixed only by the geometry (whereas in
 asperity models it is the average diameter of contacts
 which is constant).

We should warn the reader that we *shall not assume*
 the contact to be consistent of spherical asperities: the
 “bearing area” model is not a good approximation
 only for the spherical geometry. Let us consider the
 JKR solution for elliptical contacts (Johnson and
 Greenwood, 2005): from geometrical considerations,
 it is immediate to show that our bearing area model
 leads to

$$P_{att} = 2\pi R_e w \tag{6}$$

where $R_e = \sqrt{R_1 R_2}$ is the geometric mean of the
 principal radii of the surfaces. We don’t know of a
 DMT solution for elliptical contacts, but Johnson and
 Greenwood [22] show in the JKR regime an approx-
 imate solution for which $P_{att} = \frac{3}{2}\pi R_e w$ is a good
 approximation, and an even better approximation is

$$P_{att} = \frac{3}{2}\pi R_m w \quad \text{where} \quad \frac{1}{R_m} = \frac{1}{R_e^{3/4}} \left[\frac{1}{2} \left(\frac{1}{R_1} + \frac{1}{R_2} \right) \right]^{1/4} \tag{7}$$

As a consequence of the fact that contact patches in
 rough contacts are very elongated (PR [9]), we may
 assume $\frac{R_1}{R_2} = 10 - 100$ which leads to a reduction of the
 order of $\frac{R_m}{R_e} = 0.87 - 0.6$, and hence consider as mean
 value 0.75. This results in a total corrective factor for
 the adhesive force

$$k = \frac{\beta_1}{R_m/R_e} = \frac{1.5}{0.75} \simeq 2 \tag{8}$$

4 Application to random rough surfaces

In applying the bearing area to a random rough
 surface, it will become evident the advantage of not
 having to estimate during the process the elastic
 contact area, nor the separations outside the contact
 area due to elastic deformations to integrate them as in
 the force method of DMT theory. Indeed, we estimate
 the attractive forces by a simple geometrical estimate
 which doesn’t consider elastic deformations at all,

272 although now this geometrical estimate will depend on
 273 the actual level of mean separation between surfaces.

274 Persson [16] derives from elastic energy concepts
 275 and with various approximations and corrections, a
 276 mean repulsive pressure σ_{rep} vs mean separation u law
 277 which, for the most practical case of self-affine
 278 surfaces of low fractal dimension (Persson et al. [5]),
 279 assumes the form valid for not too large σ_{rep} ([16]
 280 Eq. 20)

$$\frac{\sigma_{rep}}{E^*} \simeq \frac{3}{8\gamma} q_0 h_{rms} \exp\left(\frac{-u}{\gamma h_{rms}}\right) \quad (9)$$

282 where $\gamma \simeq 0.4$, q_0 is the smallest wavevector in the
 283 self-affine process, and h_{rms} is the rms amplitude of
 284 roughness. The original theory fits the multiplier inside
 285 the exponential with some FEM data, but doesn't
 286 provide a fit for the multiplier outside the exponential,
 287 for which we find a much better agreement in the present
 288 form, with the factor γ outside the exponential, with
 289 detailed calculations reported elsewhere [23]. The
 290 factor changes also weakly with the fine scale content
 291 of roughness, and particularly for high fractal dimen-
 292 sions, but as a first approximation we keep it constant.

293 Therefore, we compute the difference of the
 294 bearing area at separation $u_{att} = u - \Delta r$, and u , and
 295 the attractive pressure can be estimated in a single line
 296 as

$$\frac{\sigma_{att}}{\sigma_{th}} = -\frac{1}{2k} \left[\text{Erfc}\left(\frac{u_{att}}{\sqrt{2}h_{rms}}\right) - \text{Erfc}\left(\frac{u}{\sqrt{2}h_{rms}}\right) \right] \quad (10)$$

298 where Erfc is the error complementary function, and
 299 the factor $k \simeq 2$ was described in (8).

300 Therefore, summing up repulsive (9) and attractive
 301 (10) contributions,

$$\begin{aligned} \frac{\sigma(u)}{\sigma_{th}} \simeq & \frac{3}{8\gamma} q_0 h_{rms} \frac{E^*}{\sigma_{th}} \exp\left(\frac{-u}{\gamma h_{rms}}\right) - \frac{1}{2k} \\ & \times \left[\text{Erfc}\left(\frac{u - \Delta r}{\sqrt{2}h_{rms}}\right) - \text{Erfc}\left(\frac{u}{\sqrt{2}h_{rms}}\right) \right] \end{aligned} \quad (11)$$

303 This is the single closed form approximate result for
 304 the entire curve of pressure vs mean separation, which
 305 obviously results in a pull off finding the minimum as a
 306 function of u . The equation depends only on h_{rms} , q_0
 307 and no other aspect of Power Spectrum, which may be
 308 some relief for those hoping that results of physical
 309 quantities do not depend too much on small scale

310 details. In particular, notice that using the constant
 311 $k \simeq 2$ comes at the expense of modelling the very low
 312 h_{rms} as obviously the limit becomes $\frac{\sigma(u)}{\sigma_{th}} \simeq -\frac{1}{k}$ and not
 313 -1 . However, as it will appear in the PR data below,
 314 we are talking in that case of a range where the
 315 amplitude of roughness is subatomic (!), and even if
 316 this made any sense with atomic roughness, the
 317 asymptotic version of Persson's theory starts to be
 318 problematic (the pressure deviates from (9) at $\frac{u}{h_{rms}} < 1$),
 319 and therefore the limit, as postulated, hardly makes
 320 any sense: either the surface is perfectly flat, or it has
 321 some atomic roughness.

4.1 Area-load

322 Persson's original contact theory [4] has a prediction
 323 for the proportion of actual contact at a given nominal
 324 pressure which, after the corrective factor of Putig-
 325 nano et al. [7] has been included, reads
 326

$$\frac{A_{rep}}{A_0} = \text{erf}\left(\frac{\sqrt{\pi} \sigma_{rep}}{2 \sigma_{rough}}\right) \quad (12)$$

327 where $\sigma_{rough} = E^* h'_{rms}/2$ where h'_{rms} is the rms slope of
 328 the surface, and σ_{rep} can be estimate as a function of
 329 u from (9). We can plot some results for our model
 330 prediction (11) assuming $q_0 = 4096a_0$ with $h'_{rms} = 0.1$
 331 and $l_a/a_0 = 0.05$ (where $l_a = w/E^*$ and a_0 is atomic
 332 size, so this corresponds to the usual Lennard-Jones
 333 potential, $\Delta r \simeq a_0$ which has $\sigma_{th} \simeq 0.07E^*$) and
 334 therefore corresponding to some of the cases of
 335 Pastewka and Robbins [9] which we describe in more
 336 details later. We then choose various $h_{rms}/a_0 =$
 337 $2, 3, \dots, 10, 15, \infty$ (obviously, ∞ corresponds to
 338 Persson's adhesionless solution), see Fig. 3. The curve
 339 with $h_{rms}/a_0 = 10$ has been drawn red to facilitate
 340 reading of the plot. It is clear that for any numerical
 341 solution, the *assumption* that the curves are always
 342 linear near the origin to compute a slope, as done in
 343 PR, is not quite the best way forward—as there will be
 344 a limit to the resolution, for example $A_{rep}/A_0 = 0.02$
 345 as already quite optimistic. As one reviewer sug-
 346 gested, *it makes a lot more sense to discuss adhesive*
 347 *tractions through the pull-off traction rather than*
 348 *through this slope.*

349 We predict the tangent near the origin to be very ill-
 350 defined near the threshold of stickiness, and the
 351 deviation from linearity a poor indication of pull-off
 352 decay, which follows an exponential trend with
 353

Author Proof

354 increasing amplitude of roughness as we will show in
 355 the next paragraph. Our prediction also shows that the
 356 repulsive contact area changes very little initially
 357 when there is small value of pull-off—and is close to
 358 the the value of the adhesionless Persson’s solution
 359 (the last line in the plot of Fig. 3a, b for repulsive and
 360 total contact area, respectively). Notice that this
 361 implies that when we can happily use the standard
 362 contact mechanics solution, when we have roughness
 363 greater than few decades of atomic size.

364 It is clear also that in our model the “slope” of the
 365 area-load will depend on h_{rms}/a_0 , and h'_{rms} , but not on
 366 h''_{rms} as in PR. Moreover, one could estimate the
 367 “secant” at for example $\frac{A_{rep}}{A_0} = 0.02$ from (9, 12) and
 368 get

$$\frac{u}{\gamma h_{rms}} = \log\left(\frac{\frac{3}{8}q_0 h_{rms}}{0.02 h'_{rms}}\right) \quad (13)$$

370 The “secant” will be vertical when $\frac{\sigma(u)}{\sigma_{th}} = 0$ in (11). As
 371 we don’t believe this is a good indication of stickiness,
 372 we shall not further pursue this, and rather rely on pull-
 373 off as indication of stickiness.

374 **5 Comparison with Pastewka-Robbins results**

375 PR use a self-affine surface with power law PSD
 376 $A|\mathbf{q}|^{-2(1+H)}$ for wavevectors $q_r < |\mathbf{q}| < q_s$ ($q = 2\pi/\lambda$)
 377 with roll off to a constant for $q_0 < |\mathbf{q}| < q_r$ (limited to
 378 $x = q_0/q_r = 1/2$) and zero otherwise. The surfaces
 379 have three values for the Hurst exponent
 380 $H = 0.3, 0.5, 0.8$, and magnification $\zeta = q_0/q_s =$
 381 $512, \dots, 32$ resulting from a fixed roll-off wavelength
 382 $q_r = 2048a_0$, where a_0 is atomic spacing, and varying
 383 small wavelength. The surfaces are generated in order
 384 to have two values of $h'_{rms} = 0.1, 0.3$.

385 PR do not discuss their values of h_{rms} as their entire
 386 paper is devoted to showing the small scale feature of
 387 surfaces are what count in adhesion. It turns out that
 388 their h_{rms} is very small, and indeed, despite their
 389 method of numerical solution seems to involve atoms,
 390 roughness is in fact introduced in the hard solid, and
 391 the counterface is simulating a perfect crystal with no
 392 deviation from flatness. Whether this is representative
 393 of atomic roughness is unclear, since Luan and
 394 Robbins [24] wrote a few years ago in a well known
 395 paper that atomic-scale surface roughness “is always
 396 produced by discrete atoms” and that it “leads to

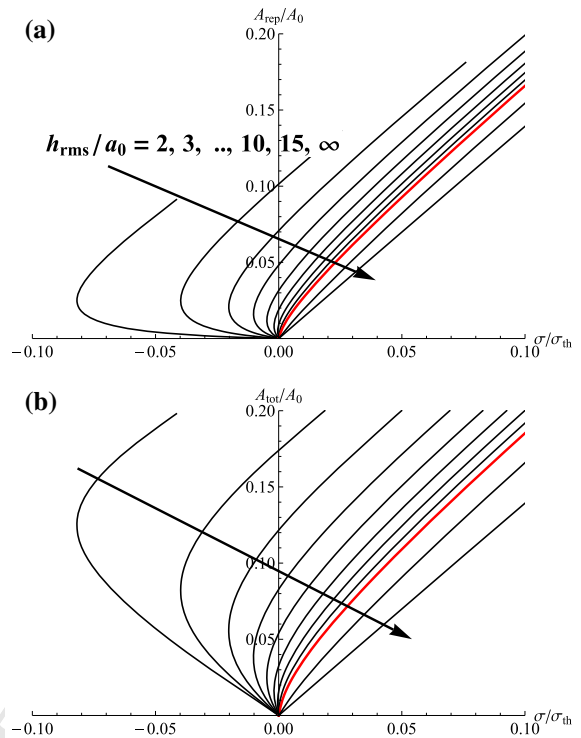


Fig. 3 Curves of **a** repulsive contact area A_{rep}/A_0 and **b** total contact area $A_{tot}/A_0 = (A_{rep} + A_{att})/A_0$ as a function of mean pressure σ/σ_{th} . For our “bearing area” model, with $h'_{rms} = 0.1$ and $l_a/a_0 = 0.05$ and various $h_{rms}/a_0 = 2, 3, \dots, 10, 15, \infty$ (Persson’s adhesionless solution) indicated by arrow. Also, $q_0 = 4096a_0$ therefore corresponding to some of the cases of Pastewka and Robbins [9]

dramatic deviations from continuum theory”. Hence,
 the fact that $h'_{rms} = 0.1, 0.3$ seems perhaps a choice
 motivated more by assuming realistic deformations.
 We shall see however that our result will seem to
 suggest an almost negligible effect of the small scale
 features, in contrast to what PR suggest, and this will
 be confirmed also by a reinterpretation of their own
 data.

In Fig. S3 of PR, pull-off values are reported in a
 scale $4h_{rms}\sigma_{min}/w$ which needs to be re-interpreted to
 extract σ_{min} . From standard theory of Gaussian
 processes the moments of order n , can be computed
 as $n = 0, 2, 4, T(n) = 2\pi, \pi, 3\pi/4$,

$$m_n = AT(n)k_r^{n-2H} \left(\frac{1 - x^{n+2}}{n+2} + \frac{\zeta^{n-2H} - 1}{n-2H} \right) \quad (14)$$

where $\zeta = q_s/q_r \gg 1$ is the magnification, and
 knowing that $h_{rms} = \sqrt{m_0}$, and $h'_{rms} = \sqrt{2m_2}$, from the
 ratio of m_0 and m_2 we have

$$h_{rms} \simeq \frac{h'_{rms}}{\sqrt{2}} \sqrt{(2 - 2H) \left(\frac{3}{4} + \frac{1}{H} \right) \frac{q_s}{2\pi} \zeta^H} \quad (15)$$

415 which permits to estimate the rms amplitudes. In
 416 particular, for q_s/a_0 increasing from 4 to 64 in powers
 417 of 2 and $h'_{rms} = 0.1$, we obtain the values of h_{rms}/a_0 lay
 418 in the ranges $[0.7 \div 5]$ (one being subatomic, again of
 419 uncertain significance in particular, in view of Luan
 420 and Robbins [24]), $[1.7, 6.7]$ and $[5.9, 10.3]$ for the
 421 cases of $H = 0.3, 0.5$, and 0.8 , respectively. In other
 422 words, the high fractal dimensions are very small in
 423 amplitude, and this is very important in what we are
 424 going to discuss, because Persson's equation (9) was
 425 also assumed to be more appropriate to low fractal
 426 dimensions, and insensitive then on h'_{rms} and higher
 427 order moments which depend on the small wavelength
 428 truncation. Obviously values are 3 times higher for
 429 $h'_{rms} = 0.3$. Data are shown in PR paper with the same
 430 symbols as they will be shown here, so $h'_{rms} = 0.1, 0.3$
 431 (closed, open symbols), and for $l_a/a_0 = 0.05, 0.005$
 432 (red, blue)—we omit the change in size of the symbols
 433 since q_s/a_0 increasing from 4 to 64 also corresponds to
 434 an increase of h_{rms} which is easy to follow in the
 435 diagram.³

436 We assume $q_0 = 2q_r = 4096a_0$ (although the start
 437 of self-affine behaviour is at q_r) whereas $\frac{\sigma_{th}}{E^*} = 0.07$ and
 438 0.025 for $\Delta r = 1.1a_0$ and $\Delta r = 0.35a_0$, respectively
 439 for PR choice of “truncated spline” potentials having
 440 $w/(E^*a_0) = l_a/a_0 = 0.05, 0.005$.

441 Results as a function of mean separation are shown
 442 in Fig. 4, for $l_a/a_0 = 0.05, 0.005$ respectively in
 443 Fig. 4a, b. In each plot, increasing values of h_{rms}/a_0
 444 are considered, and $k = 2$ has been used. It is clear that
 445 for shorter range of adhesion, there are fewer curves as
 446 already for $h_{rms}/a_0 = 3$, there is negligible adhesion.
 447 The curves, in log scale, show that at very large
 448 h_{rms}/a_0 there may be a small error in that the

asymptotic becomes slightly compressive again. For **AQ2** 449
 an approximate model, this is a very minor defect, 450
 compared to what can happen with other approxima- 451
 tions, see Discussion. 452

Figure 5 reports a summary of the pull-off values 453
 obtained with our simple estimate, which are excellent 454
 for the case $l_a/a_0 = 0.05$ except perhaps the high 455
 fractal dimension cases, and the same occurs for 456
 $l_a/a_0 = 0.005$, since the high fractal dimension cases 457
 have so high rms amplitude that are in fact absent from 458
 the figure, as correctly our model predicts. Consider- 459
 ing the simplicity of the approach, the results are 460
 clearly a very convenient solution. The improvements 461
 which could still be possible are for the high fractal 462
 dimension cases. In fact there is a single point at low 463
 fractal dimension (a red triangle) which is not well fit, 464
 but this is highly suspicious as it has a non-monotonic 465
 increase of pull-off with respect to its companion 466
 cases, and may be simply an error in the numerical 467
 results or in the reporting of them. 468

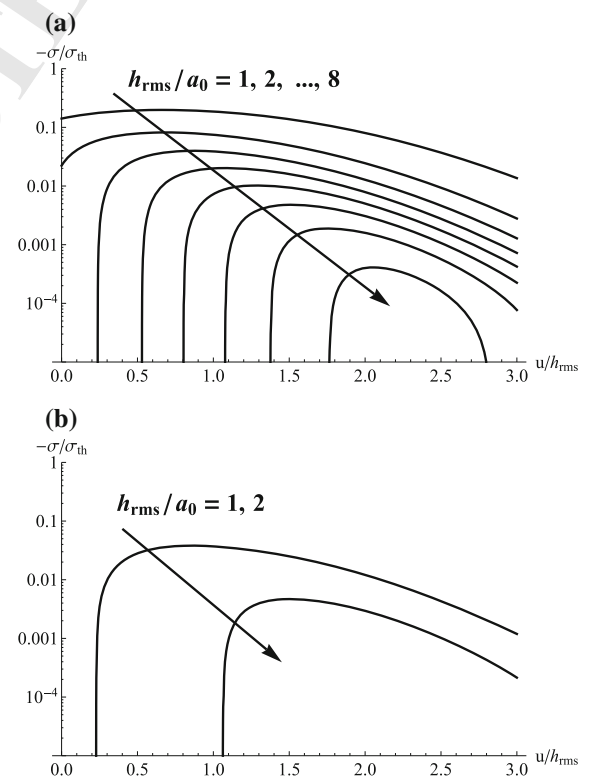


Fig. 4 The tensile part of traction (log scale) as a function of mean separation from the bearing-area DMT model, for PR cases with **a** $l_a/a_0 = 0.05$, **b** $l_a/a_0 = 0.005$

3FL01 ³ Surprisingly, in Fig. S3 there are some blue closed symbols
 3FL02 ($h'_{rms} = 0.1$ and $l_a/a_0 = 0.005$), which appear curious, as they
 3FL03 appear as non-sticky in Fig. 4 of the paper. Also, the fractal
 3FL04 dimension in Fig. S3 does not appear in correct order, as low
 3FL05 H seem to have higher rms amplitude, whereas the opposite
 3FL06 trend should occur. Probably there is an inversion of the data for
 3FL07 $H = 0.3$ and $H = 0.8$, which is however irrelevant for the
 3FL08 present scopes.

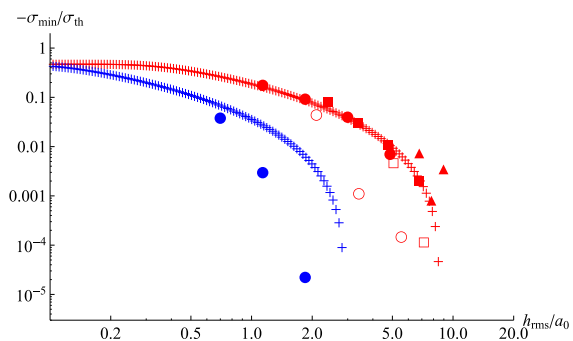


Fig. 5 Pull-off estimates with eqt. (11) for PR data with (red colour) $l_a/a_0 = 0.05$, (blue colour) $l_a/a_0 = 0.005$. Symbols are like in the original PR Fig. S3, and hence correspond to different short wavelength truncation, different H or fractal dimension, and different $h'_{rms} = 0.1$ (closed symbols) and $h'_{rms} = 0.3$ (open symbols). Some effect of h'_{rms} may appear but is not marked, and similarly, even if our DMT “bearing area model” is meant for low fractal dimensions, no effect of fractal dimension appears clear

469 The cases which may require some correction if one
 470 aims at a more quantitative estimate are those with
 471 high fractal dimension which show lower adhesion—
 472 but notice that from the data the indication is not so
 473 clear: the low slope case for $l_a/a_0 = 0.05$ (closed red
 474 circles) is still within our approximation, whereas the
 475 case $l_a/a_0 = 0.005$ is not (closed blue circle). The
 476 high slopes cases with $l_a/a_0 = 0.005$ are not in the
 477 figure, in very good agreement with our expectation,
 478 since they would have 3 times higher rms amplitude
 479 than the $h'_{rms} = 0.1$ slopes.

480 This is therefore partly expected from our model
 481 when we used Persson’s equation (9) in the form for
 482 low fractal dimension. This is the case of practical
 483 interest as the majority of natural surfaces so far
 484 measured have indeed fractal dimension $D < 2.5$ and
 485 rather close to $D = 2.2$ (see Persson et al. [5]).
 486 Therefore, not only the additional corrective factors
 487 which we would need (some estimates we have more
 488 repulsive code suggest that they not be what Persson
 489 [16] suggest) are more complicated, as they depend on
 490 truncation wavelength, but are not really worth the
 491 effort, as they would only serve to fit more accurately
 492 the numerical results of academic interest. We are
 493 happy enough to have found very good agreement for
 494 all the case of low and intermediate fractal dimen-
 495 sions, with our simple closed form result.

6 Discussion

497 It is clear that our theory shows no dependence at all of
 498 slopes and curvatures, and, we expect, this is especially
 499 true at low fractal dimensions, which is the case of
 500 practical interest, but anyway is in strong contrast with
 501 PR conclusions about the slope of the area-load
 502 equation: this indicates either that their criterion is
 503 purely on “loading” conditions, or else that they did not
 504 measure accurately the area-load near the origin where
 505 the load can indeed very small, but still significant. That
 506 their model supports the linearity of the area-load
 507 relationship suggests indeed this may be the case—they
 508 perhaps looked at this due to their use a DMT equation
 509 where the attractive area is further simplified by taking
 510 only the asymptotic expression for separation: if we
 511 were to use their estimates of the attractive area, see
 512 “Appendix”, we would end up with the quite paradox-
 513 ical result on the effect of amplitude of roughness, due to
 514 the fact that they looked at cases where the contact area
 515 is significant, and during loading.

516 Naturally, we don’t expect our simple model can
 517 predict the effects of roughness in every possible regime,
 518 as we obtain a purely non-hysteretic behaviour and
 519 therefore we probably obtain a “lower bound” to pull-off.

520 Adhesion for “soft” bodies, under the so called
 521 JKR regime (Johnson et al. [20]), shows instabilities
 522 like in the simple case of a single sinusoid (Johnson
 523 [25]) which leads to very strong adhesion after a
 524 sufficiently high pressure has been applied, or even
 525 (for sufficiently high work of adhesion) to spontaneous
 526 full contact and strong adhesion. This effect is also
 527 seen clearly in numerical experiments assuming JKR
 528 conditions (for a 1D form of roughness) of Carbone
 529 et al. [26], and cannot be modelled with asperities, nor
 530 with any other present theory, except in rather special
 531 cases (Guduru [27]).

7 Conclusion

532 We have provided a very simple model for pull-off of
 533 hard elastic solids (presumably, for low Tabor param-
 534 eters, for which the DMT solution is approximately
 535 valid. We have given a very simple estimate from the
 536 bearing-area of the area of attraction, and this seems to
 537 give reasonable results.
 538

Author Proof

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543 **Appendix: The PR DMT-like approximation**

544 We shall investigate what happens when estimating
 545 the area of attraction with the simplified DMT
 546 equations provided by PR [9]. They write $A_{rep} =$
 547 Pd_{rep}/π where P is the perimeter of the contact which
 548 grows proportionally to load, and $A_{att} = Pd_{att}$, so
 549 that d_{rep}, d_{att} are representative diameter of repulsive
 550 region, and size of the lateral strip of attraction. As
 551 they find by that $d_{rep} = 4h'_{rms}/h''_{rms}$

$$\frac{d_{att}}{d_{rep}} = \left(\frac{3}{4} \frac{\Delta r}{h'_{rms} d_{rep}} \right)^{2/3} = \left(\frac{3}{16} \frac{h''_{rms} \Delta r}{h'^2_{rms}} \right)^{2/3} \quad (16)$$

553 then

$$A_{att} = \pi \left(\frac{3}{16} \frac{h''_{rms} \Delta r}{h'^2_{rms}} \right)^{2/3} A_{rep} \quad (17)$$

555 which is their eqt.6. This is supported by some log-log
 556 plots (the insets of Figs. 3 and 4) which however show
 557 each quite significant deviations of factors larger than
 558 2-3, so that the result in terms of actual A_{rep}/A_{att} could
 559 change by an order of magnitude. As pull-off occurs at
 560 large rms amplitudes for very small A_{rep} , this may
 561 explain the very large effect this approximation has.

562 Now we can use A_{rep} from Persson’s solution (12),
 563 and hence the tensile mean traction is

$$\frac{\sigma_{att}}{\sigma_{th}} = \frac{A_{att}}{A_0} = \pi \left(\frac{3}{16} \frac{h''_{rms} \Delta r}{h'^2_{rms}} \right)^{2/3} \frac{3}{4} q_0 \frac{h_{rms}}{h'_{rms}} \exp\left(\frac{-u}{\gamma h_{rms}}\right) \quad (18)$$

565 Summing the repulsive and attractive contributions
 566 leads to a single negative exponential dependence on
 567 mean gap

$$\frac{\sigma(u)}{E^*} \simeq \frac{3}{8\gamma} q_0 h_{rms} \exp\left(\frac{-u}{0.45 h_{rms}}\right) \times \left[1 - \frac{\sigma_{th}}{E^*} \frac{2\pi}{h'_{rms}} \left(\frac{3}{16} \frac{h''_{rms} \Delta r}{h'^2_{rms}} \right)^{2/3} \right] \quad (19)$$

569 and therefore there is no longer a threshold on h_{rms} . If
 570 the surfaces are such that

$$\frac{\sigma_{th}}{E^*} \frac{2\pi}{h'_{rms}} \left(\frac{3}{16} \frac{h''_{rms} \Delta r}{h'^2_{rms}} \right)^{2/3} > 1$$

then there is (pull-off) stickiness, which is of course
 their result. However, clearly this result in the
 “sticky” range is completely absurd: pull-off would
 then be obtained at very low values of u/h_{rms} (in
 principle, for our asymptotic Persson’s equation, we
 would reach $u = 0$) which is quite counterintuitive.:
 we could truncate at realistic values of $u/h_{rms} = 0.1$ as
 Persson [16] shows that the repulsive pressure
 increases there much more than his asymptotic
 solution. But the main effect of (19) would be quite
 paradoxical: a pull-off which actually increases with
 h_{rms} ! Now, as we can certainly not believe this
 paradox, and as we have already shown that their
 criterion doesn’t satisfy the values of pull-off obtained
 [28–30], we would also tend to think that their result
 has too many approximations and results from inac-
 curate fits in log-log plots which hide important
 deviations.

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